Definitions of non adiabatic PES

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Introduction

- Molecular system = Nuclei (\vec{R}, M) + Electrons (\vec{r}, m)
- PES = Potential energy function for nuclei created by the electrons
- "adiabatic" = depending upon a single electronic, quantum, pure state.
- "non-adiabatic" = depending upon more than one electronic state.

Questions:

• Can a (single) PES be defined for a non-adiabatic/exact wave function, or, is the PES concept subordinated to a Born-Oppenheimer/Adiabatic approximation?

• What is the quantum/epistemological status of PES?

Effective Non-Adiabatic Hamiltonian with single PES

• non-adiabatic PES dressed by excited electronic states

$$\lambda = \frac{m}{M}, \quad H = H_0 + \lambda H', \quad H \rightsquigarrow exp(-i\lambda S)Hexp(i\lambda S),$$

$$H_{BM} = H_{BO} + \lambda \langle 0|H'|0\rangle + \frac{i}{2}\lambda^2 \sum_{n \neq 0} (\langle 0|H'|n\rangle \langle n|S|0\rangle - \langle 0|S|n\rangle \langle n|H'|0\rangle)$$

Bunker and Moss, Mol. Phys. 33, p.417, (1977)

• geometry-dependent reduced vibrational and rotational masses

$$T_{\vec{R}} = T_{vib} + T_{rot}, \qquad H_2^+, \ H_2:$$

$$M_{red} = \frac{M_H}{2} \rightsquigarrow M_{vib} = M_{red} \left(1 + \frac{A(\vec{R})}{M_H} \right)^{-1}, \ M_{rot} = M_{red} \left(1 + \frac{B(\vec{R})}{M_H} \right)^{-1}$$

Kutzelnigg, Mol. Phys. 105, p.2627, (2007)

Density of probability for nuclei configurations in an isolated molecule

• for totally symmetrical rotational states

$$P\left(ec{R}
ight) := \int dec{r} ~~ \Psi^{*}\left(ec{r},ec{R}
ight) \Psi\left(ec{r},ec{R}
ight)$$

 \vec{R} : translation-free nuclear variables, \vec{r} : electronic variables

• for non totally symmetrical rotational states

$$P\left(\vec{R}\right) := \int d\vec{r} \quad \sum_{k=-J}^{+J} \quad \Psi_k^*\left(\vec{r},\vec{R}\right) \Psi_k\left(\vec{r},\vec{R}\right)$$

Hirschfelder and Wigner Proc. N. A. S. 21, 113 (1935).

P. Cassam-Chenaï, J. Math. Chem. 23, 61 (1998).

Quotienting by the action of a symmetry group

• Ex.: rotations

$$\forall \mathcal{R} \in SO_3 \quad P\left(\mathcal{R}\left(\vec{R}\right)\right) = P\left(\vec{R}\right)$$

therefore the probability density, P, is a well-defined function, $P\left(\widehat{R}\right)$, of the sets of nuclear configurations equivalent modulo a rotation, $\widehat{R} = \left\{ \mathcal{R}\left(\overrightarrow{R}\right) \right\}_{\mathcal{R} \in SO_3}$.

• The same reduction method applies to the group of permutations of identical nuclei

One obtains a partition of the nuclear configuration space into an infinite number of sets, each set corresponding to a distinct relative arrangement of the nuclei $\left\{\widehat{\hat{R}}\right\}$

Hunter Generalised PES

• For J = 0 in the Born-Oppenheimer approximation the wave function has the form,

$$\Psi\left(\vec{r},\vec{R}
ight) = \Psi_e(\vec{r},\vec{R})\Psi_n(\vec{R})$$

and

$$P\left(\vec{R}\right) = \Psi_n^*\left(\vec{R}\right)\Psi_n\left(\vec{R}\right)$$

• For an arbitrary molecular wave function $\Psi\left(\vec{r},\vec{R}\right)$ Hunter defines an "electronic" wave function by,

$$\Psi_{e}^{Hunter}(\vec{r},\vec{R}) = \frac{\Psi\left(\vec{r},\vec{R}\right)}{\sqrt{P\left(\vec{R}\right)}}$$

and

$$PES_{Hunter}\left(\vec{R}\right) := \int d\vec{r} \quad \Psi_e^{Hunter*}\left(\vec{r},\vec{R}\right) H^{mol}\left(\vec{r},\vec{R}\right) \Psi_e^{Hunter}\left(\vec{r},\vec{R}\right)$$

G. Hunter, Int. J. Quant. Chem. Symp. 8, p.413, (1974).

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Wilson Generalised PES

•
$$PES\left(\vec{R}\right) := \frac{\int d\vec{r} \quad \sum_{k=-J}^{+J} \quad \Psi_k^*\left(\vec{r},\vec{R}\right)(T_e+V)\Psi_k\left(\vec{r},\vec{R}\right)}{P\left(\vec{R}\right)}$$

$$\forall \mathcal{R} \in SO_3 \quad PES\left(\mathcal{R}\left(\vec{R}\right)\right) = PES\left(\vec{R}\right) =: PES\left(\hat{R}\right)$$

E. B. Wilson, Int. J. Quant. Chem. Symp. 13, p.5, (1979).

• Ex.: BO
with
$$\forall \vec{R} \quad \int d\vec{r} \quad \Psi_e^*\left(\vec{r}, \vec{R}\right) \Psi_e\left(\vec{r}, \vec{R}\right) = 1$$

 $PES\left(\vec{R}\right) = \int d\vec{r} \quad \Psi_e^*\left(\vec{r}, \vec{R}\right) (T_e + V) \Psi_e\left(\vec{r}, \vec{R}\right)$

Isomers and their structure

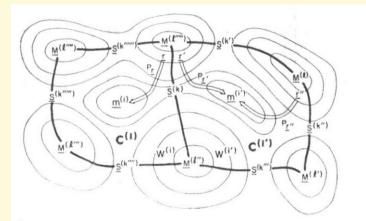


Fig. 1. Model surface with catchment regions $C^{(i)}$ and $C^{(i')}$ of minima $m^{(i)}$ and $m^{(i')}$, respectively. Boundaries of catchment regions (shown by heavy lines) pass through various saddle points s and maxima M

P.G. Mezey, Theor. Chim. Acta. 58, 309 (1981).

• Isomer := attractor basin of $PES\left(\widehat{R}\right)$

• Molecular structure of an isomer := nuclear arrangement at the minimum of the attractor basin

Difficulty: excited vibrational states

• Ex.: non-adiabatic wave function for H_2

 $\psi(\vec{\mathbf{r}}, R) = \phi_0(\vec{\mathbf{r}}, R)\chi_0(R) + \phi_1(\vec{\mathbf{r}}, R)\chi_1(R) ,$

where $\phi_0(\vec{\mathbf{r}}, R), \phi_1(\vec{\mathbf{r}}, R)$ are the solutions of the clamped nuclei Hamiltonians $\hat{H}^{elec}(R)$ representing the $X^1\Sigma_q^+$ and $E, F^1\Sigma_q^+$ states respectively.

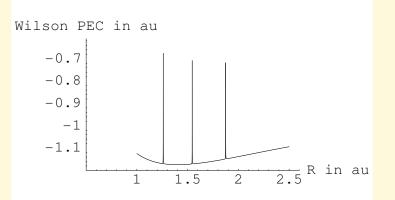
• Setting $F_i(R) = R\chi_i(R)$, $i \in \{0, 1\}$, and $\hat{H}' = \hat{H}^{mol} - \hat{H}^{elec}$, the stationary Schrödinger equation gives the following set of coupled, eigenvalue equations,

$$\begin{bmatrix} -\frac{1}{m}\frac{d^2}{dR^2} + \hat{H}_{00}^{elec}(R) + \hat{H}_{00}'(R) - E \end{bmatrix} F_0(R) = -\begin{bmatrix} \hat{H}_{01}'(R) - B(R)\frac{d}{dR} \end{bmatrix} F_1(R)$$
$$\begin{bmatrix} -\frac{1}{m}\frac{d^2}{dR^2} + \hat{H}_{11}^{elec}(R) + \hat{H}_{11}'(R) - E \end{bmatrix} F_1(R) = -\begin{bmatrix} \hat{H}_{10}'(R) + B(R)\frac{d}{dR} \end{bmatrix} F_0(R)$$

where

 $B(R) := \frac{2}{m} \int \phi_0(\vec{\mathbf{r}}, R) \frac{d\phi_1(\vec{\mathbf{r}}, R)}{dR} d\vec{\mathbf{r}}$

• Solution for the $\nu = 3$ vibrational state of the $X^1 \Sigma_q^+$ electronic state



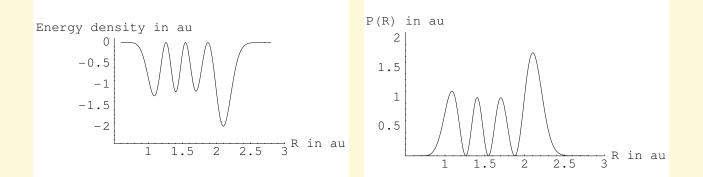
P. Cassam-Chenaï, Chem. Phys. Lett. **420**, 354-357 (2006). (For Hunter PES: J. Czub and L. Wolniewicz, Mol. Phys. **36**, 1301 (1978).)

•
$$PES_{Wilson}(R) = \frac{F_0^2(R)\hat{H}_{00}^{elec}(R) + F_1^2(R)\hat{H}_{11}^{elec}(R)}{F_0^2(R) + F_1^2(R)}$$

• Explanation: $PES_{Wilson}(\mathbf{\vec{R}})$ should not be seen as the expectation value of an observable but as the ratio of the expectation values of two commuting observables

$$\hat{P}(\vec{\mathbf{R}}) := \int |\vec{\mathbf{r}}, \vec{\mathbf{R}}\rangle \langle \vec{\mathbf{r}}, \vec{\mathbf{R}}| \ d\vec{\mathbf{r}} \quad \longmapsto \quad P(\vec{\mathbf{R}}) = \int \langle \psi | \vec{\mathbf{r}}, \vec{\mathbf{R}}\rangle \langle \vec{\mathbf{r}}, \vec{\mathbf{R}} | \psi \rangle \ d\vec{\mathbf{r}}$$

$$\hat{H}^{elec}(\vec{\mathbf{R}}) := \int \hat{H}^{elec}(\vec{\mathbf{r}}, \vec{\mathbf{R}}) \, |\vec{\mathbf{r}}, \vec{\mathbf{R}}\rangle \langle \vec{\mathbf{r}}, \vec{\mathbf{R}} | \, d\vec{\mathbf{r}} \longmapsto E^{elec}(\vec{\mathbf{R}}) := \langle \psi | \hat{H}^{elec}(\vec{\mathbf{R}}) | \psi \rangle$$



Conclusion

• The PES concept is not subordinated to a Born-Oppenheimer/Adiabatic approximation in Bunker and Moss or Kutzelnigg approaches but it is still an approximate concept valid only for low $\frac{m}{M}$.

• PES can be defined for a non-adiabatic/exact wave function in Hunter or Wilson approaches, but they are not quantum observables.

Questions are a burden to others, Answers a prison for oneself: