

Among the many contributions of Pólya to analysis, the following has always been Erdős' favorite, both for the surprising result and for the beauty of its proof. Suppose that

$$f(z) = z^n + b_{n-1}z^{n-1} + \dots + b_0$$

is a complex polynomial of degree $n \geq 1$ with leading coefficient 1. Associate with $f(z)$ the set

$$C := \{z \in \mathbb{C} : |f(z)| \leq 2\},$$

that is, C is the set of points which are mapped under f into the circle of radius 2 around the origin in the complex plane. So for $n = 1$ the domain C is just a circular disk of diameter 4.

By an astoundingly simple argument, Pólya revealed the following beautiful property of this set C :

Take any line L in the complex plane and consider the orthogonal projection C_L of the set C onto L . Then the total length of any such projection never exceeds 4.

What do we mean by the total length of the projection C_L being at most 4? We will see that C_L is a finite union of disjoint intervals I_1, \dots, I_t , and the condition means that $\ell(I_1) + \dots + \ell(I_t) \leq 4$, where $\ell(I_j)$ is the usual length of an interval.

By rotating the plane we see that it suffices to consider the case when L is the real axis of the complex plane. With these comments in mind, let us state Pólya's result.

Theorem 1. *Let $f(z)$ be a complex polynomial of degree at least 1 and leading coefficient 1. Set $C = \{z \in \mathbb{C} : |f(z)| \leq 2\}$ and let \mathcal{R} be the orthogonal projection of C onto the real axis. Then there are intervals I_1, \dots, I_t on the real line which together cover \mathcal{R} and satisfy*

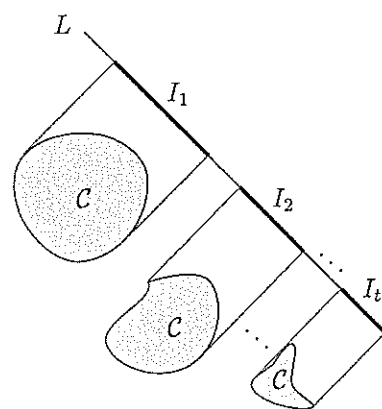
$$\ell(I_1) + \dots + \ell(I_t) \leq 4.$$

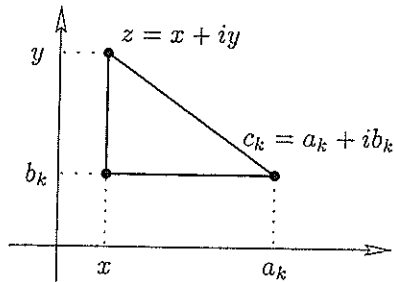
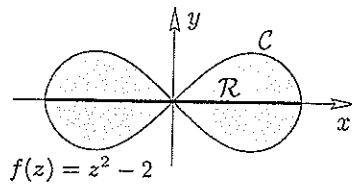
Clearly the bound of 4 in the theorem is attained for $n = 1$. To get more of a feeling for the problem let us look at the polynomial $f(z) = z^2 - 2$, which also attains the bound of 4. If $z = x + iy$ is a complex number, then x is its orthogonal projection onto the real line. Hence

$$\mathcal{R} = \{x \in \mathbb{R} : x + iy \in C \text{ for some } y\}.$$



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The reader can easily prove that for $f(z) = z^2 - 2$ we have $x + iy \in \mathcal{C}$ if and only if

$$(x^2 + y^2)^2 \leq 4(x^2 - y^2).$$

It follows that $x^4 \leq (x^2 + y^2)^2 \leq 4x^2$, and thus $x^2 \leq 4$, that is, $|x| \leq 2$. On the other hand, any $z = x \in \mathbb{R}$ with $|x| \leq 2$ satisfies $|z^2 - 2| \leq 2$, and we find that \mathcal{R} is precisely the interval $[-2, 2]$ of length 4.

As a first step towards the proof write $f(z) = (z - c_1) \cdots (z - c_n)$ with $c_k = a_k + ib_k$, and consider the real polynomial $p(x) = (x - a_1) \cdots (x - a_n)$. Let $z = x + iy \in \mathcal{C}$, then by the theorem of Pythagoras

$$|x - a_k|^2 + |y - b_k|^2 = |z - c_k|^2$$

and hence $|x - a_k| \leq |z - c_k|$ for all k , that is,

$$|p(x)| = |x - a_1| \cdots |x - a_n| \leq |z - c_1| \cdots |z - c_n| = |f(z)| \leq 2.$$

Thus we find that \mathcal{R} is contained in the set $\mathcal{P} = \{x \in \mathbb{R} : |p(x)| \leq 2\}$, and if we can show that this latter set is covered by intervals of total length at most 4, then we are done. Accordingly, our main Theorem 1 will be a consequence of the following result.

Theorem 2. Let $p(x)$ be a real polynomial of degree $n \geq 1$ with leading coefficient 1, and all roots real. Then the set $\mathcal{P} = \{x \in \mathbb{R} : |p(x)| \leq 2\}$ can be covered by intervals of total length at most 4.

As Pólya shows in his paper [2], Theorem 2 is, in turn, a consequence of the following famous result due to Chebyshev. To make this chapter self-contained, we have included a proof in the appendix (following the beautiful exposition by Pólya and Szegő).

Chebyshev's Theorem.

Let $p(x)$ be a real polynomial of degree $n \geq 1$ with leading coefficient 1. Then

$$\max_{-1 \leq x \leq 1} |p(x)| \geq \frac{1}{2^{n-1}}.$$

Let us first note the following immediate consequence.

Corollary. Let $p(x)$ be a real polynomial of degree $n \geq 1$ with leading coefficient 1, and suppose that $|p(x)| \leq 2$ for all x in the interval $[a, b]$. Then $b - a \leq 4$.

■ **Proof.** Consider the substitution $y = \frac{2}{b-a}(x - a) - 1$. This maps the x -interval $[a, b]$ onto the y -interval $[-1, 1]$. The corresponding polynomial

$$q(y) = p\left(\frac{b-a}{2}(y + 1) + a\right)$$

has leading coefficient $\left(\frac{b-a}{2}\right)^n$ and satisfies

$$\max_{-1 \leq y \leq 1} |q(y)| = \max_{a \leq x \leq b} |p(x)|.$$

By Chebyshev's theorem we deduce

$$2 \geq \max_{a \leq x \leq b} |p(x)| \geq \left(\frac{b-a}{2}\right)^n \frac{1}{2^{n-1}} = 2\left(\frac{b-a}{4}\right)^n,$$

and thus $b - a \leq 4$, as desired. \square

This corollary brings us already very close to the statement of Theorem 2. If the set $\mathcal{P} = \{x : |p(x)| \leq 2\}$ is an interval, then the length of \mathcal{P} is at most 4. The set \mathcal{P} may, however, not be an interval, as in the example depicted here, where \mathcal{P} consists of two intervals.

What can we say about \mathcal{P} ? Since $p(x)$ is a continuous function, we know at any rate that \mathcal{P} is the union of disjoint closed intervals I_1, I_2, \dots , and that $p(x)$ assumes the value 2 or -2 at each endpoint of an interval I_j . This implies that there are only finitely many intervals I_1, \dots, I_t , since $p(x)$ can assume any value only finitely often.

Pólya's wonderful idea was to construct another polynomial $\tilde{p}(x)$ of degree n , again with leading coefficient 1, such that $\tilde{\mathcal{P}} = \{x : |\tilde{p}(x)| \leq 2\}$ is an interval of length at least $\ell(I_1) + \dots + \ell(I_t)$. The corollary then proves $\ell(I_1) + \dots + \ell(I_t) \leq \ell(\tilde{\mathcal{P}}) \leq 4$, and we are done.

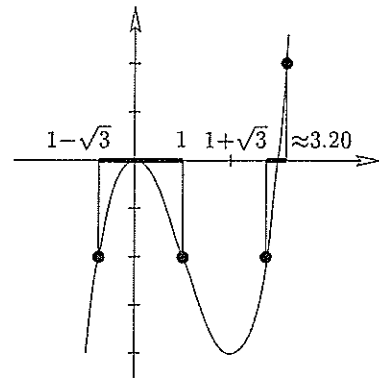
■ Proof of Theorem 2. Consider $p(x) = (x - a_1) \cdots (x - a_n)$ with $\mathcal{P} = \{x \in \mathbb{R} : |p(x)| \leq 2\} = I_1 \cup \dots \cup I_t$, where we arrange the intervals I_j such that I_1 is the leftmost and I_t the rightmost interval. First we claim that any interval I_j contains a root of $p(x)$. We know that $p(x)$ assumes the values 2 or -2 at the endpoints of I_j . If one value is 2 and the other -2 , then there is certainly a root in I_j . So assume $p(x) = 2$ at both endpoints (the case -2 being analogous). Suppose $b \in I_j$ is a point where $p(x)$ assumes its minimum in I_j . Then $p'(b) = 0$ and $p''(b) \geq 0$. If $p''(b) = 0$, then b is a multiple root of $p'(x)$, and hence a root of $p(x)$ by Fact 1 from the box on the next page. If, on the other hand, $p''(b) > 0$, then we deduce $p(b) \leq 0$ from Fact 2 from the same box. Hence either $p(b) = 0$, and we have our root, or $p(b) < 0$, and we obtain a root in the interval from b to either endpoint of I_j .

Here is the final idea of the proof. Let I_1, \dots, I_t be the intervals as before, and suppose the rightmost interval I_t contains m roots of $p(x)$, counted with their multiplicities. If $m = n$, then I_t is the only interval (by what we just proved), and we are finished. So assume $m < n$, and let d be the distance between I_{t-1} and I_t as in the figure. Let b_1, \dots, b_m be the roots of $p(x)$ which lie in I_t and c_1, \dots, c_{n-m} the remaining roots. We now write $p(x) = q(x)r(x)$ where $q(x) = (x - b_1) \cdots (x - b_m)$ and $r(x) = (x - c_1) \cdots (x - c_{n-m})$, and set $p_1(x) = q(x + d)r(x)$. The polynomial $p_1(x)$ is again of degree n with leading coefficient 1. For $x \in I_1 \cup \dots \cup I_{t-1}$ we have $|x + d - b_i| < |x - b_i|$ for all i , and hence $|q(x + d)| < |q(x)|$. It follows that

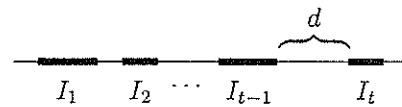
$$|p_1(x)| \leq |p(x)| \leq 2 \quad \text{for } x \in I_1 \cup \dots \cup I_{t-1}.$$

If, on the other hand, $x \in I_t$, then we find $|r(x - d)| \leq |r(x)|$ and thus

$$|p_1(x - d)| = |q(x)| |r(x - d)| \leq |p(x)| \leq 2,$$



For the polynomial $p(x) = x^2(x - 3)$ we get $\mathcal{P} = [1 - \sqrt{3}, 1] \cup [1 + \sqrt{3}, \approx 3.2]$



which means that $I_t - d \subseteq \mathcal{P}_1 = \{x : |p_1(x)| \leq 2\}$.

In summary, we see that \mathcal{P}_1 contains $I_1 \cup \dots \cup I_{t-1} \cup (I_t - d)$ and hence has total length at least as large as \mathcal{P} . Notice now that with the passage from $p(x)$ to $p_1(x)$ the intervals I_{t-1} and $I_t - d$ merge into a single interval. We conclude that the intervals J_1, \dots, J_s of $p_1(x)$ making up \mathcal{P}_1 have total length at least $\ell(I_1) + \dots + \ell(I_t)$, and that the rightmost interval J_s contains more than m roots of $p_1(x)$. Repeating this procedure at most $t - 1$ times, we finally arrive at a polynomial $\tilde{p}(x)$ with $\tilde{\mathcal{P}} = \{x : |\tilde{p}(x)| \leq 2\}$ being an interval of length $\ell(\tilde{\mathcal{P}}) \geq \ell(I_1) + \dots + \ell(I_t)$, and the proof is complete. \square

Two facts about polynomials with real roots

Let $p(x)$ be a non-constant polynomial with only real roots.

Fact 1. If b is a multiple root of $p'(x)$, then b is also a root of $p(x)$.

■ **Proof.** Let b_1, \dots, b_r be the roots of $p(x)$ with multiplicities s_1, \dots, s_r , $\sum_{j=1}^r s_j = n$. From $p(x) = (x - b_j)^{s_j} h(x)$ we infer that b_j is a root of $p'(x)$ if $s_j \geq 2$, and the multiplicity of b_j in $p'(x)$ is $s_j - 1$. Furthermore, there is a root of $p'(x)$ between b_1 and b_2 , another root between b_2 and b_3, \dots , and one between b_{r-1} and b_r , and all these roots must be *single* roots, since $\sum_{j=1}^r (s_j - 1) + (r - 1)$ counts already up to the degree $n - 1$ of $p'(x)$. Consequently, the *multiple* roots of $p'(x)$ can only occur among the roots of $p(x)$. \square

Fact 2. We have $p'(x)^2 \geq p(x)p''(x)$ for all $x \in \mathbb{R}$.

■ **Proof.** If $x = a_i$ is a root of $p(x)$, then there is nothing to show. Assume then x is not a root. The product rule of differentiation yields

$$p'(x) = \sum_{k=1}^n \frac{p(x)}{x - a_k}$$

$$p''(x) = \sum_{k=1}^n \sum_{\substack{\ell=1 \\ \ell \neq k}}^n \frac{p(x)}{(x - a_k)(x - a_\ell)} = 2p(x) \sum_{\{k,\ell\}} \frac{1}{(x - a_k)(x - a_\ell)}$$

where $\{k, \ell\}$ runs through all pairs $\{k, \ell\} \subseteq \{1, \dots, n\}$. Hence

$$p'(x)^2 = p(x)^2 \left(\sum_{k=1}^n \frac{1}{x - a_k} \right)^2$$

$$> 2p(x)^2 \sum_{\{k,\ell\}} \frac{1}{(x - a_k)(x - a_\ell)} = p(x)p''(x).$$

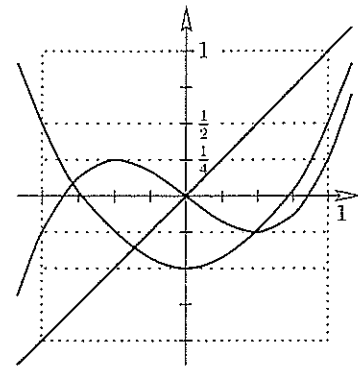
\square

Appendix: Chebyshev's theorem

Theorem. Let $p(x)$ be a real polynomial of degree $n \geq 1$ with leading coefficient 1. Then

$$\max_{-1 \leq x \leq 1} |p(x)| \geq \frac{1}{2^{n-1}}.$$

Before we start, let us look at some examples where we have equality. The margin depicts the graphs of polynomials of degrees 1, 2 and 3, where we have equality in each case. Indeed, we will see that for every degree there is precisely one polynomial with equality in Chebyshev's theorem.



The polynomials $p_1(x) = x$, $p_2(x) = x^2 - \frac{1}{2}$ and $p_3(x) = x^3 - \frac{3}{4}x$ achieve equality in Chebyshev's theorem.

■ **Proof.** Consider a real polynomial $p(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0$ with leading coefficient 1. Since we are interested in the range $-1 \leq x \leq 1$, we set $x = \cos \vartheta$ and denote by $g(\vartheta) := p(\cos \vartheta)$ the resulting polynomial in $\cos \vartheta$,

$$g(\vartheta) = (\cos \vartheta)^n + a_{n-1}(\cos \vartheta)^{n-1} + \dots + a_0. \tag{1}$$

The proof proceeds now in the following three steps which are all classical results and are all interesting in their own right.

(A) We express $g(\vartheta)$ as a so-called *cosine polynomial*, that is, a polynomial of the form

$$g(\vartheta) = b_n \cos n\vartheta + b_{n-1} \cos(n-1)\vartheta + \dots + b_1 \cos \vartheta + b_0 \tag{2}$$

with $b_k \in \mathbb{R}$, and show that its leading coefficient is $b_n = \frac{1}{2^{n-1}}$.

(B) Given any cosine polynomial $h(\vartheta)$ of order n (meaning that λ_n is the highest nonvanishing coefficient)

$$h(\vartheta) = \lambda_n \cos n\vartheta + \lambda_{n-1} \cos(n-1)\vartheta + \dots + \lambda_0, \tag{3}$$

we show $|\lambda_n| \leq \max |h(\vartheta)|$, which when applied to $g(\vartheta)$ will then prove the theorem.

(C) On the way to proving (B) we show the following remarkable result: If $h(\vartheta) = \lambda_n \cos n\vartheta + \dots + \lambda_0$ is a *nonnegative* cosine polynomial of order n , then $|\lambda_n| \leq \lambda_0$.

Proof of (A). To pass from (1) to the representation (2), we have to express all powers $(\cos \vartheta)^k$ as cosine polynomials. For example, the addition theorem for the cosine gives

$$\cos 2\vartheta = \cos^2 \vartheta - \sin^2 \vartheta = 2 \cos^2 \vartheta - 1,$$

so that $\cos^2 \vartheta = \frac{1}{2} \cos 2\vartheta + \frac{1}{2}$. To do this for an arbitrary power $(\cos \vartheta)^k$ we go into the complex numbers, via the relation $e^{ix} = \cos x + i \sin x$.

The e^{iz} are the complex numbers of absolute value 1 (see the box on complex unit roots on page 25). In particular, this yields

$$e^{in\vartheta} = \cos n\vartheta + i \sin n\vartheta. \quad (4)$$

On the other hand,

$$e^{in\vartheta} = (e^{i\vartheta})^n = (\cos \vartheta + i \sin \vartheta)^n. \quad (5)$$

Equating the real parts in (4) and (5) we obtain by $i^{4\ell+2} = -1$, $i^{4\ell} = 1$ and $\sin^2 \vartheta = 1 - \cos^2 \vartheta$

$$\begin{aligned} \cos n\vartheta &= \sum_{\ell \geq 0} \binom{n}{4\ell} (\cos \vartheta)^{n-4\ell} (1 - \cos^2 \vartheta)^{2\ell} \\ &\quad - \sum_{\ell \geq 0} \binom{n}{4\ell+2} (\cos \vartheta)^{n-4\ell-2} (1 - \cos^2 \vartheta)^{2\ell+1}. \end{aligned} \quad (6)$$

We conclude that $\cos n\vartheta$ is a polynomial in $\cos \vartheta$,

$$\cos n\vartheta = c_n (\cos \vartheta)^n + c_{n-1} (\cos \vartheta)^{n-1} + \dots + c_0. \quad (7)$$

From (6) we obtain for the highest coefficient

$$c_n = \sum_{\ell \geq 0} \binom{n}{4\ell} + \sum_{\ell \geq 0} \binom{n}{4\ell+2} = 2^{n-1}.$$

Now we turn our argument around. Assuming by induction that for $k < n$, $(\cos \vartheta)^k$ can be expressed as a cosine polynomial of order k , we infer from (7) that $(\cos \vartheta)^n$ can be written as a cosine polynomial of order n with leading coefficient $b_n = \frac{1}{2^{n-1}}$.

Proof of (C). Let $h(\vartheta)$ be a cosine polynomial of order n as in (3). Since $\cos(-\vartheta) = \cos \vartheta$ and $\sin(-\vartheta) = -\sin \vartheta$, we find by (4)

$$\cos k\vartheta = \frac{e^{ik\vartheta} + e^{-ik\vartheta}}{2}.$$

Setting $z = e^{i\vartheta}$, we can therefore write $h(\vartheta)$ in the form

$$\begin{aligned} h(\vartheta) &= \lambda_n \frac{z^n + z^{-n}}{2} + \dots + \lambda_k \frac{z^k + z^{-k}}{2} + \dots + \lambda_0 \\ &= z^{-n} \left(\lambda_n \frac{z^{2n} + z^0}{2} + \dots + \lambda_k \frac{z^{n+k} + z^{n-k}}{2} + \dots + \lambda_0 z^n \right) \\ &= z^{-n} H(z). \end{aligned} \quad (8)$$

Let us study $H(z)$ as a complex polynomial in the variable z . $H(z)$ has degree $2n$ and does not vanish at $z = 0$ since $\lambda_n \neq 0$. Furthermore, we have

$$H(z) = z^{2n} H\left(\frac{1}{z}\right).$$

Hence, if α is a root of $H(z)$, then so is $\frac{1}{\alpha}$. What's more, since $H(z)$ has real coefficients, we see that the conjugate complex number $\bar{\alpha}$ and its inverse $1/\bar{\alpha}$ are also roots. Let us classify the $2n$ roots of $H(z)$ as follows. In the first group, we put all roots α with $|\alpha| = 1$, that is, $\alpha = 1/\bar{\alpha}$. In the second group, we have $\alpha \neq 1/\bar{\alpha}$, hence one of α or $1/\bar{\alpha}$ has absolute value less than 1. So we see that $H(z)$ can be written in the form

$$H(z) = \frac{\lambda_n}{2} \prod_{|\alpha|=1} (z - \alpha) \prod_{0 < |\beta| < 1} (z - \beta)(z - \frac{1}{\bar{\beta}}). \quad (9)$$

It is at this point that we use the assumption that $h(\vartheta) \geq 0$ for all ϑ . Since $z = e^{i\vartheta}$, we have $|z| = 1$, and therefore

$$h(\vartheta) = |h(\vartheta)| = |H(z)|.$$

Let us look at the roots of $H(z)$ as in (9). If α is a root of the first group, $|\alpha| = 1$, then we have $\alpha = e^{i\tilde{\vartheta}}$, corresponding to a real root $\tilde{\vartheta}$ of $h(\vartheta)$, $0 \leq \tilde{\vartheta} \leq 2\pi$. By differentiating the equation $h(\vartheta) = e^{-in\vartheta} H(e^{i\vartheta})$ it is readily seen that the multiplicity of the root $\tilde{\vartheta}$ in $h(\vartheta)$ is the same as the multiplicity of $e^{i\tilde{\vartheta}}$ as a root of $H(z)$. But since $h(\vartheta)$ is nonnegative, we find that $\tilde{\vartheta}$ is a minimum of $h(\vartheta)$ and hence has *even* multiplicity. Let us turn to the second group, and consider a product $|z - \beta||z - 1/\bar{\beta}|$. Since $z\bar{z} = 1$, we find

$$\begin{aligned} |z - \frac{1}{\bar{\beta}}|^2 &= (z - \frac{1}{\bar{\beta}})(\bar{z} - \frac{1}{\beta}) = \frac{(z\bar{\beta} - 1)(\bar{z}\beta - 1)}{\beta\bar{\beta}} \\ &= \frac{\beta\bar{\beta} - z\bar{\beta} - \bar{z}\beta + 1}{\beta\bar{\beta}} = \frac{(z - \beta)(\bar{z} - \bar{\beta})}{\beta\bar{\beta}} = \frac{|z - \beta|^2}{|\beta|^2}, \end{aligned}$$

and thus

$$|z - \beta||z - \frac{1}{\bar{\beta}}| = \frac{|z - \beta|^2}{|\beta|}.$$

In summary, we obtain

$$h(\vartheta) = |H(z)| = |c| \prod_{|\alpha|=1} |z - \alpha|^2 \prod_{0 < |\beta| < 1} |z - \beta|^2, \text{ for some } 0 \neq c \in \mathbb{C},$$

and have thus proved a famous theorem of Riesz (see [3]):

If $h(\vartheta)$ is a nonnegative cosine polynomial of order n , then

$$h(\vartheta) = |u(z)|^2 \text{ for } z = e^{i\vartheta},$$

where $u(z) = u_n z^n + u_{n-1} z^{n-1} + \dots + u_0$ is a polynomial of degree n .

What's more, since $H(z)$ has real coefficients, the roots α, β of $u(z)$ occur in conjugate pairs, which means that $u(z)$ is a polynomial with real coefficients u_i . Thus we obtain with $z = e^{i\vartheta}$, $\bar{z} = e^{-i\vartheta}$

$$\begin{aligned} h(\vartheta) &= (u_n z^n + \dots + u_0)(u_n \bar{z}^n + \dots + u_0) \\ &= z^{-n}(u_n z^n + \dots + u_0)(u_n + \dots + u_0 z^n). \end{aligned}$$

Comparing this to the expression (8) we get

$$\begin{aligned} \lambda_0 &= u_0^2 + u_1^2 + \dots + u_n^2 \\ \frac{\lambda_k}{2} &= u_n u_{n-k} + u_{n-1} u_{n-1-k} + \dots + u_k u_0 \quad (0 < k \leq n) \end{aligned} \quad (10)$$

and in particular,

$$\lambda_n = 2u_n u_0. \quad (11)$$

Taking (10) and (11) together we thus find

$$|\lambda_n| = 2|u_n|u_0 \leq u_0^2 + u_n^2 \leq u_0^2 + u_1^2 + \dots + u_n^2 = \lambda_0. \quad (12)$$

Proof of (B). Consider first a cosine polynomial $h(\vartheta) = \lambda_n \cos n\vartheta + \dots + \lambda_1 \cos \vartheta$ of order $n \geq 1$ with constant coefficient $\lambda_0 = 0$. We claim that $h(\vartheta)$ assumes positive and negative values. Suppose, on the contrary, $h(\vartheta) \geq 0$. Then, by (12), $|\lambda_n| \leq 0$, and thus $\lambda_n = 0$, contradiction. In the case when $h(\vartheta) \leq 0$, we apply the same reasoning to $-h(\vartheta)$.

Let us, finally, return to general cosine polynomials $h(\vartheta)$ of the form (3), and let us set $M = \max h(\vartheta)$, $m = \min h(\vartheta)$. Note that $M > \lambda_0 > m$ by what we just proved. Considering the nonnegative cosine polynomials $M - h(\vartheta)$ and $h(\vartheta) - m$, respectively, we conclude by (12)

$$|\lambda_n| \leq M - \lambda_0 \quad \text{and} \quad |\lambda_n| \leq \lambda_0 - m, \quad (13)$$

and thus

$$|\lambda_n| \leq \frac{M - m}{2} \leq \max(M, -m) = \max|h(\vartheta)|. \quad (14)$$

The proof of (B) and thus of Chebyshev's theorem is complete. \square

Using (10)-(14), the reader can easily complete the analysis, showing that $g(\vartheta) = \frac{1}{2^{n-1}} \cos n\vartheta$ is the *only* cosine polynomial of order n with leading coefficient 1 that achieves the equality $\max|g(\vartheta)| = \frac{1}{2^{n-1}}$.

The polynomials $T_n(x) = \cos n\vartheta$, $x = \cos \vartheta$, are called the *Chebyshev polynomials* (of the first kind); thus $\frac{1}{2^{n-1}} T_n(x)$ is the unique monic polynomial of degree n where equality holds in Chebyshev's theorem.

References

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