

What is truth?

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Contents

- 1 What is a formal language?
- 2 What is a topos?
 - Universal properties of Ω and $\mathcal{P}B$
 - Definition of a topos and important properties
- 3 Interpretation in a topos
 - Syntax of the language of a topos
 - An arrow for every expression
 - Definition of the validity of formulae

The tasks

Syntax - formal language

- express statements unambiguously
- make statements understandable for machines
- for us: at least two **types** of expressions
 - terms
 - formulae (whose truth is to be defined)

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Semantics

- connection: language \longrightarrow universe of objects
- determine validity/truth of formulae

What we are going to learn today

- Syntax - the language
 - how to handle types
 - how to handle the binding effect of quantifiers
 - example: language $\Sigma_{\mathcal{T}}$ of a topos \mathcal{T} - **suitable for set theory**
- Topos - categorical universe of sets
- Semantics - interpretation of the topos language
 - arrows of \mathcal{T} as little helpers for interpretation
 - validity of formulae of $\Sigma_{\mathcal{T}}$

first thoughts about a language for mathematics

Goals:

- avoid ambiguity
- internationality
- machine aid

What we need

- alphabet: suitable for expressing mathematical ideas
 - logical symbols $\Rightarrow, \wedge, \vee, \neg$
 - quantifiers \forall, \exists
 - theory-specific symbols (e.g. $\in, +$)
- grammar rules
 - number of arguments
 - **type** of arguments

two phenomena: types & bound variables

example - types & binding effect

constructor $\forall_{\mathbb{N}}$

- takes a *formula* containing a free variable n of type \mathbb{N} , e.g. $n \geq 5$
- returns a formula where the variable is bound, $\forall_{\mathbb{N}} n \geq 5$

We will work with a set of types T .

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We will work with a set of types T .

Definition (category T -Set)

- objects: T -indexed families of sets
- morphisms: T -indexed families of morphisms of sets

T -signature

Definition (T -typed signature)

- set Σ of symbols
- function a , called **arity**, assigning to each symbol s of S a tuple $a(s) = (s_0; \bar{s}_1, \dots, \bar{s}_n)$

where $s_0 \in T$ and $\bar{s}_i = (s_{i,0}; s_{i,1}, \dots, s_{i,n_i})$ ($i = 1, \dots, n$) are nonempty finite sequences in T .

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Example

Types $T = \{\Omega \text{ (formula)}, \mathbb{N}\}$

- $\forall : (\Omega; (\Omega; \mathbb{N}))$
 - argument: a formula with a free variable of type \mathbb{N}
 - result: a formula where the variable is bound
- $\Rightarrow : (\Omega; (\Omega; \emptyset), (\Omega; \emptyset))$
 - two formulae as arguments, no variable-binding

Expressions = Trees

- mathematical structure for expressions: trees!
- signature = rules for number and type of sons

Definition

- $X = (X_t)_{t \in T}$ element of T -**Set**
- Σ a T -typed signature

$\hat{\Sigma}X = ((\hat{\Sigma}X)_t)_{t \in T}$ the **typed set of trees** constructible from symbols of Σ and the typed set X of **variables** as leaves.

Expressions = Trees

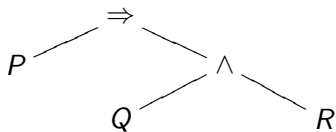
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- $T = \{\Omega, \dots\}$
- Σ contains logical symbols with usual arity
- $P, Q, R \in X_\Omega$ variables of type formula



adding a new element to a (typed) set of variables

Definition

-

$$X^* := X \amalg \{\infty_X\}$$

- $u \in T$ and $X = (X_t)_{t \in T}$ in $T\text{-Set}$; we define X^{*u} s.t.
 - $(X^{*u})_t = X_t$ for all $t \neq u$
 - $(X^{*u})_u = (X_u)^*$

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Definition

- $\bar{s} = (s_0; s_1, \dots, s_n)$ nonempty finite sequence of types
- X in $T\text{-Set}$ a typed set of variables

We define

$$\hat{\Sigma}^{\bar{s}} X := (\hat{\Sigma}(X^{*s_1 * s_2 \dots * s_n}))_{s_0}$$

in the end we have a lot of structure

$X = (X_t)_{t \in T}$, Y objects in $T\text{-Set}$, $f : X \rightarrow Y$ in $T\text{-Set}$

- $\hat{\Sigma}f : \hat{\Sigma}X \rightarrow \hat{\Sigma}Y$ **renaming** of variables.
- $\eta_X : X \rightarrow \hat{\Sigma}X$: variable as a tree
- $\mu_X : \hat{\Sigma}\hat{\Sigma}X \rightarrow \hat{\Sigma}X$
- for each $s \in \Sigma$ with arity $a(s) = (s_0; \bar{s}_1, \dots, \bar{s}_n)$ a mapping

$$s : \prod \hat{\Sigma}^{\bar{s}_i} X \rightarrow (\hat{\Sigma}X)_{s_0}$$

which builds trees with root s , arguments = subtrees

example for representation in $\hat{\Sigma}$

Example

- $T = \{\Omega, \dots\}$
- $\Rightarrow: (\Omega; (\Omega; \emptyset), (\Omega; \emptyset))$

$$\begin{aligned}\Rightarrow_X: (\hat{\Sigma}X)_\Omega \times (\hat{\Sigma}X)_\Omega &\rightarrow (\hat{\Sigma}X)_\Omega, \\ (P, Q) &\mapsto (P \Rightarrow Q)\end{aligned}$$

creating a universe of sets within category theory

universe of sets

- collection of sets
- maps between sets
 - composition of maps (with associativity)
 - identity map

is realized in a **category**

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special sets and maps

- power set $\mathcal{P}B$, hom set B^A , truth values $\Omega = \{0, 1\}$
- characteristic function of $S \subset B$

Goal: imitate a universe of sets with categorical means

- describe special sets by **universal properties**

definition of a subobject classifier

Definition (subobject classifier)

A **subobject classifier** in a category \mathbf{C} (w. fib. products and 1) consists of

- an object Ω of \mathbf{C}
- a monic $\text{true} : 1 \rightarrow \Omega$

s. t. for any mono $S \rightarrow B$ there exists a unique **char. function** $\phi : B \rightarrow \Omega$, i. e. a unique ϕ giving a fibered product:

$$\begin{array}{ccc} S & \longrightarrow & 1 \\ m \downarrow & & \downarrow \text{true} \\ B & \xrightarrow{\phi} & \Omega. \end{array}$$

Example in **Set**: $\Omega = \{0, 1\}$, $\text{true} : * \mapsto 1$, $\phi = \chi_{(S,B)}$

universal property of $\mathcal{P}B$ - power object in **Set** and **C**

membership predicate in **Set**

$$\in_B: B \times \mathcal{P}B \rightarrow \Omega, \quad (b, S) \mapsto \begin{cases} 1, & \text{if } b \in S, \\ 0 & \text{otherwise.} \end{cases}$$

universal property of $(\mathcal{P}B, \in_B)$

For any $f : B \times A \rightarrow \Omega$
there is a unique arrow
 $g : A \rightarrow \mathcal{P}B$ which makes
commute:

$$\begin{array}{ccc} B \times A & & \\ \downarrow 1 \times g & \searrow f & \\ B \times \mathcal{P}B & \xrightarrow{\in_B} & \Omega. \end{array}$$

In the same way we can postulate the existence of a power object $(\mathcal{P}B, \in_B)$ in **any** category **C** with products and Ω !

Definition of a topos

Definition (elementary topos \mathcal{T})

A topos is a category \mathcal{T} with

- a fibered product for every diagram $X \rightarrow B \leftarrow Y$;
- a terminal object 1 ;
- a subobject classifier $(\Omega, \text{true} : 1 \rightarrow \Omega)$;
- for every object B a power object PB with $\in_B : B \times PB \rightarrow \Omega$.

Theorem (\mathcal{T} has exponentials)

For any B and C there is an object C^B and $e = e_{B,C} : B \times C^B \rightarrow C$ s.t. for any $f : B \times A \rightarrow C$ there is a unique $g : A \rightarrow C^B$ which makes commute

$$\begin{array}{ccc} B \times A & & \\ \downarrow 1 \times g & \searrow f & \\ B \times C^B & \xrightarrow{e} & C \end{array}$$

some important arrows of \mathcal{T}

$P : B \mapsto \mathcal{P}B$ can be made a contravariant functor

Consider $k : A \rightarrow B$ in \mathcal{T} . Define $Pk : \mathcal{P}B \rightarrow \mathcal{P}A$ as the **transpose** of $\epsilon_B \circ (k \times 1)$:

$$\begin{array}{ccc}
 A \times \mathcal{P}B & \xrightarrow{k \times 1} & B \times \mathcal{P}B & \xrightarrow{\epsilon_B} & \Omega \\
 \downarrow 1 \times Pk & & & & \parallel \\
 A \times \mathcal{P}A & \xrightarrow{\quad \quad \quad} & & \xrightarrow{\epsilon_A} & \Omega.
 \end{array}$$

This makes $P : \mathcal{T} \rightarrow \mathcal{T}$ a contravariant functor.

Definition Heyting algebra

- A **lattice** L is a set with two elements $0 \neq 1$ and two binary operations \wedge and \vee which satisfy
 - commutativity, associativity
 - $x \wedge x = x$, $x \vee x = x$, $1 \wedge x = x$, $0 \vee x = x$,
 - $x \wedge (y \vee x) = x = (x \wedge y) \vee x$.

Induces a partial order by $x \leq y$ iff $x \wedge y = x$.

- A lattice H is a **Heyting algebra** if for $x, y \in H$ we have an **exponential** $(x \Rightarrow y)$ s.t.

$$z \leq (x \Rightarrow y) \quad \text{iff} \quad z \wedge x \leq y.$$

- In a Heyting algebra H we define a **negation operator**

$$\neg x := (x \Rightarrow 0).$$

Equations in H and diagrammatic version

Lemma (Equations holding in Heyting algebra H)

For $x, y, z \in H$ we have

$$(x \Rightarrow x) = 1$$

$$x \wedge (x \Rightarrow y) = x \wedge y, \quad y \wedge (x \Rightarrow y) = y$$

$$x \Rightarrow (y \wedge z) = (x \Rightarrow y) \wedge (x \Rightarrow z)$$

Equations in H and diagrammatic version

Lemma (Equations holding in Heyting algebra H)

For $x, y, z \in H$ we have

$$\begin{aligned}(x \Rightarrow x) &= 1 \\ x \wedge (x \Rightarrow y) &= x \wedge y, \quad y \wedge (x \Rightarrow y) = y \\ x \Rightarrow (y \wedge z) &= (x \Rightarrow y) \wedge (x \Rightarrow z)\end{aligned}$$

Example for diagrammatic version of $x \wedge (x \Rightarrow y) = x \wedge y$

$$\begin{array}{ccc} H \times H \times H & \xrightarrow{1 \times \Rightarrow} & H \times H \\ \Delta \times 1 \uparrow & & \downarrow \wedge \\ H \times H & \xrightarrow{\wedge} & H \end{array}$$

PB is an internal Heyting algebra

Theorem

For any B in \mathcal{T} the power object PB is an *internal Heyting algebra*, i.e. there are arrows

- $\wedge, \vee, \Rightarrow: PB \times PB \rightarrow PB$
- $\neg: PB \rightarrow PB$
- $0, 1: 1_{\mathcal{T}} \rightarrow PB$ (for the elements 0 and 1)

which make commute the diagrams given by the preceding equations of a Heyting algebra.

In particular, $\Omega = P1$ is such.

Internal partial order of a Heyting algebra object

- H an internal Heyting algebra of \mathcal{T} . The object \leq_H shall be the equalizer of $\wedge, \pi_1 : H \times H \rightarrow H$ as in

$$\begin{array}{ccc}
 \leq_H & \longrightarrow & H \\
 e \downarrow & & \downarrow \Delta_H \\
 H \times H & \xrightarrow{\langle \wedge, \pi_1 \rangle} & H \times H.
 \end{array}$$

- Properties reflexivity, transitivity, antisymmetry can be expressed diagrammatically. Example: reflexivity

$$\begin{array}{ccc}
 H & \xrightarrow{\Delta_H} & H \times H \\
 \text{---} & & \uparrow e \\
 & & \leq_H.
 \end{array}$$

Def: internal adjunction

(H, \leq_H) and $(H', \leq_{H'})$ **internal partially ordered objects** in \mathcal{T} .

Suppose $\alpha : H \rightarrow H'$ and $\beta : H' \rightarrow H$ are monotonous arrows, i. e.

$$\begin{array}{ccccc} \leq_H & \xrightarrow{e_H} & H \times H & \xrightarrow{\alpha \times \alpha} & H' \times H' \\ & \searrow & & & \nearrow e_{H'} \\ & & & & \leq_{H'} \end{array}$$

and similarly for β .

α is **internally left adjoint** to β if we have factorizations as in

$$\begin{array}{ccc} H' & \xrightarrow{\langle \alpha\beta, 1 \rangle} & H' \times H' \\ & \searrow & \uparrow \\ & & \leq_{H'} \end{array}$$

and

$$\begin{array}{ccc} H & \xrightarrow{\langle 1, \beta\alpha \rangle} & H \times H \\ & \searrow & \uparrow \\ & & \leq_H \end{array}$$

Pk has internal adjoints

Theorem

For any arrow $k : A \rightarrow B$ the arrow $Pk : PB \rightarrow PA$ has an

- internal left adjoint $\exists_k : PA \rightarrow PB$;
- internal right adjoint $\forall_k : PA \rightarrow PB$.

Arrow δ as equality predicate

Definition (arrows δ_B and true_X)

- $\Delta_B = \langle 1_B, 1_B \rangle : B \rightarrow B \times B$ has a characteristic map

$$\delta_B : B \times B \rightarrow \Omega.$$

- For any object X let be defined by

$$\text{true}_X : X \rightarrow 1 \xrightarrow{\text{true}} \Omega.$$

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Lemma

Let b and b' two generalized elements of the object B , i. e. $b, b' : X \rightrightarrows B$ are two arrows in a topos \mathcal{T} . Then

$$\delta_B \langle b, b' \rangle = \text{true}_X \quad \text{iff} \quad b = b'.$$

The signature $\Sigma = \Sigma_{\mathcal{T}}$

- types =
objects of \mathcal{T}
- formulae =
expressions of
type Ω
- we omit indices
 A, B for the
constructors

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$$\Sigma_{\mathcal{T}} = \{ \begin{array}{l} \langle, \rangle : (A \times B; (A; \emptyset), (B; \emptyset)), \\ = : (\Omega; (B; \emptyset), (B; \emptyset)), \\ \text{app} : (B; (B^A; \emptyset), (A; \emptyset)), \\ \in : (\Omega; (B; \emptyset), (\Omega^B; \emptyset)), \\ \lambda : (B^A; (B; A)), \\ \wedge : (\Omega; (\Omega; \emptyset), (\Omega; \emptyset)), \\ \vee : (\Omega; (\Omega; \emptyset), (\Omega; \emptyset)), \\ \Rightarrow : (\Omega; (\Omega; \emptyset), (\Omega; \emptyset)), \\ \neg : (\Omega; (\Omega; \emptyset)), \\ \forall : (\Omega; (\Omega; B)), \\ \exists : (\Omega; (\Omega; B)) \end{array} \}$$

Arrows as helpers for interpretation

An arrow for every expression

- Z an object of $\mathcal{T}\text{-Set}$
- define for every expression $E \in (\hat{\Sigma}Z)_B$ an arrow $a(E)$ of \mathcal{T}
 - $\text{target}(a(E)) = B = \text{type of } E$
 -

$$\text{source}(a(E)) = \prod_{\substack{B \in \mathcal{T} \\ |Z_B|=i}} B^i$$

where we suppose that $|Z_B| < \infty$ and only finitely many $Z_B \neq \emptyset$

- variable b of type B interpreted by $1_B : B \rightarrow B$
- $\phi(a, b, b') \in \hat{\Sigma}(0_{\mathcal{T}\text{-Set}}^{*A*B*B})_{\Omega}$ interpreted by an arrow

$$A \times B \times B \rightarrow \Omega$$

Expanding the set of free variables by combining expressions

Constructors with several arguments

- for $Z \leq Y$ we have $\hat{\Sigma}Z \leq \hat{\Sigma}Y$
- necessity of adjusting the source of interpreting arrow

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Definition (enlarging the source of an interpreting arrow)

- expression σ interpreted by $\sigma : U \rightarrow B$
- σ is also interpreted by

$$\sigma \circ \pi_1 : U \times A \rightarrow B$$

Given two expressions, we can hence suppose that the sources of their interpreting arrows coincide.

Recursive definition of the interpreting arrow I

- variable b of type B interpreted by $1_B : B \rightarrow B$
- interpreting arrow for an equality predicate
 - E a formula $(\sigma = \tau) \in \hat{\Sigma}Z_\Omega$
 - σ and τ of type B interpreted by $\sigma, \tau : U \rightarrow B$

E is interpreted by

$$(\sigma = \tau) : U \xrightarrow{\langle \sigma, \tau \rangle} B \times B \xrightarrow{\delta_B} \Omega,$$

- E a formula $\phi \Rightarrow \psi$,
 ϕ and ψ formulae interpreted by $\phi, \psi : U \rightarrow \Omega$

$$\phi \Rightarrow \psi : U \xrightarrow{\langle \phi, \psi \rangle} \Omega \times \Omega \xrightarrow{\Rightarrow} \Omega$$

Example

- $(b = b)$ a formula in $\hat{\Sigma}(0_{\mathcal{T}\text{-Set}}^{*B})_{\Omega}$ interpreted by arrow

$$B \xrightarrow{\langle 1, 1 \rangle = \Delta_B} B \times B \xrightarrow{\delta_B} \Omega$$

- $(b = b')$ in $\hat{\Sigma}(0_{\mathcal{T}\text{-Set}}^{*B*B})_{\Omega}$
 - we regard b, b' as elements in $\hat{\Sigma}(0_{\mathcal{T}\text{-Set}}^{*B*B})_B$
 - b interpreted by $1_B \circ \pi_1 : B \times B \rightarrow B$
 - b' interpreted by $1_B \circ \pi_2 : B \times B \rightarrow B$

$(b = b')$ interpreted by the arrow

$$B \times B \xrightarrow{\langle \pi_1, \pi_2 \rangle = 1} B \times B \xrightarrow{\delta_B} \Omega.$$

Recursive definition of the interpreting arrow II

variable b of type B interpreted by $1_B : B \rightarrow B$

$$\langle \sigma, \tau \rangle : U \xrightarrow{\langle \sigma, \tau \rangle} A \times B$$

$$\sigma(\tau) : U \xrightarrow{\langle \sigma, \tau \rangle} A \times B^A \xrightarrow{e_{A,B}} B$$

$$(\sigma \in \tau) : U \xrightarrow{\langle \sigma, \tau \rangle} B \times \Omega^B \xrightarrow{\in_B} \Omega$$

$$(\lambda_{A,B,Z})\sigma : U \xrightarrow{\text{transpose}(\sigma)} B^A$$

$$\phi \vee \psi : U \xrightarrow{\langle \phi, \psi \rangle} \Omega \times \Omega \xrightarrow{\vee} \Omega$$

$$\neg \phi : U \xrightarrow{\phi} \Omega \xrightarrow{\neg} \Omega$$

$$\forall_B(\lambda_{B,\Omega,Z})\phi : U \xrightarrow{\text{transpose}(\phi)} \Omega^B \xrightarrow{\forall_{(\bullet_B)}} \Omega$$

where $\bullet_B : B \rightarrow 1$

How a formula defines an object

Definition (object defined by a formula)

- $\phi \in Z\hat{\Sigma}_{\Omega}$ a formula
- in free variables x_i of type X_i
- interpreting arrow $\phi : X = \prod X_i \rightarrow \Omega$

The object

$$\{x \in X \mid \phi(x)\}$$

is defined to be the fibered product

$$\begin{array}{ccc} \{x \in X \mid \phi(x)\} & \longrightarrow & 1 \\ \downarrow & & \downarrow \text{true} \\ X & \xrightarrow{\phi} & \Omega \end{array}$$

Validity of a formula

- $\phi = \phi(x_1, \dots, x_n)$ a formula in $Z\hat{\Sigma}_\Omega$ with free variables x_i of type X_i ($i = 1, \dots, n$)
- $\alpha_i : U \rightarrow X_i$ generalized elements of the X_i

ϕ is **true** for the α_i ,

$$U \Vdash \phi(\alpha_1, \dots, \alpha_n),$$

if $\alpha = \langle \alpha_1, \dots, \alpha_n \rangle : U \rightarrow X = \prod X_i$ factors through $\{x \mid \phi(x)\}$

$$\begin{array}{ccccc}
 & & \{x \mid \phi(x)\} & \longrightarrow & 1 \\
 & \nearrow g & \downarrow m & & \downarrow \text{true} \\
 U & \xrightarrow{\alpha} & X & \xrightarrow{\phi} & \Omega
 \end{array}$$

iff

$$\phi \circ \alpha = \text{true}_U.$$

Special case: universal validity

- $\phi(x)$ a formula with interpreting arrow $\phi : X \rightarrow \Omega$

is **universally valid** if one of the following equivalent holds:

$$\alpha := 1_X : X \rightarrow X \text{ factors through } \{x \mid \phi(x)\} \quad (1)$$

$$\phi = \text{true}_X : X \longrightarrow 1 \xrightarrow{\text{true}} \Omega \quad (2)$$

$$\{x \mid \phi(x)\} \cong X \quad (3)$$

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Example

The formula $(b = b)$ in $(0_{\mathcal{T}\text{-Set}}^{*B})\hat{\Sigma}_{\Omega}$ interpreted by arrow

$$B \xrightarrow{\langle 1, 1 \rangle = \Delta_B} B \times B \xrightarrow{\delta_B} \Omega$$

is universally valid by def. of the arrow δ_B as char. map of Δ_B .

Why the strange choice of arrows?

The **Beth-Kripke-Joyal theorem** tells us that the validity we defined behaves as we would expect. Examples:

- $A \Vdash \phi(a) \wedge \psi(a)$ iff $A \Vdash \phi(a)$ and $A \Vdash \psi(a)$
- $A \Vdash \forall y \phi(a, y)$ iff for any object B , for any arrow $h : B \rightarrow A$ and every generalized element $b : B \rightarrow Y$ it holds $B \Vdash \phi(ah, b)$.

What was this good for?

- equiconsistency $WPT \longleftrightarrow RZC$ (c.f. [MLM92])
 - WPT the axioms defining a **well-pointed topos** (terminal object generates the topos)
 - RZC the axioms of **restricted Zermelo set theory**
- form instead of substance (c.f. [Law05])
 - set theory based on isomorphism-invariant structure (e.g. universal properties) instead of membership relation

References



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