

On the Ohsawa-Takegoshi extension theorem

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I. Motivation

Setting :

- X is a projective manifold (or more generally weakly pseudoconvex Kähler manifolds). $K_X := \det \Omega_X$ canonical bundle.
- $Y \subset X$ be a simple normal crossing ("SNC" for short) divisor. Let $\mathcal{O}_X(Y)$ be the natural holomorphic line bundle associated to Y . Fix a smooth metric h_Y on $\mathcal{O}_X(Y)$.
- (L, h_L) be a holomorphic line bundle on X satisfying certain curvature conditions.

Let $f \in H^0(Y, K_X \otimes L \otimes \mathcal{O}_X(Y))$.

- Question 1 : Could we find a $F \in H^0(X, K_X \otimes L \otimes \mathcal{O}_X(Y))$ such that $F|_Y = f$.
- Question 2 : If Question 1 holds, could we control the norm of F ?

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If Y is smooth, there are affirmative (practically optimal) answers to the above questions :

Theorem (Ohsawa-Takegoshi 87)

Let Ω be a weakly pseudoconvex bounded domain in \mathbb{C}^n . Let H be an affine subspace in \mathbb{C}^n and φ be a plurisubharmonic function on Ω , i.e., φ is upper semi-continuous and the restriction on any complex line is subharmonic.

Then $\forall f \in H^0(H \cap \Omega, \mathcal{O}_{H \cap \Omega})$ with $\int_{H \cap \Omega} |f|^2 e^{-\varphi} < +\infty$,
 $\exists F \in H^0(\Omega, \mathcal{O}_\Omega)$ such that $F|_{H \cap \Omega} = f$ and

$$\int_{\Omega} |F|^2 e^{-\varphi} \leq C(\Omega, H) \int_{H \cap \Omega} |f|^2 e^{-\varphi}.$$

Here $C(\Omega, H)$ is a constant independent of φ and f .

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Global version : X projective manifold, $Y \subset X$ a smooth divisor.

Let (L, h_L) be a holomorphic line bundle. We assume that

$$\Theta_{h_L}(L) \geq 0 \text{ and } \Theta_{h_L}(L) \geq \delta \Theta_{h_Y}(\mathcal{O}_X(Y)) \quad (1)$$

for some constant $\delta > 0$.

Theorem (Manivel 93)

In the above setting, let $f \in H^0(Y, K_X \otimes L \otimes \mathcal{O}_X(Y))$ such that

$$\int_Y |f|_{\omega_X, h_L, h_Y}^2 dV_{\omega_Y} < +\infty.$$

Then $\exists F \in H^0(X, K_X \otimes L \otimes \mathcal{O}_X(Y))$ such that $F|_Y = f$ and

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Many applications in complex geometry :

- Analytic approximation of closed positive currents (Demailly) ;
- Invariance of plurigenera (Siu, Păun) ;
- Positivity of direct images (Guan-Zhou,
Deng-Wang-Zhang-Zhou)

We are now interested in the case when Y is not smooth. It is closely related to the abundance conjecture.

Abundance conjecture : let X be a projective manifold and K_X is numerically effective, i.e., $c_1(K_X) \cdot \mathcal{C} \geq 0$ for any projective curve $\mathcal{C} \subset X$. Then K_X is semi-ample.

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The abundance conjecture can be split into two sub-conjectures : a non-vanishing conjecture and an extension conjecture.

Conjecture (Non vanishing conjecture)

*Let X be a projective manifold and K_X is numerically effective.
Then for $m \in \mathbb{N}^*$ sufficiently divisible, we have*

$$H^0(X, mK_X) \neq 0.$$

Conjecture (Extension conjecture (simplified version))

Let X be a projective manifold with K_X numerically effective. Let $s \in H^0(X, mK_X)$. We suppose for simplicity that $Y := \text{Div}(s)$ is SNC. Then the restriction map

$$H^0(X, m_1 K_X) \rightarrow H^0(Y, m_1 K_X).$$

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- Demailly-Hacon-Păun : the extension conjecture holds when Y is smooth.
Methods : Ohsawa-Takegoshi extension, the techniques of invariance of plurigenera.
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II. Main results

Theorem (Demailly, Demailly-C. -Matsumura, Zhou-Zhu)

Let X be a projective manifold and $Y \subset X$ be a SNC divisor. Let (L, h_L) be a holomorphic line bundle such that

$$\Theta_{h_L}(L) \geq 0 \text{ and } \Theta_{h_L}(L) \geq \delta \Theta_{h_Y}(\mathcal{O}_X(Y)) \quad (2)$$

for some $\delta > 0$. Let $f \in H^0(Y, K_X \otimes L \otimes \mathcal{O}_X(Y))$ such that $\int_Y |f|_{\omega_X, h_L, h_Y}^2 dV_{\omega_Y} < +\infty$ and admits a local L^2 -holomorphic extension.

Then $\exists F \in H^0(X, K_X \otimes L \otimes \mathcal{O}_X(Y))$ such that $F|_Y = f$ and

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Local L^2 -holomorphic extension : \exists covering $\cup U_i = X$ such that $f|_{U_i \cap Y}$ admits an extension $F_i \in H^0(U_i, K_X \otimes L \otimes \mathcal{O}_X(Y))$ with $\int_{U_i} |F_i|_{h_L, h_Y}^2 < +\infty$.

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- If $\int_Y \left| \frac{f}{ds_Y} \right|_{h_L}^2 < +\infty$, by Manivel's theorem, we can find a holomorphic extension F such that

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- If Y is not smooth, $\int_Y |f|_{\omega_X, h_L, h_Y}^2 dV_{\omega_Y} < +\infty$ will not imply that $\int_Y \left| \frac{f}{ds_Y} \right|_{h_L}^2 < +\infty$!

For example, if $Y = \{z_1 \cdot z_2 = 0\}$ and $|f|_{h_L, h_Y}((0, 0))$ is non-zero :

$$\int_Y \left| \frac{f}{ds_Y} \right|_{h_Y}^2 \sim \int_Y \frac{|f|_{\omega_X, h_L, h_Y}^2}{|z_1|^2 + |z_2|^2} dV_{\omega_Y} = +\infty.$$

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Important Example (Ohsawa) : Let Δ be a small neighbourhood of $(0, 0) \in \mathbb{C}^2$ and $Y = \{z_1 \cdot z_2 = 0\}$. $\varphi_L := \log |z_1 - z_2|^2$ and $h_L := e^{-\varphi_L}$.

Let $f \in H^0(Y, \mathcal{O}_Y)$:

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Then $\int_Y |f|^2 e^{-\varphi_L} < +\infty$.

However, if $\exists F \in H^0(\Delta, \mathcal{O}_\Delta)$ such that $F|_Y = f$ and

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Let $\varphi_\epsilon := \log(|z_1 - z_2|^2 + \epsilon)$. Then $\varphi_\epsilon \rightarrow \varphi_L = \log |z_1 - z_2|^2$.

If \exists an extension $F_\epsilon \in H^0(\Delta, \mathcal{O}_\Delta)$ with a uniform control

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If \exists an extension $F_\epsilon \in H^0(\Delta, \mathcal{O}_\Delta)$ with a uniform control

$$\int_{\Delta} |F_\epsilon|^2 e^{-\varphi_\epsilon} \leq C \int_Y |f|^2 e^{-\varphi_\epsilon},$$

by letting $\epsilon \rightarrow 0$, we get an extension $F \in H^0(\Delta, \mathcal{O}_\Delta)$ with
 $\int_{\Delta} |F|^2 e^{-\varphi_L} \leq C \int_Y |f|^2 e^{-\varphi_L}$. Contradiction.

Remark : It is easy to construct a compact analogue of Ohsawa's example.

II. Main results

Conjecture (C. -Păun)

Let X be a projective manifold and $Y = \cup Y_i$ be a SNC divisor in X . Let L be a holomorphic line bundle on X such that

$$i\Theta_{h_L}(L) \geq 0 \quad \text{and} \quad i\Theta_{h_L}(L) \geq \delta\Theta_{h_Y}(\mathcal{O}_X(Y))$$

for some $\delta > 0$. Let V_{sing} be a neighborhood of the singular locus of Y .

Let $f \in H^0(Y, K_X \otimes L \otimes \mathcal{O}_X(Y))$ s.t. $\int_Y |f|_{\omega_X, h_L, h_Y}^2 dV_{\omega_Y} < +\infty$ and admits a local L^2 -holomorphic extension. There exists an extension $F \in H^0(X, K_X \otimes L \otimes \mathcal{O}_X(Y))$ s.t.

$$\frac{1}{C} \int_{X \setminus V_{\text{sing}}} |F|_{h_Y, h_L}^2 \leq \int_{Y \setminus V_{\text{sing}}} \left| \frac{f}{ds_Y} \right|_{h_L}^2 + \left(\int_{Y \cap V_{\text{sing}}} \left| \frac{f}{ds_Y} \right| e^{-\varphi_L} dV_{\omega_Y} \right)^2.$$

where $h_Y = e^{-\varphi_L}$. Here C depends only on X and V_{sing} .

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II. Mains results

- This conjecture implies the DLT-extension conjecture.
- For applications, we can suppose that φ_L is of analytic singularity.

Theorem (C. -Păun)

The conjecture holds if φ_L on V_{sing} is of type

$$\varphi_L = \log |s|^2 + \sum_j \left(1 - \frac{1}{k_j}\right) \log |z_j|^2 + \tau_L \quad \text{on } V_{\text{sing}},$$

for some holomorphic function s and a bounded function τ_L , and $i\Theta_{h_L}(L) \geq C\omega_C$ on V_{sing} for some $C > 0$. Here ω_C is a metric on V_{sing} of conic singularity with respect to $\sum_j (1 - \frac{1}{k_j}) \text{Div}(z_j)$.

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Lemma (Siu, Berndtsson, C.- Păun)

Let $n = \dim X$ and let ξ be a smooth $(n, 1)$ -form with values in L . $h_L = e^{-\varphi_L}$ be a smooth hermitian metric on L satisfies our usual curvature conditions.

Let \star be the Hodge star operator which sends $(n, 1)$ -forms to $(n - 1, 0)$ -forms. Then

$$\int_Y \star \xi \wedge \bar{\star} \xi e^{-\varphi_L} \leq C \int_X \log^2 |s_Y| (|\bar{\partial}^\star \xi|_{h_L}^2 + |\bar{\partial} \xi|_{h_L}^2) dV_{\omega_X}.$$

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- Idea : Let ξ be a smooth $(n, 1)$ -form with values in L and supported in $X \setminus V_{sing}$. Let $\xi = \xi_1 + \xi_2$ be the Hodge decomposition. If we could prove that

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- **Idea** : Let ξ be a smooth $(n, 1)$ -form with values in L and supported in $X \setminus V_{sing}$. Let $\xi = \xi_1 + \xi_2$ be the Hodge decomposition. **If we could prove** that

$$|\int_X \langle \bar{\partial}(\frac{F}{s_Y}), \xi \rangle|^2 \leq C(f) \int_X \log^2 |s_Y|_{h_Y} \cdot |\bar{\partial}^* \xi|_{h_Y}^2 \quad (3)$$

for some constant $C(f) < +\infty$ depending on f .

III. Sketch of the proof

- Then we have $\langle \bar{\partial}(\frac{F}{s_Y}), \xi \rangle = \langle \mu, \bar{\partial}^* \xi \rangle$ and the L^2 -norm of μ is controlled by $C(f)$.
- As ξ is supported in $X \setminus V_{sing}$, we have thus

$$\bar{\partial}(\mu) = \bar{\partial}\left(\frac{F}{s_Y}\right) \quad \text{on } X \setminus V_{sing}.$$

Then $\mu \cdot s_Y \in H^0(X \setminus V_{sing}, K_X \otimes L \otimes \mathcal{O}_X(Y))$ extends f .

- By Hartogs, $\mu \cdot s_Y|_{X \setminus V_{sing}}$ extends to be a holomorphic section $H^0(X, K_X \otimes L \otimes \mathcal{O}_X(Y))$ with a control on its L^2 -norm over $X \setminus V_{sing}$. It finishes the proof of the theorem.

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It remains to prove (3).

- As before, we have

$$\int_X \left\langle \bar{\partial}\left(\frac{F}{s_Y}\right), \xi \right\rangle = \int_X \left\langle \bar{\partial}\left(\frac{F}{s_Y}\right), \xi_1 \right\rangle = \int_Y \frac{f}{ds_Y} \wedge \overline{\star \xi_1} e^{-\varphi_L}$$

$$= \int_{Y \setminus V_{\text{sing}}} \frac{f}{ds_Y} \wedge \overline{\star \xi_1} e^{-\varphi_L} + \int_{Y \cap V_{\text{sing}}} \frac{f}{ds_Y} \wedge \overline{\star \xi_1} e^{-\varphi_L}.$$

- $\left| \int_{Y \setminus V_{\text{sing}}} \frac{f}{ds_Y} \wedge \overline{\star \xi_1} e^{-\varphi_L} \right|^2 \leq \int_{Y \setminus V_{\text{sing}}} \left| \frac{f}{ds_Y} \right|_{h_L}^2 \cdot \int_Y \star \xi_1 \wedge \overline{\star \xi_1} e^{-\varphi_L}$

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The last inequality comes from Bochner equality and the curvature conditions.

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Thanks for your attention !