

Symplectic singularities

Arnaud Beauville

DMI – École Normale Supérieure (UMR 8553 du CNRS), 45 rue d'Ulm,
F-75230 Paris Cedex 05, France

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Introduction

We introduce in this paper a particular class of rational singularities, which we call *symplectic*, and classify the simplest ones. Our motivation comes from the analogy between rational Gorenstein singularities and Calabi-Yau manifolds: a compact, Kähler manifold of dimension n is a Calabi-Yau manifold if it admits a nowhere vanishing n -form, while a normal variety V of dimension n has rational Gorenstein singularities¹ if its smooth part V_{reg} carries a nowhere vanishing n -form, with the extra property that its pull-back in any resolution $X \rightarrow V$ extends to a holomorphic form on X . Among Calabi-Yau manifolds an important role is played by the symplectic (or hyperkähler) manifolds, which admit a holomorphic, everywhere non-degenerate 2-form; by analogy we say that a normal variety V has *symplectic singularities* if V_{reg} carries a closed symplectic 2-form whose pull-back in any resolution $X \rightarrow V$ extends to a holomorphic 2-form on X . Note that this last condition is automatic if the singular locus of V has codimension ≥ 4 [F], in particular for isolated singularities of dimension > 2 .

We will look for the simplest possible isolated symplectic singularities $\mathfrak{o} \in V$, namely those whose projective tangent cone is smooth: this means that blowing up \mathfrak{o} in V provides a resolution of V with a smooth exceptional divisor. Examples of such singularities are obtained as follows. Each simple complex Lie algebra has a smallest non-zero nilpotent orbit \mathcal{O}_{\min} for the adjoint action; its closure $\overline{\mathcal{O}}_{\min} = \mathcal{O}_{\min} \cup \{0\}$ has a symplectic singularity at 0, isomorphic to the cone over the smooth variety $\mathbf{P}\mathcal{O}_{\min} := \mathcal{O}_{\min}/\mathbb{C}^*$. In particular its projective tangent cone is smooth (it is isomorphic to $\mathbf{P}\mathcal{O}_{\min}$).

Our main result is the converse:

Theorem.— *Let (V, \mathfrak{o}) be a germ of isolated symplectic singularity, whose projective tangent cone is smooth. Then (V, \mathfrak{o}) is analytically isomorphic to the germ $(\overline{\mathcal{O}}_{\min}, 0)$ for some simple complex Lie algebra.*

¹ also called canonical singularities of index 1.

The key point of the proof is the fact that the homogeneous space $\mathbf{P}\mathcal{O}_{\min}$ carries a holomorphic *contact structure* (inherited from the symplectic structure of \mathcal{O}_{\min}). Given a resolution $X \rightarrow V$ with a smooth exceptional divisor E , we show that the extension to X of the symplectic form has a residue on E which defines a contact structure. We then deduce from [B1] that E is isomorphic to some $\mathbf{P}\mathcal{O}_{\min}$, and we conclude with a classical criterion of Grauert.

We discuss in §4 whether a classification of isolated symplectic singularities makes sense. Each such singularity gives rise to many others by considering its quotient by a finite group; to get rid of those we propose to consider only isolated symplectic singularities with trivial local fundamental group. The singularities $(\overline{\mathcal{O}}_{\min}, 0)$ have this property when the Lie algebra is not of type C_j ; it is certainly desirable to find more examples.

1. Definition and basic properties

We consider algebraic varieties over \mathbb{C} (our results extend readily to the analytic category). We will say that a holomorphic 2-form on a smooth variety is *symplectic* if it is closed and non-degenerate at every point. A *resolution* of an algebraic variety V is a proper, birational morphism $f : X \rightarrow V$ where X is smooth.

Definition 1.1.— *A variety has a symplectic singularity at a point if this point admits an open neighborhood V such that:*

- a) V is normal;
- b) The smooth part V_{reg} of V admits a symplectic 2-form φ ;
- c) For any resolution $f : X \rightarrow V$, the pull back of φ to $f^{-1}(V_{\text{reg}})$ extends to a holomorphic 2-form on X .

We will mostly consider a symplectic singularity as a germ (V, o) – in which case we will always assume that V satisfies the conditions a) to c).

(1.2) A result of Flenner [F] guarantees that condition c) holds when $\text{codim Sing}(V) \geq 4$. We chose to impose it in all cases in order to get uniform results.

As for rational singularities it is enough to check c) for one particular resolution: this follows easily from the fact that two given resolutions of V are dominated by a common resolution.

Proposition 1.3.— *A symplectic singularity is rational Gorenstein.*

Proof: We keep the notation of Definition 1.1 and put $\dim V = 2r$. The form φ^r generates the line bundle $\omega_{V_{\text{reg}}}$, and for any resolution $X \rightarrow V$ extends to a holomorphic form on X ; this implies that V has rational Gorenstein singularities [R]. \square

The following remark shows that isolated symplectic singularities of dimension > 2 are *not* local complete intersections:

Proposition 1.4.– *Let V be a variety with symplectic singularities which is locally a complete intersection. Then the singular locus of V has codimension ≤ 3 .*

Proof: We can realize locally V as a complete intersection in some smooth variety S . The exact sequence

$$0 \rightarrow N_{V/S}^* \rightarrow \Omega_{S|V}^1 \rightarrow \Omega_V^1 \rightarrow 0$$

provides a length 1 locally free resolution of Ω_V^1 . We can assume $\text{codim Sing}(V) \geq 3$; by the Auslander-Buchsbaum theorem and the fact that V is Cohen-Macaulay, the depth of Ω_V^1 at every point of $\text{Sing}(V)$ is ≥ 2 . It follows that Ω_V^1 is a reflexive sheaf, so the isomorphism $\Omega_{V_{\text{reg}}}^1 \rightarrow T_{V_{\text{reg}}}$ defined by a symplectic 2-form on V_{reg} extends to an isomorphism $\Omega_V^1 \rightarrow T_V$. Combining the resolution of Ω_V^1 and its dual we get an exact sequence

$$0 \rightarrow N_{V/S}^* \rightarrow \Omega_{S|V}^1 \rightarrow T_{S|V} \xrightarrow{u} N_{V/S},$$

where the support of the cokernel T^1 of u is exactly $\text{Sing}(V)$. Using the Auslander-Buchsbaum theorem again we get $\dim(T^1) = \dim \text{Sing}(V) \geq \dim(V) - 3$. \square

2. Examples

(2.1) In dimension 2, the symplectic singularities are the rational double points (that is, the A-D-E singularities).

(2.2) Any product of varieties with symplectic singularities has again symplectic singularities.

(2.3) Quotient singularities

The following result will provide us with a large list of symplectic singularities:

Proposition 2.4.– *Let V be a variety with symplectic singularities, G a finite group of automorphisms of V , preserving a symplectic 2-form on V_{reg} . Then V/G has symplectic singularities.*

Proof: We first observe that the fixed locus F_g in V_{reg} of any element $g \neq 1$ in G is a symplectic subvariety of V_{reg} ([Fu], Prop. 2.6), and therefore has codimension ≥ 2 . Let $V^0 := V_{\text{reg}} - \bigcup_{g \neq 1} F_g$. The symplectic 2-form on V^0 descends to a symplectic 2-form φ^0 on V^0/G ; since the complement of V^0/G in V/G has codimension ≥ 2 , φ^0 extends to a symplectic 2-form φ on

$(V/G)_{\text{reg}}$. Let $g : Y \rightarrow V/G$ be a resolution of V/G ; by taking a resolution of $Y \times_{(V/G)} V$ we get a commutative diagram

$$\begin{array}{ccc} X & \xrightarrow{f} & V \\ \downarrow & & \downarrow \\ Y & \xrightarrow{g} & V/G \end{array}$$

where f is a resolution of V . Then $g^*\varphi$ is a meromorphic 2-form on Y , whose pull back to X is holomorphic. By an easy local computation, this implies that $g^*\varphi$ is holomorphic. \square

(2.5) This applies for instance when V is a finite-dimensional symplectic vector space, and G a finite subgroup of $\text{Sp}(V)$. If we impose moreover that the non trivial elements of G have all their eigenvalues $\neq 1$, then V/G has an isolated symplectic singularity. As J. Wahl pointed out to me, a complete (and rather lengthy) list of such finite subgroups can be deduced from [Wo], thm. 7.2.18 (if $\dim(V) = 2$ we get the well-known list of finite subgroups of $\text{SL}(V)$, the corresponding quotient singularities being the rational double points). The simplest case is obtained when $G = \{\pm \text{Id}_V\}$; the quotient V/G is then isomorphic to the cone over the Veronese embedding of $\mathbf{P}(V)$ into $\mathbf{P}(\mathbf{S}^2V)$. In particular, the projective tangent cone at the singular point of V/G is isomorphic to $\mathbf{P}(V)$. It will follow from our Theorem and from §4 below that for all other isolated symplectic quotient singularities V/G , the projective tangent cone at the singular point is not smooth.

Proposition 2.4 also applies to the symmetric products $V^{(p)} = V^p/\mathfrak{S}_p$: if the variety V has symplectic singularities, so does $V^{(p)}$.

(2.6) Nilpotent orbits

Let \mathfrak{g} be a simple complex Lie algebra and $\mathcal{O} \subset \mathfrak{g}$ a nilpotent orbit (for the adjoint action)². Then *the normalization of the closure of \mathcal{O} in \mathfrak{g} has symplectic singularities*. This is due to Panyushev [P], who uses it to prove that this variety has rational Gorenstein singularities. The point is that \mathcal{O} can be identified with a coadjoint orbit using the Killing form, and therefore carries the Kostant-Kirillov symplectic 2-form.

In particular, the Lie algebra \mathfrak{g} contains a unique (non-zero) minimal nilpotent orbit \mathcal{O}_{\min} , which is contained in the closure of all non-zero nilpotent orbits. The closure $\overline{\mathcal{O}}_{\min} = \mathcal{O}_{\min} \cup \{0\}$ is normal, and has an isolated symplectic singularity at 0.

This singularity can be described as follows. The orbit \mathcal{O}_{\min} is stable by homotheties; the quotient $\mathbf{P}\mathcal{O}_{\min} := \mathcal{O}_{\min}/\mathbf{C}^*$ is a smooth, closed subvariety of $\mathbf{P}(\mathfrak{g})$. The variety $\overline{\mathcal{O}}_{\min}$ is the cone over $\mathbf{P}\mathcal{O}_{\min} \subset \mathbf{P}(\mathfrak{g})$. This means that we have a resolution $f : L^{-1} \rightarrow \overline{\mathcal{O}}_{\min}$, where L is the restriction of $\mathcal{O}_{\mathbf{P}(\mathfrak{g})}(1)$

² A general reference for nilpotent orbits is [C-M].

to $\mathbf{P}\mathcal{O}_{\min}$, and f contracts to 0 the zero section E of L^{-1} . In this situation f is the blow up of 0 in $\overline{\mathcal{O}}_{\min}$, and the exceptional divisor E , isomorphic to $\mathbf{P}\mathcal{O}_{\min}$, is the projective tangent cone to 0 in $\overline{\mathcal{O}}_{\min}$.

For instance, let V be a finite-dimensional symplectic vector space; the Lie algebra $\mathfrak{sp}(V)$ can be identified with S^2V , in such a way that \mathcal{O}_{\min} (resp. $\overline{\mathcal{O}}_{\min}$) is the image of $V - \{0\}$ (resp. V) by the map $v \mapsto v \cdot v$. In other words, $\overline{\mathcal{O}}_{\min}$ is isomorphic to $V/\{\pm 1\}$ (see (2.5)) and $\mathbf{P}\mathcal{O}_{\min}$ to $\mathbf{P}(V)$.

3. Characterization of minimal orbits singularities

(3.1) This section is devoted to the proof of the theorem stated in the introduction. So we let (V, ω) be an isolated symplectic singularity, $f : X \rightarrow V$ the blow up of the maximal ideal of \mathfrak{o} in V , and E the exceptional divisor. By construction E is isomorphic to the projective tangent cone to V at \mathfrak{o} ; we assume that it is smooth. Since E is a Cartier divisor in X it follows that X is smooth.

We denote by i the embedding of E in X , and put $L := i^*\mathcal{O}_X(-E)$. By the standard properties of the blow up the line bundle L on E is *very ample*.

(3.2) Let $\dim V = 2r$. We can assume that $V - \{0\}$ carries a symplectic 2-form which extends to a holomorphic 2-form φ on X ; we have $\operatorname{div}(\varphi^r) = kE$ for some integer $k \geq 0$. The adjunction formula gives $K_E = L^{-k-1}$, so that E is a Fano manifold. This implies $H^0(E, \Omega_E^p) = 0$ for each $p \geq 1$, and in particular $i^*\varphi = 0$.

Let $e \in E$. Since φ is closed, we can write $\varphi = d\alpha$ in a neighbourhood U of e in X , where α is a 1-form on U such that $i^*\alpha$ is closed. Shrinking U if necessary we can write $i^*\alpha = d(i^*g)$ for some function g on U ; replacing α by $\alpha - dg$ we may assume $i^*\alpha = 0$. If $u = 0$ is a local equation of E in U , this means that α is of the form $u\tilde{\theta} + h du$, where $\tilde{\theta}$ is a 1-form and h a function on U ; replacing α by $\alpha - d(hu)$ and $\tilde{\theta}$ by $\tilde{\theta} - dh$ we arrive at $\alpha = u\tilde{\theta}$ and

$$\varphi = du \wedge \tilde{\theta} + u d\tilde{\theta}.$$

This gives $\varphi^r = ru^{r-1} du \wedge \tilde{\theta} \wedge (d\tilde{\theta})^{r-1} + u^r (d\tilde{\theta})^r$. Thus the order of vanishing k of φ^r along E is $\geq r - 1$; the crucial point of the proof is the equality $k = r - 1$. We need an easy lemma:

Lemma 3.3.– *Let X be a smooth closed submanifold of a projective space \mathbf{P}^N , of degree ≥ 2 . Then $H^0(X, \wedge^p T_X(-p)) = 0$ for $0 < p < \dim(X)$, and for $p = \dim(X)$ except if X is a hyperquadric.*

Proof: When X is a hyperquadric our assertion is equivalent to $H^0(X, \Omega_X^q(q)) = 0$ for $0 < q < \dim(X)$, which can be checked by a direct computation (see for instance [K], thm. 3). We assume $\deg(X) \geq 3$.

The case $p = 1$ follows from a more general result of Wahl ([W], see remark below). Let $p \geq 2$; we use induction on the dimension of X , the

case of curves being clear. Let H be a smooth hyperplane section of X ; the exact sequence

$$0 \rightarrow T_H \rightarrow T_{X|H} \rightarrow \mathcal{O}_H(1) \rightarrow 0$$

gives rise to exact sequences

$$0 \rightarrow \wedge^p T_H(-p) \rightarrow \wedge^p T_{X|H}(-p) \rightarrow \wedge^{p-1} T_H(-(p-1)) \rightarrow 0.$$

By the induction hypothesis we conclude that $H^0(H, \wedge^p T_{X|H}(-p))$ is zero. Thus a section of $H^0(X, \wedge^p T_X(-p))$ must vanish on any smooth hyperplane section of X , and therefore vanishes identically. \square

Remark 3.4.– Wahl's result is rather easy in our situation: using the exact sequence

$$0 \rightarrow H^0(X, T_X(-1)) \rightarrow H^0(X, T_{\mathbf{P}^N}(-1)|_X) \rightarrow H^0(X, N_{X/\mathbf{P}^N}(-1))$$

and the isomorphism $C^{N+1} \xrightarrow{\sim} H^0(X, T_{\mathbf{P}^N}(-1)|_X)$ deduced from the Euler exact sequence, we see that a nonzero element of $H^0(X, T_X(-1))$ corresponds to a point $p \in \mathbf{P}^N$ such that all projective tangent spaces $\mathbf{P}T_x(X)$, for x in X , pass through p . This is easily seen to be impossible, for instance by induction on $\dim(X)$.

It seems natural to conjecture that the statement of the lemma extends to the more general situation considered in [W], namely that $H^0(X, \wedge^p T_X \otimes L^{-p}) = 0$ for $p > 0$ whenever L is ample, except if $(X, L) = (\mathbf{P}^n, \mathcal{O}_{\mathbf{P}^n}(1))$, with $n \geq p$, or $(X, L) = (Q_p, \mathcal{O}_{Q_p}(1))$.

(3.5) We now prove the equality $k = r - 1$. If $E = \mathbf{P}^{2r-1}$ and $L = \mathcal{O}_{\mathbf{P}^{2r-1}}(1)$, V is smooth; if $E = \mathbf{P}^1$ and $L = \mathcal{O}_{\mathbf{P}^1}(2)$, V is a surface with an ordinary double point. We exclude these two cases. The perfect pairing $\Omega_X^1 \otimes \Omega_X^{2r-1} \rightarrow K_X$ provides an isomorphism $\Omega_X^{2r-1} \cong T_X \otimes K_X$; thus exterior product with φ^{r-1} gives a linear map $\Omega_X^1 \rightarrow T_X(kE)$, which is an isomorphism outside E (it is the inverse of the isomorphism defined by φ). This map may vanish on E , say with order $k - j$ ($j \leq k$), so that we get a map $\lambda : \Omega_X^1 \rightarrow T_X(jE)$ whose restriction to E is nonzero. Observe that $\det \lambda$ is a section of $\mathcal{O}_X(2(rj - k)E)$ which is nonzero outside E , hence $k \leq rj$ and in particular $j \geq 0$.

We have a diagram of exact sequences

$$\begin{array}{ccccccc} 0 & \longrightarrow & L & \longrightarrow & \Omega_{X|E}^1 & \longrightarrow & \Omega_E^1 \longrightarrow 0 \\ & & & & \downarrow \lambda|_E & & \\ 0 & \longrightarrow & T_E \otimes L^{-j} & \longrightarrow & T_{X|E} \otimes L^{-j} & \longrightarrow & L^{-j-1} \longrightarrow 0. \end{array}$$

Since $j \geq 0$ we have $\text{Hom}(L, L^{-j-1}) = \text{Hom}(\Omega_E^1, L^{-j-1}) = \text{Hom}(L, T_E \otimes L^{-j}) = 0$ by Lemma 3.3. Thus $\lambda|_E$ factors through a map

$\mu : \Omega_E^1 \rightarrow T_E \otimes L^{-j}$; since λ is antisymmetric μ is antisymmetric, that is, comes from an element of $H^0(E, \wedge^2 T_E \otimes L^{-j})$.

Since $\lambda|_E$ is non-zero, Lemma 3.3 implies $j \leq 1$, hence $k \leq r$. Moreover if $k = rj$, $\det \lambda$ does not vanish, hence λ and therefore $\lambda|_E$ are isomorphisms; but this is impossible because $\lambda|_E$ vanishes on the sub-bundle $L \subset \Omega_{X|E}^1$. Thus we have $k < rj$, and therefore $j = 1$ and $k = r - 1$.

(3.6) Going back to the local computation of (3.2), we observe that the form $\theta := i^*\tilde{\theta}$ is defined globally as a section of $\Omega_E^1 \otimes L$: it is the image of $\varphi \in H^0(X, \Omega_X^2(\log E)(-E))$ by the residue map $\Omega_X^2(\log E)(-E) \rightarrow \Omega_E^1 \otimes \mathcal{O}_X(-E)|_E$. We now know that the $(2r)$ -form $du \wedge \tilde{\theta} \wedge (d\tilde{\theta})^{r-1}$ on U does not vanish, so the twisted $(2r - 1)$ -form $\theta \wedge (d\theta)^{r-1} \in H^0(E, K_E \otimes L^r)$ does not vanish. This means, by definition, that θ is a *contact structure* on the Fano manifold E . The classification of Fano contact manifolds is an interesting problem, with important applications to Riemannian geometry (see for instance [L] or [B2]). Here we have one more information, namely that the line bundle L is *very ample*; this implies that E is isomorphic to one of the homogeneous contact manifolds \mathbf{PC}_{\min} ([B1], cor. 1.8).

(3.7) It remains to show that the embedding of E in X is isomorphic, in some open neighbourhood of E , to the embedding of the zero section in the line bundle $L^{-1} \rightarrow E$. By a criterion of Grauert [G], it is sufficient to prove that the spaces $H^1(E, T_E \otimes L^k)$ and $H^1(E, L^k)$ are zero for $k \geq 1$. Since E is a Fano manifold, the second assertion follows from the Kodaira vanishing theorem; since the tangent bundle of E is spanned by its global sections, the first one follows from the Griffiths vanishing theorem ([Gr], Theorem G).

4 Local fundamental group

(4.1) In view of (2.3) it seems hopeless to classify all isolated symplectic singularities: there are too many quotient singularities, already in dimension 4. One way to get around this problem is to consider only singularities with *trivial local fundamental group*. We briefly recall the definition: if (V, o) is an isolated singularity, we can find a fundamental system $(V_n)_{n \geq 1}$ of neighbourhoods of o such that V_q is a deformation retract of V_p for $q \geq p$; the group $\pi_1(V_n)$, which is independent of n and of the particular fundamental system, is called the local fundamental group of V at o and denoted $\pi_1^o(V)$ (for a canonical definition one should be more careful about base points, but this is irrelevant here).

If (V, o) is a quotient of an isolated singularity (W, ω) by a finite group G acting on W with ω as only fixed point, we have an exact sequence

$$0 \rightarrow \pi_1^\omega(W) \rightarrow \pi_1^o(V) \rightarrow G \rightarrow 0$$

(in particular $\pi_1^o(V) = G$ if W is smooth of dimension ≥ 2). Conversely, to each surjective homomorphism of $\pi_1^o(V)$ onto a finite group G corresponds

an isolated singularity (W, ω) with an action of G fixing only ω such that $W/G \cong V$; if (V, ω) is a symplectic singularity, so is (W, ω) . Therefore a first step in a possible classification is to study isolated symplectic singularities with trivial local fundamental group. It turns out that the singularities $(\overline{\mathcal{O}}_{\min}, 0)$ are of this type (with one exception):

Proposition 4.2.– *Let \mathfrak{g} be a simple complex Lie algebra, and $\mathcal{O}_{\min} \subset \mathfrak{g}$ its minimal nilpotent orbit. Then $\pi_1^0(\overline{\mathcal{O}}_{\min}) = 0$ except if \mathfrak{g} is of type C_r ($r \geq 1$); in that case $\pi_1^0(\overline{\mathcal{O}}_{\min}) = \mathbf{Z}/(2)$, and the corresponding double covering of $\overline{\mathcal{O}}_{\min}$ is smooth.*

Proof: Consider the resolution $f : L^{-1} \rightarrow \overline{\mathcal{O}}_{\min}$ (2.6); denote by $E \subset L^{-1}$ the zero section. Let D be a tubular neighbourhood of E in L^{-1} , and $D^* = D - E$. Since the homogeneous space $\mathbf{P}\mathcal{O}_{\min}$ is simply-connected, the homotopy exact sequence of the fibration $f : D^* \rightarrow \mathbf{P}\mathcal{O}_{\min}$ reads

$$H_2(\mathbf{P}\mathcal{O}_{\min}, \mathbf{Z}) \xrightarrow{\partial} \mathbf{Z} \longrightarrow \pi_1(D^*) \rightarrow 0,$$

where the map ∂ corresponds to the Chern class $c_1(L^{-1}) \in H^2(\mathbf{P}\mathcal{O}_{\min}, \mathbf{Z})$.

Put $\dim \mathbf{P}\mathcal{O}_{\min} = 2r - 1$. Since $K_{\mathbf{P}\mathcal{O}_{\min}} = L^{-r}$, the class $c_1(L)$ is primitive unless $\mathbf{P}\mathcal{O}_{\min} = \mathbf{P}^{2r-1}$, which occurs exactly when \mathfrak{g} is of type C_r (see [B1]). Assume this is not the case. The homotopy exact sequence gives $\pi_1(D^*) = 0$; since the pull back of any neighbourhood of 0 in $\overline{\mathcal{O}}_{\min}$ contains a tubular neighbourhood of E , this implies $\pi_1^0(\overline{\mathcal{O}}_{\min}) = 0$.

If \mathfrak{g} is of type C_r the same argument gives $\pi_1^0(\overline{\mathcal{O}}_{\min}) = \mathbf{Z}/(2)$; actually we have seen in (2.6) that $\overline{\mathcal{O}}_{\min}$ is isomorphic to the quotient of C^{2r} by the involution $v \mapsto -v$. \square

(4.3) It would be interesting to find more examples of isolated symplectic singularities with trivial local fundamental group, and also examples with *infinite* local fundamental group.

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