

Ranges of functors and elementary classes via topos theory

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- (iii) What is the elementary class generated by the essential image of F ?

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- $$:= \text{Mod}(\bigcap_{A \in \mathcal{A}} \text{Th}(F(A)))$$

Rephrasing of (iii): Is there some first order statement true for every $F(A)$, but not for all B s ?

Example 1: Dilworth's congruence lattice problem

$$\begin{aligned}\mathbf{Lat} &\rightarrow \mathbf{AlgDistLat} \\ L &\mapsto \mathit{Con}(L)\end{aligned}$$

Question (Dilworth 1940s) : Is every $\mathbf{AlgDistLat}$ of the form $\mathit{Con}(L)$?

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$$\begin{array}{lcl} \mathbf{Lat} & \rightarrow & \mathbf{DistSemLat} \\ L & \mapsto & \mathit{Con}_c(L) \end{array}$$

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Theorem (Wehrung/Tůma 2007/9): There are DistSemLats of cardinality $\geq \aleph_2$ not of the form $Con_c(L)$.

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Corollary: \nexists first order sentence holding for all $Con_c(L)$, but not for general DistSemLats.

My motivating examples:

- Representation problem for special groups (quadratic form theory)
- Which graded $\mathbb{Z}/2$ -algebras arise as Milnor K-theory of a field? (possible applications to inverse Galois problem)

What are *your* examples?

Definition: Let κ be a cardinal, Σ a first order signature.

- (i) A κ -geometric formula is a formula built from atomic formulas, \top , \perp , using $\bigvee_{j \in J}$ (J a set), $\bigwedge_{i \in I}$ ($|I| < \kappa$) and $\exists\{x_i\}_{i \in I}$ ($|I| < \kappa$).
- (ii) A κ -geometric theory is a theory which can be axiomatized by formulas of the form $\forall\{x_i\} \phi \rightarrow \psi$, where ϕ, ψ are κ -geometric formulas. (κ -geometric sequents)
- (iii) For a class of Σ -structures \mathcal{C} denote by $Th_{\kappa\text{-geom}}(\mathcal{C})$ the κ -geometric theory of \mathcal{C} , i.e. the set of all κ -geometric sequents that are valid in every member of \mathcal{C} .
- (iv) Denote by $Th_{\neg\kappa\text{-geom}}(\mathcal{C})$ the set of negations of κ -geometric formulas (i.e. sequents of the form $\forall\bar{x} \phi \rightarrow \perp$), that are valid in every member of \mathcal{C} .

Definition: Let κ be a regular cardinal.

(i) A diagram is κ -directed if...

A_i

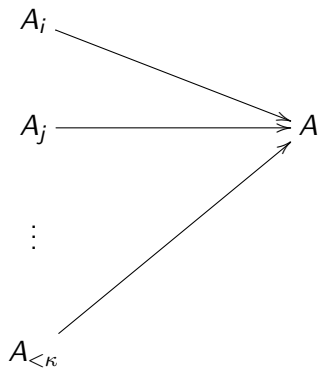
A_j

\vdots

$A_{<\kappa}$

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$$\text{Hom}(A, \text{colim } D) \cong \text{colim}_{d \in \text{Ob } D} \text{Hom}(A, d)$$

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- **Fields** is \aleph_0 -accessible
- **Theorem:** \mathbb{T} finitary first order theory over a countable signature. Then $\text{Mod}(\mathbb{T})$ is \aleph_1 -accessible.
- **Theorem:** The κ -accessible categories are exactly the ones of the form $\text{Mod}(\mathbb{T})$ for a κ -geometric theory \mathbb{T} .

The result

Theorem (A.): Let \mathcal{A}, \mathcal{B} be κ -accessible categories, $\mathcal{A} = \text{Mod}(\mathbb{T})$, $\mathcal{B} = \text{Mod}(\mathbb{S})$ for κ -geometric theories. Denote by $\mathcal{A}_\kappa, \mathcal{B}_\kappa$ the subcategories of κ -presentable objects. Suppose we have

$$\begin{array}{ccc} \mathcal{A} & \xrightarrow{F} & \mathcal{B} \\ \uparrow & & \uparrow \\ \mathcal{A}_\kappa & \xrightarrow{F_\kappa} & \mathcal{B}_\kappa \end{array} \quad \text{preserving } \kappa\text{-filtered colimits}$$

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Example 1: (Dilworth's congruence lattice problem)

$$\begin{aligned} \mathbf{Lat} &\rightarrow \mathbf{DistSemLat} \\ L &\mapsto \mathit{Con}_c(L) \end{aligned}$$

preserves \aleph_1 -filtered colimits and \aleph_1 -presentable objects.

Theorem (Huhn 1985): Every $\mathbf{DistSemLat}$ of cardinality $\leq \aleph_1$ is of the form $\mathit{Con}_c(L)$.

Corollary: \nexists an \aleph_1 -geometric sequent that holds for all $\mathit{Con}_c(L)$ but not for general $\mathbf{DistSemLats}$.

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More generally: For different such functors $F: \mathcal{A} \rightarrow \mathcal{B}$, $F': \mathcal{A}' \rightarrow \mathcal{B}$ one has $\text{Th}_{\kappa\text{-geom}}(F(\mathcal{A})) = \text{Th}_{\kappa\text{-geom}}(F'(\mathcal{A}')) \supseteq \mathbb{S}$ if and only if $F_\kappa(\mathcal{A})$ and $F'_\kappa(\mathcal{A}')$ have equivalent idempotent completions.

Example

Example 2: $\kappa = \aleph_0$, $\mathcal{B} = \mathbf{Groups}$, $\mathcal{A} = \text{Mod}(Th_{\text{geom}}(F(n)))$ where $F(n)$ is the free group on n generators.

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Proposition: For $m < n$ we have $Th_{geom}(F(m)) \neq Th_{geom}(F(n))$.

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Actually for $m < n$ we have $Th_{\text{geom}}(F(n)) \subsetneq Th_{\text{geom}}(F(m))$.

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Then the following hold:

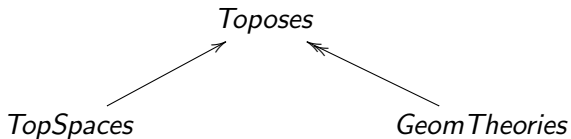
(b) If $F_\kappa: \mathcal{A}_\kappa \rightarrow \mathcal{B}_\kappa$ is *fully faithful*, then $F(\mathcal{A}) = \text{Mod}(\mathbb{S}')$ for some axiomatic extension $\mathbb{S}' \supseteq \mathbb{S}$ (i.e. the essential image $F(\mathcal{A})$ can be characterized by additional κ -geometric sequents in the language of \mathbb{S}).

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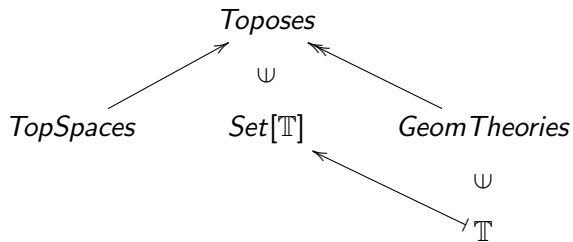
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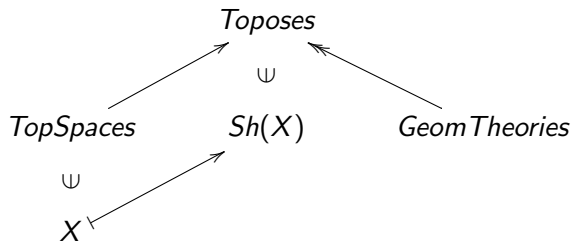
(c) If one has that every $B \in \mathcal{B}_\kappa$ admits a morphism to $F(A)$, for some $A \in \mathcal{A}_\kappa$, then $\text{Th}_{\neg\kappa\text{-geom}}(F(\mathcal{A})) = \text{Th}_{\neg\kappa\text{-geom}}(\mathcal{B})$, i.e. the objects in the essential image of F and general objects of \mathcal{B} satisfy exactly the same negations of κ -geometric formulas.

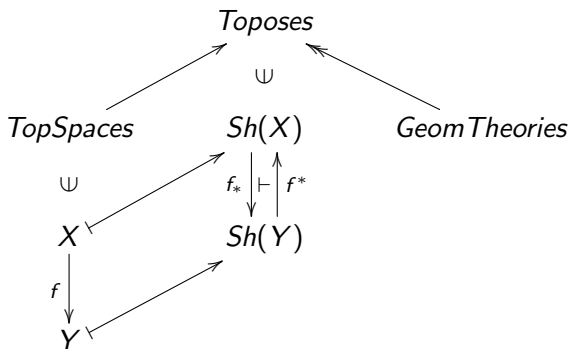


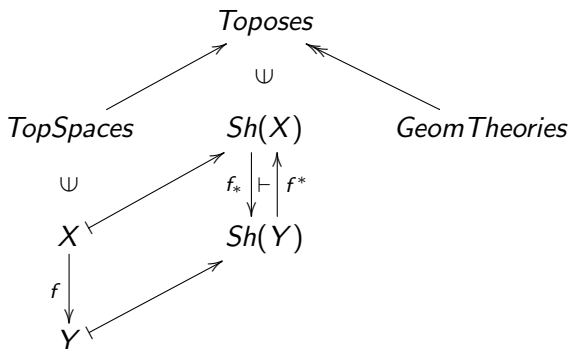
About the proof



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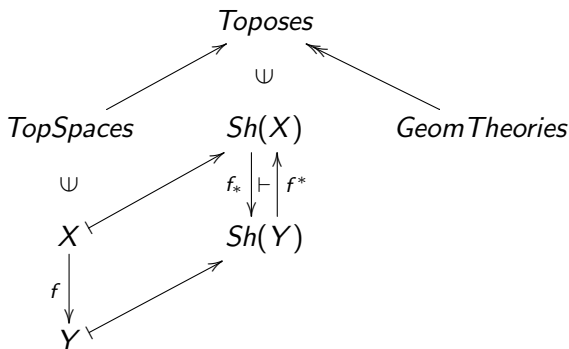






For good enough spaces:

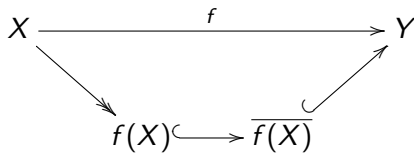
- f surjective $\Leftrightarrow f^*$ faithful
- f embedding $\Leftrightarrow f_*$ fully faithful
- $f(X)$ dense in $Y \Leftrightarrow f_*(0) \cong 0$
- f closed inclusion $\Leftrightarrow f^*(G) \cong G \times U$ for a subterminal object U



For good enough spaces:

- f surjective $\Leftrightarrow f^*$ faithful $\Leftrightarrow (f_*, f^*)$ is a surjective geometric morphism
- f embedding $\Leftrightarrow f_*$ fully faithful $\Leftrightarrow (f_*, f^*)$ is an inclusion
- $f(X)$ dense in $Y \Leftrightarrow f_*(0) \cong 0 \Leftrightarrow (f_*, f^*)$ is dominant
- f closed inclusion $\Leftrightarrow f^*(G) \cong G \times U \Leftrightarrow (f_*, f^*)$ is a closed inclusion

In TopSpaces:



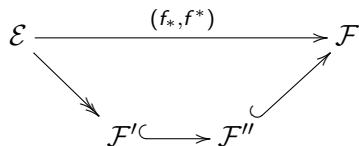
In TopSpaces:

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & \searrow & \nearrow \\ & f(X) \hookrightarrow \overline{f(X)} & \end{array}$$

In Toposes:

$$\begin{array}{ccc} \mathcal{E} & \xrightarrow{(f_*, f^*)} & \mathcal{F} \\ & \searrow & \nearrow \\ & \mathcal{F}' \hookrightarrow \mathcal{F}'' & \end{array}$$

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For classifying toposes:

$$\begin{array}{ccc} \text{Set}[\mathbb{T}] & \xrightarrow{(f_*, f^*)} & \text{Set}[\mathbb{S}] \\ & \searrow & \nearrow \\ & \text{Set}[\mathbb{S}'] \hookrightarrow \text{Set}[\mathbb{S}''] & \end{array}$$

$\mathbb{S}' \supseteq \mathbb{S}'' \supseteq \mathbb{S}$ axiomatic extensions over the same signature.

For classifying toposes:

$$\begin{array}{ccc}
 f^*(M_{\mathbb{S}}) & \longleftarrow & M_{\mathbb{S}} \\
 \cap & & \cap \\
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$\mathbb{S}' \supseteq \mathbb{S}'' \supseteq \mathbb{S}$ axiomatic extensions over the same signature, namely:

$$\mathbb{S}' = \{\text{geometric sequents satisfied by } f^*(M_{\mathbb{S}})\} = Th_{\text{geom}}(f^*(M_{\mathbb{S}}))$$

$$\begin{aligned}
 \mathbb{S}'' &= \{\text{negations of geometric formulas satisfied by } f^*(M_{\mathbb{S}})\} \cup \mathbb{S} \\
 &= Th_{\neg\text{-geom}}(f^*(M_{\mathbb{S}})) \cup \mathbb{S}
 \end{aligned}$$

Definition: A κ -geometric morphism is a geometric morphism (f_*, f^*) such that f^* preserves κ -small limits.

Fact: A κ -accessible category \mathcal{A} is the category of *Set*-valued models of the κ -geometric theory \mathbb{T} classified by the topos $\text{Set}^{\mathcal{A}_\kappa^{op}}$:

$$\mathcal{A} \simeq \text{Mod}(\mathbb{T}) \simeq \kappa\text{-geom}(\text{Set}, \text{Set}^{\mathcal{A}_\kappa^{op}})$$

About the proof

$$\mathcal{A} \simeq \text{Mod}(\mathbb{T}) \simeq \kappa\text{-geom}(\text{Set}, \text{Set}^{\mathcal{A}_{\kappa}^{\text{op}}})$$

The hypotheses ensure that the functor $F: \mathcal{A} = \text{Mod}(\mathbb{T}) \rightarrow \text{Mod}(\mathbb{S}) = \mathcal{B}$ is induced by composing with a κ -geometric morphism

$$\text{Set}[\mathbb{T}]_{\kappa} := \text{Set}^{\mathcal{A}_{\kappa}^{\text{op}}} \rightarrow \text{Set}^{\mathcal{B}_{\kappa}^{\text{op}}} =: \text{Set}[\mathbb{S}]_{\kappa}$$

$$\begin{array}{ccc} \text{Set} & & \\ \downarrow & & \\ \text{Set}[\mathbb{T}]_{\kappa} & \xrightarrow{(f_*, f^*)} & \text{Set}[\mathbb{S}]_{\kappa} \end{array}$$

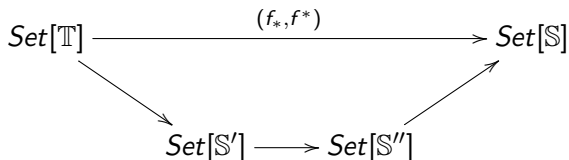
About the proof

$$\mathcal{A} \simeq \text{Mod}(\mathbb{T}) \simeq \kappa\text{-geom}(\text{Set}, \text{Set}^{\mathcal{A}_{\kappa}^{\text{op}}})$$

Factorize this morphism as

A commutative diagram illustrating the factorization of a morphism. At the top left is the category Set . A vertical arrow points down to $\text{Set}[\mathbb{T}]_{\kappa}$. A horizontal arrow points from $\text{Set}[\mathbb{T}]_{\kappa}$ to $\text{Set}[\mathbb{S}]_{\kappa}$, labeled (f_*, f^*) . Below $\text{Set}[\mathbb{T}]_{\kappa}$ is $\text{Set}[\mathbb{S}']_{\kappa}$, with an arrow pointing down and to the right. Below $\text{Set}[\mathbb{S}']_{\kappa}$ is $\text{Set}[\mathbb{S}'']_{\kappa}$, with an arrow pointing right. An arrow points from $\text{Set}[\mathbb{S}'']_{\kappa}$ up and to the right to $\text{Set}[\mathbb{S}]_{\kappa}$. A curved arrow also points from $\text{Set}[\mathbb{S}']_{\kappa}$ to $\text{Set}[\mathbb{S}]_{\kappa}$.

About the proof



where $S' \supseteq S'' \supseteq S$ are axiomatic extensions over the same signature, namely:

$$S' := Th_{\kappa\text{-geom}}(F(\mathcal{A}))$$

$$S'' := Th_{\neg\kappa\text{-geom}}(F(\mathcal{A})) \cup S$$

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$$\begin{array}{ccc} \text{Set}[\mathbb{T}] & \xrightarrow{(f_*, f^*)} & \text{Set}[\mathbb{S}] \\ & \searrow & \nearrow \\ & \text{Set}[\mathbb{S}'] \longrightarrow \text{Set}[\mathbb{S}''] & \end{array}$$

where $\mathbb{S}' \supseteq \mathbb{S}'' \supseteq \mathbb{S}$ are axiomatic extensions over the same signature, namely: $\mathbb{S}' := \text{Th}_{\kappa\text{-geom}}(F(\mathcal{A}))$, $\mathbb{S}'' := \text{Th}_{\neg\kappa\text{-geom}}(F(\mathcal{A})) \cup \mathbb{S}$

The conditions on F_κ ensure

- in case **(a)**: that the 2nd and 3rd morphisms are equivalences
- in case **(b)**: that the 1st morphism is an equivalence
- in case **(c)**: that the 3rd morphism is an equivalence

About the proof

The factorization is a κ -geometric variant of the

surjection - dense inclusion - closed inclusion

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This factorization becomes computable, and the conditions on F_κ exploitable, because

1. the toposes are *presheaf toposes*
2. the geometric morphisms are “essential”
(i.e. induced by functors between the index categories)

(using joint work with Eduardo Ochs)

Both 1. and 2. are made possible by passage from geometric to κ -geometric morphisms!

Recall: A κ -geometric morphism is a geometric morphism (f_*, f^*) such that f^* preserves κ -small limits.

Proposition(A.): For a κ -geometric morphism (f_*, f^*) the above factorization yields κ -geometric morphisms.

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How to compute the factorization?

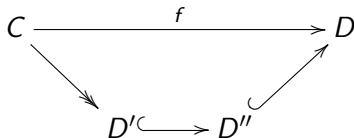
A functor between small categories $f: C \rightarrow D$ yields a κ -geometric morphism

$$\mathit{Set}^C \begin{array}{c} \xrightarrow{\text{Ran}_f} \\ \xleftarrow{- \circ f} \end{array} \mathit{Set}^D$$

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Proposition (joint w/ Eduardo Ochs): For this geometric morphism the factorization is induced by a factorization of f :



where

- D' is the full subcategory of D whose objects are in the image of f
- D'' is the full subcategory of D whose objects admit a morphism into the image of f

Thus we get:

$$\begin{array}{ccc}
 \text{Set}^C & \xrightarrow{f} & \text{Set}^D \\
 \searrow & & \nearrow \\
 & \text{Set}^{D'} \hookrightarrow \text{Set}^{D''} &
 \end{array}$$

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When proving the theorem we *are* in this situation:

- $\text{Set}[\mathbb{T}]_{\kappa} \simeq \text{Set}^{\mathcal{A}_{\kappa}}$ is a presheaf category
- $F(\mathcal{A}_{\kappa}) \subseteq \mathcal{B}_{\kappa}$ ensures that $\text{Set}^{\mathcal{A}_{\kappa}^{op}} \rightarrow \text{Set}^{\mathcal{B}_{\kappa}^{op}}$ is induced by

$$F_{\kappa} : (\mathcal{A}_{\kappa})^{op} \rightarrow \mathcal{B}_{\kappa}^{op}$$

Advantages of being able to choose $\kappa > \aleph_0$:

- includes all accessible categories into the scope
- ensures that our categories are models of κ -geometric theories *of presheaf type* \Rightarrow can apply the easy factorization theorem.
- often makes sure that κ -presentable objects are preserved

Continuations:

- applications
- exploit other factorizations
- ∞ -categorical version
- relation to Wehrung's work?