# Equations and logic on words 

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## Overview

Logic on words

Duality

Equations between words

Equations between languages

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Equations between languages

## Regular languages: example

- A programming problem: given a natural number in binary, $w \in\{0,1\}^{*}$, determine if $w$ is congruent 1 modulo 3 .


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Answer yes iff $A$ accepts $w$.

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Answer yes iff $A$ accepts $w$.

- Solution 2: a homomorphism $\varphi:\{0,1\}^{*} \rightarrow S_{3}$ defined by

$$
0 \mapsto(12), \quad 1 \mapsto(01) .
$$

Answer yes iff the permutation $\varphi(w)$ sends 0 to 1 .

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- Solution 1: a (deterministic) automaton $A$ :


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- Solution 3: an MSO sentence $\varphi$ :
$\exists Q_{0} \exists Q_{1} \exists Q_{2}\left(Q_{0}(\right.$ first $) \wedge Q_{1}($ last $) \wedge$
$\left.\forall x\left[0(x) \wedge Q_{0}(x) \rightarrow Q_{0}(\mathrm{~S} x)\right] \wedge\left[1(x) \wedge Q_{0}(x) \rightarrow Q_{1}(\mathrm{~S} x)\right] \wedge \ldots\right)$.
Answer yes iff $w$ satisfies the formula $\varphi$.


## Regular languages

Regular languages are subsets $L \subseteq \Sigma^{*}$ which are ...

- recognizable by a finite automaton;
- invariant under a finite index monoid congruence;
- definable by a monadic second order sentence.

Myhill-Nerode 1958; Büchi 1960

## Logic on words

- Syntax. Monadic Second Order (MSO) logic over $<, \boldsymbol{\Sigma}$.
- Basic propositional connectives: $\wedge, \neg$.
- Quantification over first-order variables $x, y, \ldots$ and monadic second-order variables $P, Q, \ldots$
- Relational signature: $x<y, \mathrm{a}(x)$ for $a \in \Sigma$.


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- Relational signature: $x<y, \mathrm{a}(x)$ for $a \in \Sigma$.
- Semantics. A word $w=a_{1} \ldots a_{n}$ gives a structure $W$.
- The underlying set of $W$ is $\{1, \ldots, n\}$.
- The natural linear order $<{ }^{W}$ interprets the binary predicate $<$.
- For every letter $a \in \Sigma, \mathrm{a}^{W}:=\left\{i \in\{1, \ldots, n\}: a_{i}=a\right\}$.


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- For a sentence $\varphi, L_{\varphi}:=\left\{w \in \Sigma^{*}|w|=\varphi\right\}$.
- A language $L$ is regular iff $L=L_{\varphi}$ for some $\varphi$ in MSO.
- Shortcuts such as $\mathrm{S}(x)$, first, last, $\subseteq$, $\ldots$ are MSO-definable.

Logic on words: examples
$\varphi: \exists P[P($ first $) \wedge \neg P($ last $) \wedge \forall x(P(x) \leftrightarrow \neg P(\mathrm{~S}(x))]$.

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$\psi$ and $\psi^{\prime}$ are equivalent, and $\psi^{\prime}$ is first order.
Question. Does such an equivalent first order formula exist for $\varphi$ ?


## Monoids and finite index congruences

- A monoid is a set $M$ equipped with an associative binary operation and a unit.
- The set $\Sigma^{*}$ of finite words is a free monoid.
- multiplication is concatenation;
- unit is the empty word $\epsilon$;


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- A monoid is a set $M$ equipped with an associative binary operation and a unit.
- The set $\Sigma^{*}$ of finite words is a free monoid.
- multiplication is concatenation;
- unit is the empty word $\epsilon$;
- A congruence on $M$ is an equivalence relation $\theta$ which respects multiplication.
- The quotient $M / \theta$ is again a monoid;
- A congruence $\theta$ has finite index if $M / \theta$ is finite.
- A language $L \subseteq \Sigma^{*}$ is regular iff there exists a finite index congruence $\theta_{L}$ under which $L$ is invariant:

$$
w \in L \text { and } w \theta_{L} w^{\prime} \text { implies } w^{\prime} \in L .
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## Logic on words

## Duality

## Equations between words

Equations between languages

## Duality

Key insight. The connection between MSO logic on words and monoids is an instance of Stone-Jónsson-Tarski duality.

| Algebra | Space |
| :---: | :---: |
| Lindenbaum algebra of a logic | Canonical model |
| Residuated Boolean algebra of <br> regular languages | (Pro)finite monoid |

Gehrke, Grigorieff, Pin 2008

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## Profinite monoids and their clopens

- A profinite monoid is a monoid equipped with a Boolean topology in which multiplication is continuous.
- Also: a limit of finite monoids with the discrete topology.


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- A profinite monoid is a monoid equipped with a Boolean topology in which multiplication is continuous.
- Also: a limit of finite monoids with the discrete topology.
- A subset of a profinite monoid is clopen iff it is recognizable, i.e., invariant under a finite index topological congruence.


## Duality and profinite monoids

- There are natural division operators on the Boolean algebra of clopen sets of a profinite monoid:

$$
K \backslash L=\{m \mid m K \subseteq L\}, \quad L / K=\{m \mid K m \subseteq L\} .
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- These are 'box' operators dual to the monoid multiplication, more precisely, to two distinct ternary relations derived from it.


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Under this duality...

- the free profinite monoid is dual to the residuated Boolean algebra of all regular languages;
- quotients of the free profinite monoid correspond to
subalgebras of regular languages that are ideals for division.


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## Logic and monoids

## A language $L \subseteq \Sigma^{*}$ is MSO-definable

if, and only if,
$L$ is invariant under a finite index monoid congruence.

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A congruence $\theta$ on $\Sigma^{*}$ is called aperiodic if $\Sigma^{*} / \theta$ does not have non-trivial subgroups.

Schützenberger 1965; McNaughton, Papert 1971

In a finite monoid, any element $x$ has a unique idempotent, $x^{\omega}$, in its orbit $\left\{x, x^{2}, x^{3}, \ldots\right\}$.

Fact. A finite monoid is aperiodic iff it validates the equation

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x^{\omega}=x^{\omega} x
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The quotient of the free profinite monoid obtained by enforcing $x^{\omega}=x^{\omega} x$ is the free pro-aperiodic monoid.
This is the dual space of the residuated algebra of FO-definable languages (instance of Eilenberg-Reiterman).

Logic on words: example revisited
$\varphi: \exists P[P($ first $) \wedge \neg P($ last $) \wedge \forall x(P(x) \leftrightarrow \neg P(\mathrm{~S}(x))]$.

- $L_{\varphi}=\{w: w$ has even length $\}$.

Question. Does an equivalent first order formula exist for $\varphi$ ?

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No, because:

- any quotient under which $L_{\varphi}$ is invariant must contain a subgroup $\mathbb{Z}_{2}$;
- for any generator a of the free profinite monoid, we have $a^{\omega} \in \widehat{L_{\varphi}}$ and $a^{\omega} a \notin \widehat{L_{\varphi}}$, so $L_{\varphi}$ 'falsifies' the equation $x^{\omega}=x^{\omega} x$.


## The free profinite aperiodic monoid

Theorem.

The free profinite aperiodic monoid
$=$
The topological monoid of ultrafilters of FO-definable languages
$=$
The topological monoid of $\equiv_{\text {FO }}$-classes of pseudo-finite words.
G. \& Steinberg STACS 2017

## Pseudo-finite words

- By a pseudo-finite word we mean a first-order structure $\left(W,<,\left(a^{W}\right)_{a \in \Sigma}\right)$ that is a model of the theory of finite words.
- A pseudo-finite word is a discrete linear order with endpoints which is partitioned by the sets $a^{W}$


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- The first-order sentence

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is true in every finite word, but not in $a^{\mathbb{N}}+b^{\mathbb{N}^{\text {op }}}$.

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## Ultrafilters and pseudo-finite words

- An ultrafilter $\mathcal{U}$ of FO-definable languages uniquely determines an $\equiv_{F O}$-class $[W]$ of pseudo-finite words.
- This is a homeomorphism between the ultrafilter space and the space of types.
- There is a natural topological monoid multiplication on types:

$$
\text { if } W \equiv W^{\prime} \text { then } V W \equiv V W^{\prime} \text { and } W V \equiv W^{\prime} V
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## An application: the aperiodic $\omega$-word problem

Decision problem. Given two terms in • and ()$^{\omega}$, are they equal in every finite aperiodic monoid?

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Decision problem. Given two terms in • and ()$^{\omega}$, are they equal in the free profinite aperiodic monoid?

## Realizing $\omega$-words as $\omega$-saturated models

- A countable model is $\omega$-saturated if it realizes all the complete types over a finite parameter set.
- The following pseudo-finite words are $\omega$-saturated:
- finite words;
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- Crucially, substitutions of $\omega$-saturated words into $\omega$-saturated words are again $\omega$-saturated.
- Thus, any $\omega$-term can be realized as an $\omega$-saturated word.
- Using the uniqueness of countable $\omega$-saturated models, equality of $\omega$-terms reduces to isomorphism of these words, which we know is decidable.

Hüschenbett \& Kufleitner STACS 2013;
G. \& Steinberg STACS 2017

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## Solving equations

- Solve for $x \in \mathbb{R}: x^{2}+1=0$.


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- A $T$-structure $A$ is existentially closed* if any existential sentence that becomes true in some $T$-structure extending $A$ already holds in $A$.
- This property is often first order definable:
- Linear orders without endpoints: density;
- Boolean algebras: atomless;
- Heyting algebras: mimick fields, use uniform interpolation.

[^0]
## Model companion

A first order theory $T^{*}$ which captures the existentially closed models for a universal theory $T$ is called a model companion of $T$.

## Theorem.

The theory $T^{*}$, if it exists, is the unique theory such that:

1. $T$ and $T^{*}$ believe the same universal sentences;
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1. $T$ and $T^{*}$ believe the same universal sentences;
$T$ and $T^{*}$ are co-theories
2. $T^{*}$ believes any sentence to be equivalent to an existential sentence.
$T^{*}$ is model complete

Robinson, 1963

## Model companions and languages

## Theorem.

The first order theory $T^{*}$ of an algebra for word languages, $\mathcal{P}(\omega)$,
is the model companion of
a theory $T$ of algebras for a linear temporal logic.

Ghilardi \& G. JSL 2017

## Proof idea: set-up

## Skip

- Enrich the Boolean algebra $\mathcal{P}(\omega)$ with temporal operators:
- $\mathbf{X}_{a}:=\{t \in \omega \mid t+1 \in a\}$,
- $\mathbf{F} a:=\left\{t \in \omega \mid \exists t^{\prime} \geq t: t^{\prime} \in a\right\}$,
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- Axioms for temporal logic $\rightarrow$ a first order theory $T$.


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- Enrich the Boolean algebra $\mathcal{P}(\omega)$ with temporal operators:
- $\mathbf{X} a:=\{t \in \omega \mid t+1 \in a\}$,
- $\mathbf{F} a:=\left\{t \in \omega \mid \exists t^{\prime} \geq t: t^{\prime} \in a\right\}$,
- $\mathbf{I}:=\{0\}$.
- Axioms for temporal logic $\rightarrow$ a first order theory $T$.

Theorem. The theory $T^{*}$ of $\mathcal{P}(\omega)$ is the model companion of $T$.
i.e., $T^{*}$ is model complete and $T^{*}$ is a co-theory of $T$.

## Proof idea: co-theories

- Need to show: any equation of the form $t(\bar{p})=T$ that is valid in $\mathcal{P}(\omega)$ is valid in all $T$-structures.
- The theory $T$ axiomatizes linear temporal logic on $\mathbf{X}, \mathbf{F}, \mathbf{I}$ :
- Boolean algebra axioms, $\mathbf{X}$ is a homomorphism, $\mathbf{F} a$ is the least fix point of the function $x \mapsto a \vee \mathbf{X} x$.
- $\mathbf{I}$ is an atom and $\mathbf{I} \leq \mathbf{F}$ a whenever $a \neq \perp$.


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- If $t(\bar{p}) \neq T$ in some $T$-structure $A$, consider its dual space $X$.
- By carefully using filtration-type techniques, we may read off from $X$ a valuation $\bar{p} \rightarrow \mathcal{P}(\omega)$ which invalidates $t(\bar{p})=T$.


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- Build an automaton $A$ for $\Phi$.
- Describe the automaton $A$ with an existential first order formula $\varphi^{\prime}$ in the temporal algebra $\mathcal{P}(\omega)$.
- Conclusion. $\mathcal{P}(\omega)$ believes that any first order formula $\varphi$ is equivalent to an existential formula $\varphi^{\prime}$.


## Model companions and languages

Theorem.

The first order theory $T^{*}$ of an algebra for word languages, $\mathcal{P}(\omega)$,
is the model companion of a theory $T$ of algebras for a linear temporal logic.

Ghilardi \& G. JSL 2017

## Model companions and languages

Theorem.

The first order theory $T^{*}$ of an algebra for tree languages, $\mathcal{P}\left(2^{*}\right)$,
is the model companion of
a theory $T$ of algebras for a fair computation tree logic.

Ghilardi \& G. LICS 2016

## The future

- From FO to MSO
- Model companions for more logics
- Using ordered spaces


[^0]:    * If the class of $T$-structures does not have amalgamation, a more complicated definition is needed.

