Bridges between Logic and Algebra Part 2: Pitts' Theorem & A General Framework

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- can be presented via a sequent calculus that admits cut elimination
- is decidable, has the disjunction property, and admits interpolation.



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- explain some of the nuts and bolts of universal algebra
- investigate consequence and interpolation in this algebraic setting.

Classical logic admits interpolation: for any formulas $\alpha(\overline{\mathbf{x}}, \overline{\mathbf{y}})$, $\beta(\overline{\mathbf{y}}, \overline{z})$ satisfying $\alpha \vdash_{\mathsf{CL}} \beta$,

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For example. . .

$$\alpha = \neg(\mathbf{x} \to \mathbf{y})$$

$$\beta = \mathbf{y} \to \neg \mathbf{z}$$

 $\gamma =$



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For example. . .

 $\alpha = \neg(\mathbf{x} \to \mathbf{y})$

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In fact, for any formula $\delta(\mathbf{y}, \overline{\mathbf{z}})$,

$$\alpha \vdash_{\mathsf{CL}} \delta \ \iff \ \gamma \vdash_{\mathsf{CL}} \delta.$$



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 $\beta(\overline{\mathbf{y}},\overline{z})\vdash_{\mathsf{CL}} \alpha(\overline{\mathbf{x}},\overline{\mathbf{y}}) \iff \beta(\overline{\mathbf{y}},\overline{z})\vdash_{\mathsf{CL}} \alpha^{\mathsf{L}}(\overline{\mathbf{y}})$

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$$\alpha(\overline{\mathbf{x}},\overline{\mathbf{y}}) \vdash_{\mathsf{CL}} \beta(\overline{\mathbf{y}},\overline{z}) \iff \alpha^{R}(\overline{\mathbf{y}}) \vdash_{\mathsf{CL}} \beta(\overline{\mathbf{y}},\overline{z}).$$

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$$\alpha(\overline{\mathbf{x}}, \overline{\mathbf{y}}) \vdash_{\mathsf{CL}} \beta(\overline{\mathbf{y}}, \overline{z}) \iff \alpha^{R}(\overline{\mathbf{y}}) \vdash_{\mathsf{CL}} \beta(\overline{\mathbf{y}}, \overline{z}).$$

Proof.

Given any formula $\alpha(\overline{\mathbf{x}}, \overline{\mathbf{y}})$, we just define

$$\alpha^{L}(\overline{\mathbf{y}}) = \bigwedge \{ \alpha(\overline{\mathbf{a}}, \overline{\mathbf{y}}) \mid \overline{\mathbf{a}} \subseteq \{\bot, \top\} \}$$

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Given any formula $\alpha(\overline{\mathbf{x}},\overline{\mathbf{y}})$, we just define

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Theorem (Pitts 1992)

Intuitionistic logic admits uniform interpolation: for any formula $\alpha(\overline{\mathbf{x}}, \overline{\mathbf{y}})$, there exist formulas $\alpha^{L}(\overline{\mathbf{y}})$ and $\alpha^{R}(\overline{\mathbf{y}})$ such that for any formula $\beta(\overline{\mathbf{y}}, \overline{\mathbf{z}})$,

$$\begin{aligned} &\alpha(\overline{\mathbf{x}},\overline{\mathbf{y}}) \vdash_{\mathsf{IL}} \beta(\overline{\mathbf{y}},\overline{z}) &\iff \alpha^{R}(\overline{\mathbf{y}}) \vdash_{\mathsf{IL}} \beta(\overline{\mathbf{y}},\overline{z}) \\ &\beta(\overline{\mathbf{y}},\overline{z}) \vdash_{\mathsf{IL}} \alpha(\overline{\mathbf{x}},\overline{\mathbf{y}}) \iff \beta(\overline{\mathbf{y}},\overline{z}) \vdash_{\mathsf{IL}} \alpha^{L}(\overline{\mathbf{y}}). \end{aligned}$$

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$$\begin{aligned} &\alpha(\overline{\mathbf{x}},\overline{\mathbf{y}}) \vdash_{\mathsf{IL}} \beta(\overline{\mathbf{y}},\overline{z}) &\iff \alpha^{R}(\overline{\mathbf{y}}) \vdash_{\mathsf{IL}} \beta(\overline{\mathbf{y}},\overline{z}) \\ &\beta(\overline{\mathbf{y}},\overline{z}) \vdash_{\mathsf{IL}} \alpha(\overline{\mathbf{x}},\overline{\mathbf{y}}) \iff \beta(\overline{\mathbf{y}},\overline{z}) \vdash_{\mathsf{IL}} \alpha^{L}(\overline{\mathbf{y}}). \end{aligned}$$

Proof idea. We define $\alpha^{L}(\overline{y})$ and $\alpha^{R}(\overline{y})$ by induction on the "weight" of α , guided by derivability in a suitable terminating sequent calculus...

Identity Axioms

 $\overline{\Gamma, x \Rightarrow x}$ (id)

Left Operation Rules **Right Operation Rules** $\frac{1}{\Gamma_{\perp} + \Rightarrow \delta} \stackrel{(\perp \Rightarrow)}{=}$ $\Gamma \Rightarrow \top$ ($\Rightarrow \top$) $\frac{\Gamma, \alpha, \beta \Rightarrow \delta}{\Gamma \alpha \land \beta \Rightarrow \delta} (\land \Rightarrow)$ $\frac{\Gamma \Rightarrow \alpha \quad \Gamma \Rightarrow \beta}{\Gamma \Rightarrow \alpha \land \beta} \; (\Rightarrow \land)$ $\frac{\Gamma, \alpha \Rightarrow \delta \quad \Gamma, \beta \Rightarrow \delta}{\Gamma, \alpha \lor \beta \Rightarrow \delta} \ (\lor \Rightarrow)$ $\frac{\Gamma \Rightarrow \alpha}{\Gamma \Rightarrow \alpha \lor \beta} (\Rightarrow \lor)_{I} \quad \frac{\Gamma \Rightarrow \beta}{\Gamma \Rightarrow \alpha \lor \beta} (\Rightarrow \lor)_{r}$ $\frac{\Gamma, \alpha \to \beta \Rightarrow \alpha \quad \Gamma, \beta \Rightarrow \delta}{\Gamma \quad \alpha \to \beta \Rightarrow \delta} \quad (\to \Rightarrow) \qquad \frac{\Gamma, \alpha \Rightarrow \beta}{\Gamma \Rightarrow \alpha \to \beta} \quad (\Rightarrow \to)$

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$$\frac{\Gamma, \alpha \to \beta \Rightarrow \alpha \quad \Gamma, \beta \Rightarrow \delta}{\Gamma, \alpha \to \beta \Rightarrow \delta} \ (\to \Rightarrow)$$

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with the decomposition rules

 $\frac{\Gamma \Rightarrow \delta}{\Gamma, \bot \to \beta \Rightarrow \delta}$

$$\frac{\Gamma, \alpha \to \beta \Rightarrow \alpha \quad \Gamma, \beta \Rightarrow \delta}{\Gamma, \alpha \to \beta \Rightarrow \delta} \ (\to \Rightarrow)$$

$$\frac{\Gamma \Rightarrow \delta}{\Gamma, \bot \to \beta \Rightarrow \delta} \qquad \frac{\Gamma, x, \beta \Rightarrow \delta}{\Gamma, x, x \to \beta \Rightarrow \delta}$$

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\frac{\Gamma, \beta \Rightarrow \delta}{\Gamma, \top \to \beta \Rightarrow \delta} \qquad \frac{\Gamma, \alpha_1 \to \beta, \alpha_2 \to \beta \Rightarrow \delta}{\Gamma, (\alpha_1 \lor \alpha_2) \to \beta \Rightarrow \delta}$$

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$$\overline{\Rightarrow ((x \to y) \land ((x \to y) \to x)) \to y} \ (\Rightarrow \to)$$

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$$\frac{\overline{(x \to y) \land ((x \to y) \to x) \Rightarrow y}}{\Rightarrow ((x \to y) \land ((x \to y) \to x)) \to y} \stackrel{(\land \Rightarrow)}{\Rightarrow}$$

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$$\frac{x \to y, (x \to y) \to x \Rightarrow y}{(x \to y) \land ((x \to y) \to x) \Rightarrow y} \xrightarrow{(\land \Rightarrow)} (\Rightarrow)$$

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$$\frac{\overline{x \to y, y \to x \Rightarrow x \to y}}{\left|\frac{x \to y, (x \to y) \to x \Rightarrow y}{(x \to y) \land ((x \to y) \to x) \Rightarrow y}\right|} (\rightarrow \Rightarrow)$$

$$\frac{\frac{x \to y, (x \to y) \to x \Rightarrow y}{(x \to y) \land ((x \to y) \to x) \Rightarrow y}}{\Rightarrow ((x \to y) \land ((x \to y) \to x)) \to y} (\Rightarrow \rightarrow)$$

Image: A match a ma

$$\frac{\overline{x \to y, y \to x, x \Rightarrow y}}{x \to y, y \to x \Rightarrow x \to y} \xrightarrow{(\Rightarrow \to)} (\to \Rightarrow)$$

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An Example Derivation

$$\frac{\overline{y, y \to x, x \Rightarrow y}}{x \to y, y \to x, x \Rightarrow y} \stackrel{(id)}{(\Rightarrow \to)} \\
\frac{\overline{x \to y, y \to x, x \Rightarrow y}}{x \to y, y \to x \Rightarrow x \to y} \stackrel{(\to \Rightarrow)}{(\Rightarrow \to)} \\
\frac{\overline{x \to y, (x \to y) \to x \Rightarrow y}}{(x \to y) \land ((x \to y) \to x) \Rightarrow y} \stackrel{(\land \Rightarrow)}{(\Rightarrow \to)} \\
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June 2019 9 / 29

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$$\operatorname{wt}(x) = \operatorname{wt}(\bot) = \operatorname{wt}(\top) = 1;$$

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•
$$\operatorname{wt}(\alpha \wedge \beta) = \operatorname{wt}(\alpha) + \operatorname{wt}(\beta) + 2$$
,

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$$\operatorname{wt}(\alpha \wedge \beta) = \operatorname{wt}(\alpha) + \operatorname{wt}(\beta) + 2$$
,

yielding a well-ordering \prec on formulas

$$\alpha \prec \beta :\iff \operatorname{wt}(\alpha) < \operatorname{wt}(\beta).$$

Weighing Sequents

We then obtain also a well-ordering on multisets of formulas

$$\label{eq:Gamma-constraint} \begin{split} \Gamma \prec \Pi \ : & \longleftrightarrow \quad \begin{aligned} \Gamma = \Gamma', \Delta \ \text{and} \ \Pi = \Pi', \Delta \ \text{with} \ \Pi' \neq \emptyset \ \text{and} \\ \text{each} \ \alpha \in \Gamma' \ \text{is} \ \prec \text{-smaller than some} \ \beta \in \Pi' \end{split}$$

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and on sequents by defining

$$\mathsf{\Gamma} \Rightarrow \alpha \ \prec \ \mathsf{\Pi} \Rightarrow \beta \ : \Longleftrightarrow \ \mathsf{\Gamma}, \alpha \ \prec \ \mathsf{\Pi}, \beta.$$

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The premises of each rule of GIL^* are all \prec -smaller than its conclusion;

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$$\Gamma \Rightarrow \alpha \prec \Pi \Rightarrow \beta \iff \Gamma, \alpha \prec \Pi, \beta.$$

The premises of each rule of GIL^{*} are all \prec -smaller than its conclusion; e.g., wt($\alpha_1 \rightarrow (\alpha_2 \rightarrow \beta)$) < wt(($\alpha_1 \land \alpha_2$) $\rightarrow \beta$)

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$$\label{eq:Gamma-state-formula} \Gamma \Rightarrow \alpha \ \prec \ \Pi \Rightarrow \beta \ : \Longleftrightarrow \ \ \Gamma, \alpha \ \prec \ \Pi, \beta.$$

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$$\Gamma, \alpha_1 \to (\alpha_2 \to \beta) \Rightarrow \delta \prec \Gamma, (\alpha_1 \land \alpha_2) \to \beta \Rightarrow \delta.$$

Hence proof search in GIL* is terminating.

$$\vdash_{\mathsf{GIL}^*} \alpha_1, \ldots, \alpha_n \Rightarrow \beta \iff \vdash_{\mathsf{IL}} (\alpha_1 \land \ldots \land \alpha_n) \to \beta.$$

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$$\vdash_{\mathsf{GIL}^*} \alpha_1, \dots, \alpha_n \Rightarrow \beta \iff \vdash_{\mathsf{IL}} (\alpha_1 \land \dots \land \alpha_n) \to \beta.$$

Proof.

 (\Rightarrow) It suffices to check that the new implication left rules of GIL* preserve derivability in IL;

$$\vdash_{\mathsf{GIL}^*} \alpha_1, \dots, \alpha_n \Rightarrow \beta \iff \vdash_{\mathsf{IL}} (\alpha_1 \land \dots \land \alpha_n) \to \beta.$$

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 $\vdash_{\mathsf{IL}} (\gamma \land (\alpha_1 \to \beta) \land (\alpha_2 \to \beta)) \to \delta \implies \vdash_{\mathsf{IL}} (\gamma \land ((\alpha_1 \lor \alpha_2) \to \beta)) \to \delta.$

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(\Leftarrow) It suffices to prove that any sequent that is derivable in GIL° is also derivable in GIL*,

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June 2019 12 / 29

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Note. GIL* can also be used to show that derivability in IL is in PSPACE.

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(i) $\operatorname{Var}(E_x(\Gamma)) \subseteq \operatorname{Var}(\Gamma) \setminus \{x\}$ and $\operatorname{Var}(A_x(\Gamma; \alpha)) \subseteq \operatorname{Var}(\Gamma, \alpha) \setminus \{x\};$

Lemma

For any sequent $\Gamma \Rightarrow \alpha$, there exist formulas $E_x(\Gamma)$ and $A_x(\Gamma; \alpha)$ such that

(i) $\operatorname{Var}(E_x(\Gamma)) \subseteq \operatorname{Var}(\Gamma) \setminus \{x\}$ and $\operatorname{Var}(A_x(\Gamma; \alpha)) \subseteq \operatorname{Var}(\Gamma, \alpha) \setminus \{x\};$

(ii)
$$\vdash_{\mathsf{GIL}^*} \Gamma \Rightarrow E_x(\Gamma)$$
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The Key Lemma for Uniform Interpolation

Lemma

For any sequent $\Gamma \Rightarrow \alpha$, there exist formulas $E_x(\Gamma)$ and $A_x(\Gamma; \alpha)$ such that (i) $\operatorname{Var}(E_x(\Gamma)) \subseteq \operatorname{Var}(\Gamma) \setminus \{x\}$ and $\operatorname{Var}(A_x(\Gamma; \alpha)) \subseteq \operatorname{Var}(\Gamma, \alpha) \setminus \{x\}$; (ii) $\vdash_{\mathsf{GIL}^*} \Gamma \Rightarrow E_x(\Gamma)$ and $\vdash_{\mathsf{GIL}^*} \Gamma, A_x(\Gamma; \alpha) \Rightarrow \alpha$; (iii) whenever $\vdash_{\mathsf{GIL}^*} \Pi, \Gamma \Rightarrow \alpha$ and $x \notin \operatorname{Var}(\Pi)$, $\vdash_{\mathsf{GIL}^*} \Pi, E_x(\Gamma) \Rightarrow \alpha$ if $x \notin \operatorname{Var}(\alpha)$ and $\vdash_{\mathsf{GIL}^*} \Pi, E_x(\Gamma) \Rightarrow A_x(\Gamma; \alpha)$.

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The formulas $E_x(\Gamma)$ and $A_x(\Gamma; \alpha)$ are defined simultaneously by induction over the well-ordering \prec

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Γ', y	$E_x(\Gamma') \wedge y$
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The calculus GIL* is then used to check that conditions (i)-(iii) are satisfied.

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- lemhoff has shown recently that any intermediate or modal logic having a certain decomposition calculus admits uniform interpolation.

Independence in intuitionistic logic is decidable; that is, there exists an algorithm to decide for formulas $\alpha_1, \ldots, \alpha_n$ if for any formula $\beta(y_1, \ldots, y_n)$,

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For formulas $\alpha_1(\overline{\mathbf{x}}), \ldots, \alpha_n(\overline{\mathbf{x}})$, let $\gamma(\overline{\mathbf{x}}, \overline{\mathbf{y}}) = (y_1 \leftrightarrow \alpha_1) \land \ldots \land (y_n \leftrightarrow \alpha_n)$ and observe that for any formula $\beta(\overline{\mathbf{y}})$,

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By the constructive proof of Pitts' theorem, we obtain a right uniform interpolant $\gamma_R(\overline{y})$ such that for any formula $\beta(\overline{y})$,

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Independence in intuitionistic logic is decidable; that is, there exists an algorithm to decide for formulas $\alpha_1, \ldots, \alpha_n$ if for any formula $\beta(y_1, \ldots, y_n)$,

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Theorem (Ghilardi and Zawadowski 1997)

(a) The opposite of the category of finitely presented Heyting algebras is an r-Heyting category.

(b) The first-order theory of Heyting algebras has a model completion.

A. Day. Varieties of Heyting algebras, II (Amalgamation and injectivity). Unpublished note (1972).

D. de Jongh and L.A. Chagrova. The decidability of dependency in intuitionistic propositional logic. *Journal of Symbolic Logic* 60 (1995), no. 2, 498–504.

R. Dyckhoff. Intuitionistic decision procedures since Gentzen. *Advances in Proof Theory*, Birkhäuser (2016), 245–267.

S. Ghilardi and M. Zawadowski. Sheaves, Games and Model Completions, Kluwer (2002).

A.M. Pitts. On an interpretation of second-order quantification in first-order intuitionistic propositional logic. *Journal of Symbolic Logic* 57 (1992), 33–52.

K. Schütte. Der Interpolationssatz der intuitionistischen Pradikatenlogik. *Mathematische Annalen* 148 (1962), 192–200.

A General Setting

We make use of basic tools from universal algebra as found in, e.g.



S.N. Burris and H.P. Sankappanavar. *A Course in Universal Algebra*. Springer Graduate Texts in Mathematics, 1981.

http://www.math.uwaterloo.ca/~snburris/htdocs/ualg.html

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We will use $\overline{\mathbf{x}}, \overline{\mathbf{y}}, \overline{\mathbf{z}}$ to denote disjoint (possibly infinite) sets of variables, and let $\mathbf{Tm}(\overline{\mathbf{x}})$ denote the **term** \mathcal{L} -algebra over $\overline{\mathbf{x}}$ with members $\alpha, \beta, \gamma, \ldots$

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A congruence Θ on an \mathcal{L} -algebra **A** is an equivalence relation on A that is preserved by each *n*-ary operation symbol \star of \mathcal{L} , i.e.,

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The congruences of **A** form a complete lattice $\langle \operatorname{Con} \mathbf{A}, \subseteq \rangle$ with bottom element $\Delta_A = \{ \langle a, a \rangle \mid a \in A \}$ and top element $\nabla_A = A \times A$.

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We also let $\operatorname{Cg}_{a}(R)$ denote the congruence on A generated by $R \subseteq A \times A$.
Given any $\Theta \in \text{Con } \mathbf{A}$, the **quotient** \mathcal{L} -algebra \mathbf{A}/Θ consists of the set $A/\Theta := \{ [a]_{\Theta} \mid a \in A \} \text{ where } [a]_{\Theta} := \{ b \in A \mid \langle a, b \rangle \in \Theta \}$

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equipped for each *n*-ary operation symbol \star of \mathcal{L} with an *n*-ary operation

$$\star^{\mathbf{A}/\Theta}([a_1]_{\Theta},\ldots,[a_n]_{\Theta})=[\star^{\mathbf{A}}(a_1,\ldots,a_n)]_{\Theta}.$$

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So the kernels of homomorphisms from A are exactly the congruences of A.

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We let \mathcal{V} be any \mathcal{L} -variety, e.g., Boolean algebras, Heyting algebras, MV-algebras, modal algebras, groups, rings, bounded lattices, groups...

 $\begin{array}{ll} \Sigma \models_{\mathcal{V}} \varepsilon & :\Longleftrightarrow \end{array} \begin{array}{ll} \text{for any } \mathsf{A} \in \mathcal{V} \text{ and homomorphism } e \colon \mathsf{Tm}(\overline{x}) \to \mathsf{A}, \\ & \Sigma \subseteq \ker(e) \implies \varepsilon \in \ker(e). \end{array}$

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Note. If we fix \overline{x} , then $\models_{\mathcal{V}}$ is an equational consequence relation.

$$\mathsf{F}(\overline{x}) \,=\, \mathsf{Tm}(\overline{x})/\Theta_{\mathcal{V}}(\overline{x}) \quad \text{where } \, \langle \alpha,\beta\rangle \in \Theta_{\mathcal{V}}(\overline{x}) \,: \Longleftrightarrow \, \models_{\mathcal{V}} \alpha \approx \beta.$$

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We write α to denote both a term α in $Tm(\overline{x})$ and $[\alpha]_{\Theta_{\mathcal{V}}(\overline{x})}$ in $F(\overline{x})$;

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- 3. The free monoid over \overline{x} consists of all words over \overline{x} , and the free group over \overline{x} consists of all reduced words over \overline{x} and $\{x_i^{-1} \mid x_i \in \overline{x}\}$.

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June 2019

27 / 29

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- (b) For any $\mathbf{A} \in \mathcal{V}$ and map $f : \overline{\mathbf{x}} \to A$, there exists a unique homomorphism $\hat{f} : \mathbf{F}(\overline{\mathbf{x}}) \to \mathbf{A}$ satisfying $\hat{f}(x_i) = f(x_i)$ for all $x_i \in \overline{\mathbf{x}}$.
- (c) Each $A \in \mathcal{V}$ is a homomorphic image of some free algebra of \mathcal{V} .
- (d) For any equation ε with variables in \overline{x} ,

$$\models_{\mathcal{V}} \varepsilon \iff \mathbf{F}(\overline{x}) \models \varepsilon.$$

Lemma

For any set of equations $\Sigma \cup \{\varepsilon\}$ with variables in \overline{x} ,

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June 2019 28 / 29

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