# Bridges between Logic and Algebra 

## Part 4: Case Studies

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## This Lecture

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Today...

- we will consider some case studies, focussing first on modal logics.


## Modal Logics

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Modal logics may be presented syntactically via axiom systems, sequent calculi, etc., and semantically via Kripke models, modal algebras, etc.

## Frames and Models

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A formula $\alpha$ is valid in $\mathfrak{M}$, written $\mathfrak{M} \vDash \alpha$, if $w \vDash \alpha$ for all $w \in \mathcal{W}$.

## Normal Modal Logics

The basic modal logic K can be defined by extending any axiomatization of classical propositional logic with the axiom schema

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Moreover, all these logics have the finite model property.

## Modal Algebras

A modal algebra consists of a Boolean algebra extended with a unary operation $\square$ satisfying

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In particular, each Kripke frame $\langle W, R\rangle$ yields a complex modal algebra

$$
\left\langle\mathcal{P}(W), \cap, \cup,{ }^{c}, \emptyset, W, \square\right\rangle \quad \text { where } \square A:=\{w \in W \mid R w v \text { for all } v \in A\}
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## Equivalence

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\mathcal{V}_{\mathrm{L}}:=\left\{\mathbf{A} \in \mathcal{K}\left|\vdash_{\mathrm{L}} \alpha \Longrightarrow \mathbf{A}\right|=\alpha \approx \top\right\} .
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## Theorem

$\mathcal{V}_{\mathrm{L}}$ is an equivalent algebraic semantics for L with transformers

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\tau(\alpha)=\alpha \approx \top \quad \text { and } \quad \rho(\alpha \approx \beta)=\alpha \leftrightarrow \beta .
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That is, for any set of formulas $T \cup\{\alpha, \beta\}$ and set of equations $\Sigma$,
(i) $T \vdash_{\mathrm{L}} \alpha \Longleftrightarrow \tau[T] \models_{\mathcal{V}_{\mathrm{L}}} \tau(\alpha)$;
(ii) $\Sigma \models_{\mathcal{V}_{\mathrm{L}}} \alpha \approx \beta \Longleftrightarrow \rho[T] \vdash_{\mathrm{L}} \rho(\alpha \approx \beta)$;
(iii) $\alpha \vdash_{\llcorner } \rho(\tau(\alpha))$ and $\alpha \approx \beta=\models_{\mathcal{\nu}_{\mathrm{L}}} \tau(\rho(\alpha \approx \beta))$.

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Note. However, L admits Craig interpolation, i.e.,

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## Uniform Interpolation in Modal Logic

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K does not have uniform deductive interpolation.

## Uniform Interpolation in Modal Logic

## Theorem (Ghilardi 1995, Visser 1996, Bilková 2007)

K has uniform Craig interpolation; that is, for any formula $\alpha(\bar{x}, \bar{y})$, there exist formulas $\alpha^{L}(\bar{y})$ and $\alpha^{R}(\bar{y})$ such that

$$
\begin{aligned}
\vdash_{\mathrm{K}} \alpha(\bar{x}, \bar{y}) \rightarrow \beta(\bar{y}, \bar{z}) & \Longleftrightarrow \vdash_{\mathrm{K}} \alpha^{R}(\bar{y}) \rightarrow \beta(\bar{y}, \bar{z}) \\
\vdash_{\mathrm{K}} \beta(\bar{y}, \bar{z}) \rightarrow \alpha(\bar{x}, \bar{y}) & \Longleftrightarrow \vdash_{\mathrm{K}} \beta(\bar{y}, \bar{z}) \rightarrow \alpha^{L}(\bar{y}) .
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## Theorem (Kowalski and Metcalfe 2018)

K does not have uniform deductive interpolation.

## Recall. . .

A variety $\mathcal{V}$ has deductive interpolation if for any set of equations $\Sigma(\bar{x}, \bar{y})$, there exists a set of equations $\Delta(\bar{y})$ such that

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\Sigma(\bar{x}, \bar{y}) \models_{\mathcal{V}} \varepsilon(\bar{y}, \bar{z}) \Longleftrightarrow \Delta(\bar{y}) \models_{\mathcal{V}} \varepsilon(\bar{y}, \bar{z}) .
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## Recall. . .

A variety $\mathcal{V}$ has right uniform deductive interpolation if for any finite set of equations $\Sigma(\bar{x}, \bar{y})$, there exists a finite set of equations $\Delta(\bar{y})$ such that

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Equivalently, $\mathcal{V}$ has deductive interpolation and for any finite set of equations $\Sigma(\bar{x}, \bar{y})$, there exists a finite set of equations $\Delta(\bar{y})$ such that

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## Recall also. . .

## Theorem (Kowalski and Metcalfe 2019)

The following are equivalent:
(1) For any finite set of equations $\Sigma(\bar{x}, \bar{y})$, there is a finite set of equations $\Delta(\bar{y})$ such that

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(2) For finite $\bar{x}, \bar{y}$, the compact lifting of $\mathbf{F}(\bar{y}) \hookrightarrow \mathbf{F}(\bar{x}, \bar{y})$ has a right adjoint; that is,

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\Theta \in \operatorname{KCon} \mathbf{F}(\bar{x}, \bar{y}) \Longrightarrow \Theta \cap F(\bar{y})^{2} \in \operatorname{KCon} \mathbf{F}(\bar{y}) .
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(3) $\mathcal{V}$ is coherent: every finitely generated subalgebra of a finitely presented member of $\mathcal{V}$ is finitely presented.

## A Failure of Coherence

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## Corollary

The variety of modal algebras does not admit right uniform deductive interpolation and its first-order theory does not have a model completion.
T. Kowalski and G. Metcalfe. Coherence in modal logic.

Proceedings of AiML 2018, College Publications (2018), 236-251.
T. Kowalski and G. Metcalfe. Uniform interpolation and coherence. Annals of Pure and Applied Logic 170(7) (2019), 825-841.

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Claim. $\Sigma \models_{\mathcal{K}} \varepsilon(y, z) \Longleftrightarrow \Delta \models_{\mathcal{K}} \varepsilon(y, z)$.

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\Sigma=\{y \leq x, x \leq z, x \approx \square x\} \quad \text { and } \quad \Delta=\left\{y \leq \square^{k} z \mid k \in \mathbb{N}\right\}
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Claim. $\Sigma \models_{\mathcal{K}} \varepsilon(y, z) \Longleftrightarrow \Delta \models_{\mathcal{K}} \varepsilon(y, z)$.
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Then also $\Sigma \subseteq \operatorname{ker}(e)$, and hence $\Sigma \not \models_{\mathcal{K}} \varepsilon(y, z)$.

## An Obvious Question

Can we generalize this proof to other varieties?

## A General Criterion

Theorem (Kowalski and Metcalfe 2019)
Let $\mathcal{V}$ be a coherent variety of algebras with a meet-semilattice reduct

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Then $\mathcal{V} \models \alpha^{n}(x, \bar{u}) \approx \alpha^{n+1}(x, \bar{u})$ for some $n \in \mathbb{N}$.

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E.g., K, KT, K4, S4, and S5 are strongly Kripke complete, but not GL.

## Coherence and Weak Transitivity

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Hence a large family of non-weakly-transitive varieties of modal algebras are not coherent, do not admit right uniform deductive interpolation, and their first-order theories do not have a model completion.

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Let L be a normal modal logic admitting finite chains such that $\mathcal{V}_{\mathrm{L}}$ is canonical: that is, closed under taking canonical extensions. Then
(a) $\mathcal{V}_{\mathrm{L}}$ is not coherent;
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In particular, this theorem applies to $\mathcal{V}_{\mathrm{K} 4}$ and $\mathcal{V}_{\mathrm{S} 4}$.

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Ghilardi and Zawadowski have also proved that no logic extending K4 that has the finite model property and admits all finite reflexive chains and the two-element cluster is coherent.
S. Ghilardi and M. Zawadowski. Sheaves, Games and Model Completions, Kluwer (2002).

## Coherence in Algebra

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The varieties of groups, semigroups, and monoids are not coherent, since every finitely generated recursively presented member of these varieties embeds into a finitely presented member.

## Lattices

## Theorem (Schmidt 1981)

The variety $\mathcal{L A \mathcal { T }}$ of lattices is not coherent, does not admit right uniform deductive interpolation, and its first-order theory does not have a model completion.

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We obtain an easier proof of this result using our criterion with the term

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## Residuated Lattices

A residuated lattice is an algebraic structure $\langle A, \wedge, \vee, \cdot, \backslash, /$, e $\rangle$ such that $\langle A, \wedge, \vee\rangle$ is a lattice, $\langle A, \cdot, \mathrm{e}\rangle$ is a monoid, and for all $a, b, c \in A$,

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Applying our criterion with the term $\alpha(x)=(x \wedge e)^{2}$, we obtain:

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It follows that varieties of residuated lattices for the most well-studied substructural logics are not coherent, do not admit right uniform deductive interpolation, and their first-order theories do not have a model completion.

## Problem 1: Dealing with Failure

We have seen that the most well-studied modal and substructural logics, and many important varieties from algebra, are not coherent.

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This problem has been considered for certain description logics, using bisimulations to calculate uniform interpolants when they exist.
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Can we develop similar methods for constructing uniform interpolants for modal logics, lattices, residuated lattices, etc.?

## Problem 2: Understanding Fixpoints

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Indeed for certain fixpoint modal logics, the fixpoint operators have been used to construct uniform interpolants.
G. D'Agostino. Uniform interpolation, bisimulation quantifiers, and fixed points. Proceedings of TbiLLC'05, pages 96-116, 2005.

## Problem 3: Understanding Model Completions

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Can we extend the following theorem beyond varieties?

## Theorem (van Gool, Metcalfe, and Tsinakis 2017)

Suppose that a variety $\mathcal{V}$ has left and right uniform interpolation and for any finite $\bar{x}$ and finite set of equations $\Sigma(\bar{x}), \Delta(\bar{x})$ with $\bar{x}$ finite, there exists a finite set of equations $\Pi(\bar{x})$ such that for any finite set of equations $\Gamma(\bar{x})$,

$$
\Gamma, \Sigma \models \mathcal{v} \Delta \Longleftrightarrow \Gamma \not \models \mathcal{V} \sqcap .
$$

Then the theory of $\mathcal{V}$ has a model completion.

## Problem 3: Understanding Model Completions

Can we extend the following theorem beyond varieties?

## Theorem (van Gool, Metcalfe, and Tsinakis 2017)

Suppose that a variety $\mathcal{V}$ has left and right uniform interpolation and for any finite $\bar{x}$ and finite set of equations $\Sigma(\bar{x}), \Delta(\bar{x})$ with $\bar{x}$ finite, there exists a finite set of equations $\Pi(\bar{x})$ such that for any finite set of equations $\Gamma(\bar{x})$,

$$
\ulcorner, \Sigma \models \mathcal{V} \Delta \Longleftrightarrow \Gamma \models \mathcal{V} \Pi .
$$

Then the theory of $\mathcal{V}$ has a model completion.
Can we understand the extra property in Wheeler's theorem using logic?

## Theorem (Wheeler 1976)

The theory of a variety $\mathcal{V}$ has a model completion if and only if $\mathcal{V}$ is coherent, admits the amalgamation property, and has the conservative congruence extension property for its finitely presented members.

## Problem 4: Tackling Independence

Can we extend the notion of independence to a more general setting?

## Theorem (De Jongh and Chagrova 1995)

Independence in intuitionistic logic is decidable; that is, there exists an algorithm to decide for formulas $\alpha_{1}, \ldots, \alpha_{n}$ if for any formula $\beta\left(y_{1}, \ldots, y_{n}\right)$,

$$
\vdash_{\mathrm{IL}} \beta\left(\alpha_{1}, \ldots, \alpha_{n}\right) \Longrightarrow \vdash_{\mathrm{IL}} \beta .
$$

D. de Jongh and L.A. Chagrova.

The decidability of dependency in intuitionistic propositional logic. Journal of Symbolic Logic 60(2) (1995), 498-504.

## Independence in Varieties

Let $\mathcal{V}$ be any variety and let us call $t_{1}, \ldots, t_{n} \in \operatorname{Tm}(\bar{x})$ independent in $\mathcal{V}$ if for all $u, v \in \operatorname{Tm}\left(y_{1}, \ldots, y_{n}\right)$,

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\models_{\mathcal{V}} u(\bar{t}) \approx v(\bar{t}) \quad \Longrightarrow \quad \models_{\mathcal{V}} u \approx v
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$$
\models_{\nu} u(\bar{t}) \approx v(\bar{t}) \quad \Longrightarrow \quad \models_{v} u \approx v
$$

E.g., $x_{1} \wedge\left(x_{2} \vee x_{3}\right)$ and $x_{2} \vee\left(x_{1} \wedge x_{3}\right)$ are dependent in the variety of distributive lattices - just consider the equation $y_{1} \wedge y_{2} \approx y_{1}$

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Note. For vector spaces, independence is just linear independence.

## An Algebraic Characterization

For $t_{1}, \ldots, t_{n} \in \operatorname{Tm}(\bar{x})$, consider the homomorphism defined by

$$
h: \mathbf{F}(\bar{y}) \rightarrow \mathbf{F}(\bar{x}) ; \quad y_{i} \mapsto t_{i} .
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Equivalently, $t_{1}, \ldots, t_{n}$ are independent in $\mathcal{V}$ if and only if the subalgebra of $\mathbf{F}(\bar{x})$ generated by $t_{1}, \ldots, t_{n}$ is free for $\mathcal{V}$ over $t_{1}, \ldots, t_{n}$.

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Note. For free algebras, independence coincides with a more general notion studied by Marczewski, Narkiewicz, Urbanik, Gould, and others.

## Reducing Independence to Validity

## Lemma

Suppose that for any $t_{1}, \ldots, t_{n} \in \operatorname{Tm}(\bar{x})$, a finite set of equations $\Pi_{\bar{t}}(\bar{y})$ can be constructed such that for any equation $\varepsilon(\bar{y})$,

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\left\{y_{1} \approx t_{1}, \ldots, y_{n} \approx t_{n}\right\} \models_{\mathcal{V}} \varepsilon \Longleftrightarrow \Pi_{\bar{t}} \models_{\mathcal{V}} \varepsilon .
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$t_{1}, \ldots, t_{n}$ are independent in $\mathcal{V} \Longleftrightarrow \models_{\mathcal{V}} \varepsilon$ for all $\varepsilon \in \Pi_{\bar{t}}$, and if the equational theory of $\mathcal{V}$ is decidable, so is independence in $\mathcal{V}$.

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Hence a constructive proof of coherence for $\mathcal{V}$ can be used to prove the decidability of independence;

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Problem 4a. Is there an easier proof for the case of intuitionistic logic?

## Examples

Independence is decidable...

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- in every locally finite variety


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Problem 4b. Are there varieties where independence is undecidable?

## Reducing Independence to Non-Validity

## Lemma

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## Reducing Independence to Non-Validity

## Lemma

Suppose that we can find a finite set $\Delta\left(y_{1}, \ldots, y_{n}\right)$ of equations satisfying
(i) $\vDash_{\mathcal{V}} \delta$ for each $\delta \in \Delta$
(ii) for every equation $\varepsilon(\bar{y})$ with $\forall_{\mathcal{V}} \varepsilon$ and all $t_{1}, \ldots, t_{n} \in \operatorname{Tm}(\bar{x})$,

$$
\models_{\mathcal{V}} \varepsilon(\bar{t}) \Longrightarrow \models_{\mathcal{V}} \delta(\bar{t}) \text { for some } \delta \in \Delta .
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$$
\models_{\mathcal{V}} \varepsilon(\bar{t}) \Longrightarrow \models_{\mathcal{V}} \delta(\bar{t}) \text { for some } \delta \in \Delta .
$$

Then $t_{1}, \ldots, t_{n} \in \operatorname{Tm}(\bar{x})$ are independent in $\mathcal{V}$ if and only if

$$
\not \vDash_{\mathcal{V}} \varepsilon(\bar{t}) \text { for all } \varepsilon \in \Delta \text {, }
$$

## Reducing Independence to Non-Validity

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Suppose that we can find a finite set $\Delta\left(y_{1}, \ldots, y_{n}\right)$ of equations satisfying
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(ii) for every equation $\varepsilon(\bar{y})$ with $\not \vDash_{\mathcal{V}} \varepsilon$ and all $t_{1}, \ldots, t_{n} \in \operatorname{Tm}(\bar{x})$,

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$$

and if the equational theory of $\mathcal{V}$ is decidable, so is independence in $\mathcal{V}$.

## Distributive Lattices

## Theorem

Terms $t_{1}, \ldots, t_{n} \in \operatorname{Tm}(\bar{x})$ are independent in the variety $\mathcal{D} \mathcal{L}$ at of distributive lattices if and only if for all $I \subseteq N:=\{1, \ldots, n\}$,

$$
\not \vDash_{\mathcal{D L} \mathrm{Lat}} \bigwedge_{i \in I} t_{i} \leq \bigvee_{j \in N \backslash I} t_{j}
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## Proof.

We use the previous lemma and distributivity law, observing that, e.g.,

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## Proof.

We use the previous lemma and distributivity law, observing that, e.g.,

$$
\models_{\mathcal{D C a t}} s \leq u \wedge v \Longleftrightarrow \models_{\mathcal{D L} a t} s \leq u \quad \text { and } \quad \models_{\mathcal{D \mathcal { L } a t}} s \leq v
$$

## Lattices

## Theorem

Terms $t_{1}, \ldots, t_{n} \in \operatorname{Tm}(\bar{x})$ are independent in the variety $\mathcal{L}$ at of lattices if and only if for every $i \in\{1, \ldots, n\}$ with $N_{i}:=\{1, \ldots, n\} \backslash\{i\}$,

$$
\not \models_{\text {cat }} t_{i} \leq \bigvee_{j \in N_{i}} t_{j} \quad \text { and } \quad \not \models_{\text {cat }} \bigwedge_{j \in N_{i}} t_{j} \leq t_{i}
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We again use the previous lemma, observing that, e.g.,

$$
\begin{aligned}
& \models_{\mathcal{C a t}} s \wedge t \leq u \text { or } \models_{\mathcal{C} a t} s \wedge t \leq v \text { or } \\
& \models_{\mathcal{C} a t} s \leq u \vee v \text { or } \models_{\mathcal{C} a t} t \leq u \vee v .
\end{aligned}
$$

## A More General Version

Given a finite set of equations $\Sigma(\bar{x})$, we say that $t_{1}, \ldots, t_{n} \in \operatorname{Tm}(\bar{x})$ are $\Sigma$-independent in $\mathcal{V}$ if for all $u, v \in \operatorname{Tm}\left(y_{1}, \ldots, y_{n}\right)$,

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\Sigma \models_{v} u(\bar{t}) \approx v(\bar{t}) \quad \Longrightarrow \quad \models_{v} u \approx v
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$$

This holds if and only if the homomorphism from $\mathbf{F}(\bar{y})$ to the finitely presented algebra $\mathbf{F}(\bar{x}) / \mathrm{Cg}_{\mathbf{F}(\bar{x})}(\Sigma)$ defined by $y_{i} \mapsto\left[t_{i}\right]$ is injective.

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Again, a constructive proof of coherence for $\mathcal{V}$ can be used to prove the decidability of $\Sigma$-independence.

Problem 4c. Can we decide $\Sigma$-independence when coherence fails?

## Tomorrow

## Exercises!

