

# Stone dualities between étale categories and restriction semigroups

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The talk reports on the research published in the paper:

Ganna Kudryavtseva, Mark V. Lawson,  
*A perspective on non-commutative frame theory*, *Adv. Math.* **311**  
(2017), 378 – 468.

which extends and unifies ideas of the papers:

- ▶ Pedro Resende, *Étale groupoids and their quantales*, *Adv. Math.* **208** (2007), 117 – 170.
- ▶ Mark V. Lawson, Daniel H. Lenz, *Pseudogroups and their étale groupoids*, *Adv. Math.* **244** (2013), 147 – 209.

## Pseudogroups as non-commutative frames

- ▶ **Pseudogroup** - inverse semigroup  $S$  such that  $E(S)$  is a frame and any compatible set of elements in  $S$  has a join in  $S$ .
- ▶ **Prototypical example**: pseudogroup of homeomorphisms between open sets of a topological space.
- ▶ **Aim**: extend classical dualities (P. Johnstone, Stone spaces) from frames to pseudogroups, with locales (resp. topological spaces) replaced by étale localic (resp. topological) groupoids.
- ▶ **Pedro Resende 2007** (equivalence between pseudogroups and groupoids, mediated by quantales, at the level of objects).
- ▶ **Mark Lawson and Daniel Lenz 2013** (equivalence between pseudogroups and groupoids, objects + morphisms).
- ▶ **GK and Mark Lawson 2017** (all above equivalences made functorial, four natural types of morphisms considered).

## Groupoids replaced by categories

- ▶ 'Non-commutative frame': does one really need the structure of an inverse semigroup? In particular, is the presence of inverses of crucial importance?
- ▶ We drop inverses and get a **category, rather than a groupoid**.
- ▶ This generalizes and simplifies at the same time! No inverses - less structure - easier constructions.
- ▶ This is connected with non-selfadjoint operator algebras: certain subalgebras of AF  $C^*$ -algebras are classified by 'topological binary relations' (=principal étale categories), Power (1990); Hopenwasser, Peters, and Power (2008).
- ▶ The **appropriate replacement of inverse semigroups** are **restriction semigroups**.

# Restriction and Ehresmann semigroups

- ▶ **Restriction semigroups** are non-regular generalizations of inverse semigroups.
- ▶ An inverse semigroup  $S$  is restriction with  $s^* = s^{-1}s$  and  $s^+ = ss^{-1}$ .
- ▶ C. Hollings, From right PP monoids to restriction semigroups: a survey (2009).
- ▶ **Restriction semigroups** were first considered (according to the above survey) by A. El-Qallali in 1980.
- ▶ **Ehresmann semigroups** are more general than restriction semigroups and were introduced by Mark Lawson in 1991.
- ▶ **An important example:**  $X$  a non-empty set,  $A \subseteq X \times X$  a transitive and reflexive relation. Then the powerset  $\mathcal{P}(A)$  is an Ehresmann semigroup, and injective maps in  $\mathcal{P}(A)$  form a restriction semigroup.

## Restriction semigroups: definition

A **restriction semigroup** is an algebra  $(S; \cdot, *, +)$  of type  $(2, 1, 1)$  such that  $(S, \cdot)$  is a semigroup and the following axioms hold

$$xx^* = x, x^*y^* = y^*x^*, (xy^*)^* = x^*y^*, x^*y = y(xy)^*; \quad (1)$$

$$x^+x = x, x^+y^+ = y^+x^+, (x^+y)^+ = x^+y^+, xy^+ = (xy)^+x; \quad (2)$$

$$(x^+)^* = x^+, (x^*)^+ = x^*. \quad (3)$$

Semilattice of projections of  $S$ :

$$E = \{x^* : x \in S\} = \{x^+ : x \in S\}.$$

Natural partial order:

$$a \leq b \Leftrightarrow a = eb \text{ for some } e \in E \Leftrightarrow a = bf \text{ for some } f \in E.$$

An **Ehresmann semigroup**: equations  $x^*y = y(xy)^*$  and  $xy^+ = (xy)^+x$  are not required to hold.

## Complete restriction monoids

- ▶  $S$  - restriction semigroup,  $a, b \in S$ .
- ▶  $a$  and  $b$  are **compatible** if  $ab^* = ba^*$  and  $b^+a = a^+b$ . Write  $a \sim b$ .
- ▶  $S$  is called a **complete restriction monoid** if  $E$  is a frame and joins of compatible families of elements exist in  $S$ .
- ▶ **Key example:** Let  $C = (C_1, C_0)$  be an étale localic (or topological) category. Then the set of all its local bisections forms a complete restriction monoid. Moreover,  $O(C_1)$  is an Ehresmann semigroup (with additional structure).
- ▶ **Corollary:** Let  $C = (C_1, C_0)$  be an étale localic (or topological) groupoid. Then the set of all its local bisections forms a pseudogroup.

# Quantales

A **quantale**  $(Q, \leq, \cdot)$  is a sup-lattice  $(Q, \leq)$  equipped with a binary multiplication operation  $\cdot$  such that multiplication distributes over arbitrary suprema:

$$a(\bigvee_{i \in I} b_i) = \bigvee_{i \in I} (ab_i) \text{ and } (\bigvee_{i \in I} b_i)a = \bigvee_{i \in I} (b_i a).$$

A quantale is **unital** if there is a multiplicative unit  $e$  and **involutive**, if there is an involution  $*$  on  $Q$  which is a sup-lattice endomorphism.

A **quantal frame** is a quantale which is also a frame.

## Quantale from a localic category

Let  $C = (C_1, C_0)$  be a localic (or topological) category and assume that the multiplication map  $m: C_1 \times_{C_0} C_1 \rightarrow C_1$  is semiopen.

Then there is the **direct image map**

$$m_! : O(C_1 \times_{C_0} C_1) \rightarrow O(C_1)$$

and

$$m_! q : O(C_1) \otimes O(C_1) \rightarrow O(C_1)$$

is a 'globalization' of multiplication from points to open sets (and similarly for a topological category).

- ▶  $O(C_1)$  is a quantale

## Quantale from a localic category

Let  $C = (C_1, C_0; d, r, u, m)$  be a localic category with maps  $d, r, u$  open and  $m$  semiopen.

- ▶  $a^* = u_! d_!(a)$ ,  $a \in O(C_1)$
- ▶  $a^+ = u_! r_!(a)$ ,  $a \in O(C_1)$
- ▶  $e = u_!(1_{O(C_0)})$

### Theorem (Correspondence Theorem)

1.  $(O(C_1), e, ^+, ^*)$  is a multiplicative Ehresmann quantal frame.
2. Any multiplicative Ehresmann quantal frame arises in this way.

# Partial isometries

- ▶  $Q$  – an Ehresmann quantal frame
- ▶  $a \in Q$
- ▶  $a$  is a **partial isometry** if  $b \leq a$  implies that  $b = af = ga$  for some  $f, g \leq e$
- ▶ Notation:  $\mathcal{PI}(Q)$
- ▶ Partial isometries are abstract analogues of local bisections.

## Example

$X$  a non-empty set,  $A \subseteq X \times X$  a transitive and reflexive relation. The partial isometries of the Ehresmann quantal frame  $\mathcal{P}(A)$  are precisely **partial bijections**.

## The equivalences

- ▶ A localic category  $C = (C_1, C_0)$  is **étale** if  $u, m$  are open and  $d, r$  are local homeomorphisms.
- ▶ An Ehresmann quantal frame  $Q$  is a **restriction quantal frame** if every element is a join of partial isometries and partial isometries are closed under multiplication.

### Theorem

The following categories are equivalent:

- ▶ Complete restriction monoids
- ▶ Restriction quantal frames
- ▶ Étale localic categories

### Corollary

The following categories are equivalent:

- ▶ Pseudogroups
- ▶ Inverse quantal frames
- ▶ Étale localic groupoids

# Morphisms

A **morphism**  $\varphi : Q_1 \rightarrow Q_2$  between Ehresmann quantal frames is a quantale map that is also a map of Ehresmann monoids (preserves both  $*$  and  $+$ ).

We consider the following four types of morphisms between Ehresmann quantal frames:

- ▶ type 1: morphisms;
- ▶ type 2: proper morphisms (unital morphism=preserves the top element);
- ▶ type 3:  $\wedge$ -morphisms (preserves non-empty finite meets);
- ▶ type 4: proper  $\wedge$ -morphisms (preserves all finite meets).

Morphisms between respective quantal localic categories are defined as the above morphisms but going in the opposite direction.

Only type 4 morphisms give rise to **functors between categories!**

Morphisms between complete restriction monoids are restrictions to partial isometries of morphisms between restriction quantal frames.

# The adjunction

## Theorem

There is an adjunction between the category of étale localic categories and the category of étale topological categories.

This adjunction extends the classical adjunction between locales and topological spaces.

## Corollary

There is a dual adjunction between the category restriction quantal frames and the category of étale topological categories.

This adjunction extends the classical dual adjunction between frames and topological spaces.

## Relational covering morphisms

Let  $C = (C_1, C_0)$  and  $D = (D_1, D_0)$  be étale topological categories. A **relational covering morphism** from  $C$  to  $D$  as a pair  $f = (f_1, f_0)$ , where

- ▶  $f_0 : C_0 \rightarrow D_0$  is a continuous map,
- ▶  $f_1 : C_1 \rightarrow \mathcal{P}(D_1)$  is a function,

and the following axioms are satisfied:

- (RM1) If  $b \in f_1(a)$  where  $a \in C_1$  then  $d(b) = f_0 d(a)$  and  $r(b) = f_0 r(a)$ .
- (RM2) If  $(a, b) \in C_1 \times_{C_0} C_1$  and  $(c, d) \in D_1 \times_{D_0} D_1$  are such that  $c \in f_1(a)$  and  $d \in f_1(b)$  then  $cd \in f_1(ab)$ .
- (RM3) If  $d(a) = d(b)$  (or  $r(a) = r(b)$ ) where  $a, b \in C_1$  and  $f_1(a) \cap f_1(b) \neq \emptyset$  then  $a = b$ .
- (RM4) If  $p = f_0(q)$  and  $d(s) = p$  (resp.  $r(s) = p$ ) where  $q \in C_0$  and  $s \in D_1$  then there is  $t \in C_1$  such that  $d(t) = q$  (resp.  $r(t) = q$ ) and  $s \in f_1(t)$ .
- (RM5) For any  $A \in O(D_1)$ :  $f_1^{-1}(A) = \{x \in C_1 : f_1(x) \cap A \neq \emptyset\} \in O(C_1)$ .
- (RM6)  $uf_0(t) \in f_1 u(t)$  for any  $t \in C_0$ .

- (RM2) - weak form of preservation of multiplication;
- (RM3) and (RM4) -  $f_1$  is **star-injective** and **star-surjective**;
- (RM5) -  $f_1$  is a **lower-semicontinuous relation**.

# From quantale to topological morphisms

Let  $C = (C_1, C_0)$  and  $D = (D_1, D_0)$  be étale localic categories and  $f_1^*: \mathcal{O}(D) \rightarrow \mathcal{O}(C)$  a morphism of restriction quantal frames.

## Theorem

- ▶ If  $f_1^*$  is of type 1 then  $\text{Pt}(f_1)$  is a relational covering morphism.
- ▶ If  $f_1^*$  is of type 2 (=proper=unital) then  $\text{Pt}(f_1)$  is at least single-valued relational covering morphism.
- ▶ If  $f_1^*$  is of type 3 (preserves non-empty finite meets) then  $\text{Pt}(f_1)$  is at most single valued relational covering morphism.
- ▶ If  $f_1^*$  is of type 4 (preserves finite meets) then  $\text{Pt}(f_1)$  is a single-valued relational covering morphism.

## Sober-spatial equivalences

- ▶ Let  $C = (C_1, C_0)$  be an étale localic category. Then the locale  $C_1$  is spatial iff the locale  $C_0$  is spatial. If these hold  $C$  is called **spatial**.
- ▶ Let  $C = (C_1, C_0)$  be an étale topological category. Then the space  $C_1$  is sober iff the space  $C_0$  is sober. If these hold  $C$  is called **sober**.

### Theorem

The category of spatial étale localic categories is equivalent to the category of sober étale topological categories.

## Spectral, coherent and Boolean categories

- ▶ An étale localic category  $C = (C_1, C_0)$  is called **coherent** (resp. **strongly coherent**) if the locale  $C_0$  (resp.  $C_1$ ) is coherent.
- ▶ There is an equivalence of categories between coherent (resp. strongly coherent) étale localic categories and distributive restriction semigroups (resp. distributive restriction  $\wedge$ -semigroups).
- ▶ An étale topological category  $C = (C_1, C_0)$  is called **spectral** (resp. **strongly spectral**) if the space  $C_0$  (resp.  $C_1$ ) is spectral.
- ▶ An étale topological category  $C = (C_1, C_0)$  is called **Boolean** (resp. **strongly Boolean**) if the space  $C_0$  (resp.  $C_1$ ) is Boolean (locally compact).

# The topological duality theorem

## Topological duality theorems

- ▶ The category of distributive restriction semigroups (resp.  $\wedge$ -semigroups) is dual to the category of spectral (resp. strongly spectral) étale topological categories.
- ▶ The category of Boolean restriction semigroups (resp.  $\wedge$ -semigroups) is dual to the category of Boolean (resp. strongly Boolean) étale topological categories.

**Remark.** All results above have corollaries with

- ▶ restriction semigroups replaced with inverse semigroups and categories replaced by groupoids.

## Summary of topological dualities (inverse semigroups)

Algebraic object	Topological étale groupoid $C = (C_1, C_0)$
Spatial pseudogroup	$C_0$ or $C_1$ (and then both) sober
Coherent pseudogroup	$C_0$ coherent
Strongly coherent pseudogroup	$C_1$ coherent
Distributive inv. sem.	$C_0$ – spectral
Distributive $\wedge$ inv. sem.	$C_1$ (and thus also $C_0$ ) spectral
Boolean inv. sem.	$C_0$ – Boolean
Boolean $\wedge$ inv. sem.	$C_1$ (and thus also $C_0$ ) Boolean

The results remain valid also in a wider setting: if ‘inverse semigroup’ is appropriately replaced by ‘restriction semigroup’ and ‘groupoid’ by ‘category’.

## More non-commutative dualities (and adjunctions)

- ▶ Étale spaces (=sheaves) over Boolean spaces are dual to **skew Boolean algebras** (GK, 2012)
- ▶ Hausdorff étale spaces over Boolean spaces are dual to **skew Boolean  $\wedge$ -algebras** (GK, 2012; Bauer and Cvetko Vah, 2013)
- ▶ Étale spaces over Priestley spaces are dual to **distributive skew lattices** (Bauer, Cvetko Vah, Gehrke, van Gool and GK, 2013)
- ▶ There are dual adjunctions between skew Boolean algebras and Boolean spaces induced by dualizing objects  $\{0, \dots, n\}$ , for each  $n \geq 1$  (GK, 2013) (for  $n = 1$  this is the classical Stone duality)