The equational theory of relational lattices (natural join and inner union) is decidable <sup>1</sup>

> Luigi Santocanale LIS, Aix-Marseille Université

TACL@Nice, June 18, 2019

<sup>1</sup>Appeared in FOSSCAS 2018, preprint on HAL:

https://hal.archives-ouvertes.fr/hal-01625134/ = > ( = > ) a (

Algebra and lattices from (and for) databases

Many undecidable theories

Structure of relational lattices

Decidability of the equational theory of the relational lattices

< □ ト < □ ト < 巨 ト < 巨 ト < 巨 ト 三 の Q () 2/25

#### Algebra and lattices from (and for) databases

Many undecidable theories

Structure of relational lattices

Decidability of the equational theory of the relational lattices

<ロト < 部ト < 目ト < 目ト 目 の Q () 3/25

# Operations on tables: the natural join (pullback)

Namo	Surnamo	ltom	1	ltem	Description
Name	Sumane			33	Book
Luigi	Santocanale	33	$\bowtie$	33	Livre
Alan	Turing	21		21	Machino
			,	21	Machine

=

Name	Surname	ltem	Description
Luigi	Santocanale	33	Book
Luigi	Santocanale	33	Livre
Alan	Turing	21	Machine

# Operations on tables: the inner union

U

Name	Surname	ltem
Luigi	Santocanale	33
Alan	Turing	21

=

Name	Surname	Sport
Diego	Maradona	Football
Usain	Bolt	Athletics

Name	Surname
Luigi	Santocanale
Alan	Turing
Diego	Maradona
Usain	Bolt

## Lattices from databases

Proposition. [Spight & Tropashko, 2006] The set of tables, whose columns are indexed by a subset of A and values are from a set D, is a lattice, with natural join as meet and inner union as join.

R(D, A) shall denote the lattice whose elements are tables, with columns indexed a subset of A and cells' values are from a set D.

A project (Tropashko): Rebuild Codd's relational algebra out of lattice theoretic building blocks. See QBQL.

## Lattices from databases

Proposition. [Spight & Tropashko, 2006] The set of tables, whose columns are indexed by a subset of A and values are from a set D, is a lattice, with natural join as meet and inner union as join.

R(D, A) shall denote the lattice whose elements are tables, with columns indexed a subset of A and cells' values are from a set D.

A project (Tropashko): Rebuild Codd's relational algebra out of lattice theoretic building blocks. See QBQL.

For lattices of tables (the relational lattices):

```
\wedge \text{ is } \bowtie, \qquad \qquad \forall \text{ is } \cup.
```

Lattice terms = queries.

Algebra and lattices from (and for) databases

Many undecidable theories

Structure of relational lattices

Decidability of the equational theory of the relational lattices

<ロト < 部ト < 目ト < 目ト 目 のQで 7/25 A family of undecidable theories and problems

#### Theorem (Maddux)

The equational theory of 3-dimensional diagonal free cylindric algebras is undecidable.

#### Theorem (Hirsch and Hodkinson)

It is not decidable whether a finite simple relation algebra embeds into a concrete one (a powerset of a binary product).

#### Theorem (Hirsch, Hodkinson and Kurucz)

It is not decidable whether a finite mutimodal frame has a surjective p-morphism from a universal product frame.

# Undecidable quasiequational theories of relational lattices

#### Theorem (Litak, Mikulás and Hidders, 2015)

The set of quasiequations in the signature  $(\land, \lor, H)$  that are valid on relational lattices is undecidable.

Undecidable quasiequational theories of relational lattices

#### Theorem (Litak, Mikulás and Hidders, 2015)

The set of quasiequations in the signature  $(\land, \lor, H)$  that are valid on relational lattices is undecidable.

This was refined to:

#### Theorem (S., RAMICS 2017)

The set of quasiequations in the signature  $(\land, \lor)$  that are valid on relational lattices is undecidable.

where we actually proved a stronger result:

#### Theorem (S., RAMICS 2017)

It is undecidable whether a finite subdirectly irreducible lattice embeds into some R(D, A).

#### Main result

#### Theorem (S., FOSSACS 2018)

#### The equational theory of the relational lattices is decidable.

<ロト < 部ト < 言ト < 言ト ミ のへで 10/25 Algebra and lattices from (and for) databases

Many undecidable theories

Structure of relational lattices

Decidability of the equational theory of the relational lattices

<ロト < 部ト < 目ト < 目ト 目 のので 11/25

# The relational lattices R(D, A)

A a set of attributes, D a set of values.

An element of R(D, A):

• a pair  $(\alpha, Y)$  with  $\alpha \subseteq A$  and  $Y \subseteq D^{\alpha}$ .

# The relational lattices R(D, A)

A a set of attributes, D a set of values.

An element of R(D, A):

• a pair  $(\alpha, Y)$  with  $\alpha \subseteq A$  and  $Y \subseteq D^{\alpha}$ .

The ordering:

$$\blacktriangleright \ (\alpha_1, Y_1) \leq (\alpha_2, Y_2) \ \text{ iff } \ \alpha_2 \subseteq \alpha_1 \text{ and } Y_1 |_{\alpha_2}^{\alpha_1} \ \subseteq Y_2$$

where:

$$Y|_{\alpha_2}^{\alpha_1} = \{ f_{\restriction \alpha_2} \mid f : \alpha_1 \to D, f \in Y \}.$$

# The relational lattices R(D, A)

A a set of attributes, D a set of values.

An element of R(D, A):

• a pair  $(\alpha, Y)$  with  $\alpha \subseteq A$  and  $Y \subseteq D^{\alpha}$ .

The ordering:

$$\begin{array}{c|c} \bullet & (\alpha_1, Y_1) \leq (\alpha_2, Y_2) \quad \text{iff} \quad \alpha_2 \subseteq \alpha_1 \text{ and } Y_1 |_{\alpha_2}^{\alpha_1} \quad \subseteq Y_2 \\ & \text{iff} \qquad \dots \qquad Y_1 \quad \subseteq i_{\alpha_1}^{\alpha_2}(Y_2) \end{array}$$

where:

is direct image of restriction:

$$Y|_{\alpha_2}^{\alpha_1} = \{ f_{\mid \alpha_2} \mid f : \alpha_1 \to D, f \in Y \}.$$

▶ *i* is cylindrification (inverse image of restriction):

$$i_{\alpha_1}^{\alpha_2}(Y) = \{ f : \alpha_1 \to D \mid f_{\restriction \alpha_2} \in Y \}.$$

(日)

#### Meet and join

$$\begin{aligned} (\alpha_1, Y_1) \wedge (\alpha_2, Y_2) &= i_{\alpha_1 \cup \alpha_2}^{\alpha_1} (Y_1) \cap i_{\alpha_1 \cup \alpha_2}^{\alpha_2} (Y_2) \,, \\ (\alpha_1, Y_1) \vee (\alpha_2, Y_2) &= Y_1 \|_{\alpha_1 \cap \alpha_2}^{\alpha_1} \cup Y_2 \|_{\alpha_1 \cap \alpha_2}^{\alpha_2} \,. \end{aligned}$$

NB :

▶ R(D, A) is the Grothendieck construction of the functor

 $P(D^{(\cdot)}): P(A)^{op} \longrightarrow Latt_{\vee}.$ 

▲□▶ ▲□▶ ▲目▶ ▲目▶ 目 のへで

13/25

# Representation of R(D, A) via a closure operator

The Hamming/Priess\_Crampe-Ribenboim ultrametric distance on  $D^A$ :

$$\delta(f,g) := \{ x \in A \mid f(x) \neq g(x) \}.$$

NB: this distance takes values in the join-semilattice  $(P(A), \emptyset, \cup)$ .

# Representation of R(D, A) via a closure operator

The Hamming/Priess\_Crampe-Ribenboim ultrametric distance on  $D^A$ :

$$\delta(f,g) := \{ x \in A \mid f(x) \neq g(x) \}.$$

NB: this distance takes values in the join-semilattice  $(P(A), \emptyset, \cup)$ .

Proposition. [Litak, Mikulás and Hidders 2015] R(D, A) is isomorphic to the lattice of closed subsets of  $A + D^A$ , where ...

# Representation of R(D, A) via a closure operator

The Hamming/Priess\_Crampe-Ribenboim ultrametric distance on  $D^A$ :

$$\delta(f,g) := \{ x \in A \mid f(x) \neq g(x) \}.$$

NB: this distance takes values in the join-semilattice  $(P(A), \emptyset, \cup)$ .

Proposition. [Litak, Mikulás and Hidders 2015] R(D, A) is isomorphic to the lattice of closed subsets of  $A + D^A$ , where ...

... a subset Z of  $A + D^A$  is *closed* if

$$\left(\begin{array}{c} g \in D^A \cap Z \\ \delta(f,g) \subseteq A \cap Z \end{array}\right) \text{ implies } f \in Z.$$

Algebra and lattices from (and for) databases

Many undecidable theories

Structure of relational lattices

Decidability of the equational theory of the relational lattices

< □ ト < □ ト < 巨 ト < 巨 ト < 巨 ト 三 の Q () 15/25

#### Ingredients

- Duality, for non-distributive lattices.
- Generalized ultrametric spaces, injectivity.
- Modal logic, (selective) filtration techniques, tableaux.
- A finite model theorem with bounding of size.

#### Generalized ultrametric spaces

A generalized ultrametric space over P(A) is a pair  $(X, \delta)$  with

► X a set,

• 
$$\delta: X \times X \to P(A)$$
,

and s.t.

• 
$$\delta(f,g) = \emptyset$$
 iff  $f = g$ ,

• 
$$\delta(f,g) \subseteq \delta(f,h) \cup \delta(h,g)$$
,

• 
$$\delta(f,g) = \delta(g,f).$$

Let  $(X, \delta)$  be a generalized ultrametric space over some P(A).

Let  $(X, \delta)$  be a generalized ultrametric space over some P(A).

A pair  $(\alpha, Y) \in P(A) \times P(X)$  is *closed* if

$$\left(egin{array}{c} {m g}\in{m Y}\ \delta(f,{m g})\subseteqlpha\end{array}
ight)$$
 implies  $f\in{m Y}$  .

Let  $(X, \delta)$  be a generalized ultrametric space over some P(A).

A pair  $(\alpha, Y) \in P(A) \times P(X)$  is *closed* if

$$\left(egin{array}{c} {f g} \in {f Y} \\ \delta(f, {f g}) \subseteq lpha \end{array}
ight)$$
 implies  $f \in {f Y}$ .

Let

$$L(X,\delta) := \{ (\alpha, Y) \mid (\alpha, Y) \text{ is closed } \},\$$

then  $L(X, \delta)$  is a lattice (w.r.t.  $\subseteq$ ).

Let  $(X, \delta)$  be a generalized ultrametric space over some P(A).

A pair  $(\alpha, Y) \in P(A) \times P(X)$  is *closed* if

$$\left(egin{array}{c} {f g} \in {f Y} \\ \delta(f, {f g}) \subseteq lpha \end{array}
ight)$$
 implies  $f \in {f Y}$ .

Let

$$\mathsf{L}(X,\delta) := \{ (\alpha, Y) \mid (\alpha, Y) \text{ is closed } \},\$$

then  $L(X, \delta)$  is a lattice (w.r.t.  $\subseteq$ ).

Notice that  $R(D, A) = L(D^A, \delta)$ .

# Injective generalized ultrametric spaces

Consider

$$X = \prod_{a \in A} X_a, \qquad \delta(x, y) = \{ a \in A \mid x_a \neq y_a \}.$$
 (\*\*)

# Injective generalized ultrametric spaces

Consider

$$X = \prod_{a \in A} X_a, \qquad \delta(x, y) = \{ a \in A \mid x_a \neq y_a \}.$$
 (\*\*)

These are :

- Hamming graphs,
- Dependent product types,
- Partial products, sections,  $\forall_!$ ,
- Universal product frames,

▶ ...

# Injective generalized ultrametric spaces

Consider

$$X = \prod_{a \in A} X_a, \qquad \delta(x, y) = \{ a \in A \mid x_a \neq y_a \}.$$
 (\*\*)

These are :

- Hamming graphs,
- Dependent product types,
- Partial products, sections,  $\forall_!$ ,
- Universal product frames,

**١**...

Proposition. Spaces as in (\*\*) are, up to iso, the injective (read: complete) spaces in the category of generalized ultrametric spaces.

#### Relational lattices as modal logic

The theory of the lattices  $L(X, \delta)$  is interpreted in a multidimensional **S5**<sup>*n*</sup> modal logic:

$$\langle \alpha \rangle Y := \{ f \in D^{\mathcal{A}} \mid \exists g \in Y \text{ s.t. } \delta(f,g) \subseteq \alpha \}, \text{ where } \alpha \subseteq \mathcal{A}$$

#### Relational lattices as modal logic

The theory of the lattices  $L(X, \delta)$  is interpreted in a multidimensional **S5**<sup>*n*</sup> modal logic:

 $\langle \alpha \rangle \mathbf{Y} := \{ f \in D^{\mathcal{A}} \mid \exists g \in \mathbf{Y} \text{ s.t. } \delta(f,g) \subseteq \alpha \}, \text{ where } \alpha \subseteq \mathcal{A}$ 

If  $(X, \delta)$  is injective, then:

 $\langle \alpha_1 \cup \alpha_2 \rangle Y \equiv \langle \alpha_1 \rangle \langle \alpha_2 \rangle Y$ 

(Beck-Chevalley, Malcev, injectiveness, pairwise completeness)

#### Relational lattices as modal logic

The theory of the lattices  $L(X, \delta)$  is interpreted in a multidimensional **S5**<sup>*n*</sup> modal logic:

 $\langle \alpha \rangle \mathbf{Y} := \{ f \in D^{\mathcal{A}} \mid \exists g \in \mathbf{Y} \text{ s.t. } \delta(f,g) \subseteq \alpha \}, \text{ where } \alpha \subseteq \mathcal{A}$ 

If  $(X, \delta)$  is injective, then:

 $\langle \alpha_1 \cup \alpha_2 \rangle Y \equiv \langle \alpha_1 \rangle \langle \alpha_2 \rangle Y$ 

(Beck-Chevalley, Malcev, injectiveness, pairwise completeness)

Meet is conjunction, join is:

$$\begin{aligned} (\alpha_1, Y_1) \lor (\alpha_2, Y_2) &= (\alpha_1 \cup \alpha_2, \langle \alpha_1 \cup \alpha_2 \rangle (Y_1 \cup Y_2)) \\ &= (\alpha_1 \cup \alpha_2, \langle \alpha_1 \cup \alpha_2 \rangle Y_1 \cup \langle \alpha_1 \cup \alpha_2 \rangle Y_2) \\ &= (\alpha_1 \cup \alpha_2, \langle \alpha_2 \rangle \langle \alpha_1 \rangle Y_1 \cup \langle \alpha_1 \rangle \langle \alpha_2 \rangle Y_2) \\ &= (\alpha_1 \cup \alpha_2, \langle \alpha_2 \rangle Y_1 \cup \langle \alpha_1 \rangle Y_2). \end{aligned}$$

A finite model theorem of bounded size

► Every lattice equation t = s is equivalent to a pair of "inclusions", t ≤ s and s ≤ t. A finite model theorem of bounded size

► Every lattice equation t = s is equivalent to a pair of "inclusions", t ≤ s and s ≤ t.

▶ If 
$$R(D,A) \not\models t \leq s$$
, then  $R(E,B) \not\models t \leq s$ , where

$$size(R(E, B)) = O(2^{2^{2^{size(t,s)}}}).$$

A finite model theorem of bounded size

► Every lattice equation t = s is equivalent to a pair of "inclusions", t ≤ s and s ≤ t.

► If 
$$R(D, A) \not\models t \leq s$$
, then  $R(E, B) \not\models t \leq s$ , where

$$size(R(E,B)) = O(2^{2^{2^{size(t,s)}}}).$$

 Construction reminiscent of Gabbay's selective filtration in modal logic.

Suppose  $\mathsf{R}(D, A), v \not\models t \leq s$ , so there is  $f \in A \cup D^A$  such that  $f \in \llbracket t \rrbracket_v \setminus \llbracket s \rrbracket_v$ .

Suppose  $\mathsf{R}(D, A), v \not\models t \leq s$ , so there is  $f \in A \cup D^A$  such that  $f \in \llbracket t \rrbracket_v \setminus \llbracket s \rrbracket_v$ .

• We can assume that  $f \in D^A$ .

Suppose  $\mathsf{R}(D, A), v \not\models t \leq s$ , so there is  $f \in A \cup D^A$  such that  $f \in \llbracket t \rrbracket_v \setminus \llbracket s \rrbracket_v$ .

- We can assume that  $f \in D^A$ .
- ▶ There is a finite subset  $T(f, t) \subseteq D^A$  witnessing that  $f \in [t]_v$ .

Suppose  $\mathsf{R}(D, A), v \not\models t \leq s$ , so there is  $f \in A \cup D^A$  such that  $f \in \llbracket t \rrbracket_v \setminus \llbracket s \rrbracket_v$ .

- We can assume that  $f \in D^A$ .
- ▶ There is a finite subset  $T(f, t) \subseteq D^A$  witnessing that  $f \in [t]_v$ .
- Consider the subspace induced by  $T \subseteq D^A$ :

$$(T,\delta) \longrightarrow (D^A,\delta)$$

Suppose  $\mathsf{R}(D, A), v \not\models t \leq s$ , so there is  $f \in A \cup D^A$  such that  $f \in \llbracket t \rrbracket_v \setminus \llbracket s \rrbracket_v$ .

- We can assume that  $f \in D^A$ .
- ▶ There is a finite subset  $T(f, t) \subseteq D^A$  witnessing that  $f \in \llbracket t \rrbracket_{\nu}$ .
- Consider the subspace induced by  $T \subseteq D^A$ :

$$(T,\delta) \longrightarrow (D^A,\delta)$$

Lemma (preservation of failures) If  $T(f, t) \subseteq T \subseteq D^A$ , then

 $L(T,\delta) \not\models t \leq s$ .

(日)

#### Failure with a finite Boolean algebra

Let T be finite.

- The lattice  $L(T, \delta)$  might still be infinite,
- ... since it contains a copy of P(A).
- We can find a finite Boolean sub-algebra P(B) of P(A) and
- consider T as a generalized ultrametric space  $(T, \delta_B)$  over P(B).

#### Failure with a finite Boolean algebra

Let T be finite.

- The lattice  $L(T, \delta)$  might still be infinite,
- ... since it contains a copy of P(A).
- We can find a finite Boolean sub-algebra P(B) of P(A) and
- consider T as a generalized ultrametric space  $(T, \delta_B)$  over P(B).

Lemma (preservation of failures in the finite) There is a finite subset  $T(f,t) \subseteq D^A$  such that, if  $T(f,t) \subseteq T \subseteq D^A$ and T is finite, then

 $L(T, \delta_B) \not\models t \leq s$ .

## Failures in an injective

- L(T, δ<sub>B</sub>) is a finite lattice.
- L(T,  $\delta_B$ ) does not belong to the variety of the R(D, A)s.
- We expand T to its (finite) injective hull  $\overline{T}$ .
- Then L( $\overline{T}, \delta_B$ ) belongs to the variety of the R(D, A)s.

#### Theorem

Let  $T_0 := T(f, t)$ . Then the lattice  $L(\overline{T_0}, \delta_B)$ 

is finite,

• L
$$(\overline{T_0}, \delta_B) \not\models t \leq s$$
,

▶ satisfies all the equations satisfied by all the R(D, A)s.

# Thanks for your attention !!!

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 三臣 の

25/25