# Presenting de Groot duality of stably compact spaces by entailment relations

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# Presenting de Groot duality of stably compact spaces by entailment relations (Logical approach to de Groot duality)

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A topological space is **stably compact** if it is sober, locally compact, and finite intersections of compact saturated subsets are compact.

- Compact Hausdorff spaces.
- Scott topologies of continuous domains.
- Spectral spaces

**De Groot dual**  $X^d$  of a stably compact space X is a set X with the topology generated from complements of compact saturated subsets. The space  $X^d$  is stably compact and  $(X^d)^d = X$ .

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#### Example

- **1.** (Lower vs. Upper) Lower and upper Dedekind cuts of [0, 1].
- **2.** (Open vs. Closed) Scott topology  $\Sigma(X)$  and Lower powerdomain  $P_L(X)$ :  $\Sigma(X)^d \cong P_L(X)$ .
- 3. (Closed vs. Compact) Lower powerdomain  $P_L(X)$  and Upper powerdomain  $P_U(X)$ :  $P_L(X^d) \cong P_U(X)^d$ .

#### Give a pointfree account of de Groot duality for stably compact locales.

"How to present de Groot duality"

#### Definition

A locale X is **spectral** if it is the ideals of a distributive lattice.

# Proposition

A locale is stably compact if and only if it is a retract of a spectral locale.

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#### Corollary

The category of stably compact locales is equivalent to the splitting of idempotents **Split**(**Spec**) of the category **Spec** of spectral locales.

- An object of **Split**(**Spec**) is an idempotent (i.e.  $f: X \to X$  s.t.  $f \circ f = f$ ) in **Spec**.
- A morphism  $g: (f: X \to X) \to (f': X' \to X')$  in **Split**(**Spec**) is a continuous map  $g: X \to X'$  in **Spec** such that  $f' \circ g = g = g \circ f$ .

A relation  $r \subseteq D \times D'$  between distributive lattices D and D' is **approximable** if

**1.** 
$$ra \stackrel{\text{def}}{=} \{b \in D' \mid a \ r \ b\}$$
 is a filter,

**2.** 
$$r^-b \stackrel{\text{def}}{=} \{a \in D \mid a \ r \ b\}$$
 is an ideal,

**3.** 
$$a r 0' \implies a = 0$$
,

**4.** 
$$a \ r \ b \lor c \implies (\exists b', c' \in D) \ a \le b' \lor c' \& b' \ r \ b \& c' \ r \ c.$$

Distributive lattices and approximable relations form a category DLAP.

#### Proposition

The category  $DL_{AP}$  is equivalent to the category of spectral locales.

# Strong proximity lattices (Jung & Sünderhauf 1996)

A strong proximity lattice is an object of  $Split(DL_{AP})$ , i.e. a distributive lattice *D* equipped with an idempotent relation  $\prec \subseteq S \times S$  such that

1. 
$$\downarrow a \stackrel{\text{def}}{=} \{b \in D \mid b \prec a\}$$
 is an ideal,  
2.  $a \prec 0 \implies a = 0$ ,  
3.  $a \prec b \lor c \implies (\exists b' \prec b) (\exists c' \prec c) a \le b' \lor c'$ ,  
4.  $\uparrow a \stackrel{\text{def}}{=} \{b \in D \mid b \succ a\}$  is a filter,

**Remark** The stably compact locale represented by a proximity lattice  $(D, \prec)$  is the collection of **rounded ideals** of  $(D, \prec)$ , where an ideal  $I \subseteq D$  is **rounded** if

$$a \in I \iff (\exists b \succ a) \ b \in I.$$

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2.  $a \prec 0 \implies a = 0$ ,  
3.  $a \prec b \lor c \implies (\exists b' \prec b) (\exists c' \prec c) a \leq b' \lor c'$ ,  
4.  $\uparrow a \stackrel{\text{def}}{=} \{b \in D \mid b \succ a\}$  is a filter,  
5.  $1 \prec a \implies a = 1$ ,  
6.  $a \land b \prec c \implies (\exists a' \succ a) (\exists b' \succ b) a' \land b' \leq c$ .

**Remark** The stably compact locale represented by a proximity lattice  $(D, \prec)$  is the collection of **rounded ideals** of  $(D, \prec)$ , where an ideal  $I \subseteq D$  is **rounded** if

$$a \in I \iff (\exists b \succ a) b \in I.$$

# Continuous entailment relations (cf. Coquand & Zhang 2003)

An **entailment relation** on a set *S* is a binary relation  $\vdash$  on the finite subsets of *S* such that

$$\frac{a \in S}{a \vdash a} \qquad \qquad \frac{A \vdash B}{A', A \vdash B, B'} \qquad \qquad \frac{A \vdash B, a \quad a, A \vdash B}{A \vdash B}$$

where *a* denotes  $\{a\}$  and "*A*, *B*" denotes  $A \cup B$ .

**Remark** Every entailment relation  $(S, \vdash)$  presents a distributive lattice with generators *S* and relations  $\bigwedge A \leq \bigvee B$  for each  $A \vdash B$ .

An entailment relation  $(S, \vdash)$  is **continuous** if it is equipped with an idempotent relation  $\prec$  on *S* such that

$$(\exists C \in \mathsf{Fin}(S)) A \prec_U C \vdash B \iff (\exists D \in \mathsf{Fin}(S)) A \vdash D \prec_L B$$

where

$$A \prec_U B \stackrel{\text{def}}{\longleftrightarrow} (\forall b \in B) (\exists a \in A) a \prec b$$
$$A \prec_L B \stackrel{\text{def}}{\Longleftrightarrow} (\forall a \in A) (\exists b \in B) a \prec b.$$

The category of continuous entailment relations is equivalent to the category of strong proximity lattices.

#### Proof.

▶ If  $(D, \prec)$  is a strong proximity lattice, then  $(D, \vdash_D)$  defined by

$$A \vdash_D B \iff \bigwedge A \leq_D \bigvee B$$

together with  $\prec$  is a continuous entailment relation.

If (S,⊢, ≺) is a continuous entailment relation, then the lattice D<sub>S</sub> generated by (S,⊢) together with the relation ≪ on D<sub>S</sub> defined by

$$\bigvee_{i < N} \bigwedge A_i \ll \bigwedge_{j < M} \bigvee B_j \stackrel{\text{def}}{\iff} \forall i < N \forall j < M \exists C \left[ A_i \prec_U C \vdash B_j \right]$$

is a strong proximity lattice.

Let *R* be a set of pairs of finite subsets of a set *S* (*R*: set of **axioms**).

An entailment relation  $(S, \vdash)$  is **generated** by *R* if it is the smallest entailment relation on *S* that contains *R*, i.e.  $\vdash$  is generated by

$$\frac{(A,B) \in R}{A \vdash B} \qquad \frac{a \in S}{a \vdash a} \qquad \frac{A \vdash B}{A',A \vdash B,B'} \qquad \frac{A \vdash B, a \quad a,A \vdash B}{A \vdash B}$$

#### Proposition

Let  $(S, \vdash)$  be the entailment relation generated by a set *R* of axioms, and let  $\prec$  be an idempotent relation on *S*. Then  $(S, \vdash, \prec)$  is continuous if and only if

**1.** 
$$A \prec_U C \& (C, D) \in R \implies (\exists E \in \mathsf{Fin}(S)) A \vdash E \prec_L D$$

**2.**  $(C,D) \in R \& D \prec_L B \implies (\exists E \in \operatorname{Fin}(S)) C \prec_U E \vdash B$ 

A model of a continuous entailment relation  $(S,\vdash,\prec)$  is a subset  $\alpha\subseteq S$  such that

**1.** 
$$A \vdash B \& A \subseteq \alpha \implies (\exists b \in B) b \in \alpha;$$

**2.** 
$$a \in \alpha \iff (\exists b \prec a) b \in \alpha$$
.

#### Example

If *X* is a locale presented by a strong proximity lattice  $(D, \prec)$ , the **Scott** topology  $\Sigma(X)$  can be presented by a continuous entailment relation

$$(D, \vdash_{\Sigma}, \succ),$$

where  $\vdash_{\Sigma}$  is generated by the axioms:

$$\vdash_{\Sigma} 0 \qquad a, b \vdash_{\Sigma} a \lor b \qquad a \vdash_{\Sigma} b \quad (a \ge b)$$

The models of  $(D, \vdash_{\Sigma}, \succ)$  are rounded ideals of  $(D, \prec)$ .

#### **Intrinsic duality**

Strong proximity lattice (D, 0, ∨, 1, ∧, ≺):
1. ↓ a = {b ∈ D | b ≺ a} is an ideal,
2. a ≺ 0 ⇒ a = 0,
3. a ≺ b ∨ c ⇒ (∃b' ≺ b) (∃c' ≺ c) a ≤ b' ∨ c',
4–6. The dual properties for 1 and ∧.
The dual (D, 1, ∧, 0, ∨, ≻) of (D, ≺) is a strong proximity lattice.

► Continuous entailment relation  $(S, \vdash, \prec)$ :

 $\begin{pmatrix} (A,B) \in R \\ \overline{A \vdash B} \end{pmatrix} \quad \frac{a \in S}{a \vdash a} \quad \frac{A \vdash B}{A', A \vdash B, B'} \quad \frac{A \vdash B, a \quad a, A \vdash B}{A \vdash B}$  $(\exists C \in \mathsf{Fin}(S)) A \prec_U C \vdash B \iff (\exists D \in \mathsf{Fin}(S)) A \vdash D \prec_L B$ 

The dual  $(S, \dashv, \succ)$  of  $(S, \vdash, \prec)$  is a continuous entailment relation.

The equivalence between continuous entailment relations and strong proximity lattices commutes with the dualities.

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#### Question

If *X* is the stably compact locale presented by  $(D, \prec)$ , does  $(D^d, \succ)$  present the de Groot dual of *X*?

The de Groot dual  $X^d$  of a stably compact locale X is the collection of Scott open filters on X.

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Note. Scott open filters on  $X \cong$  models of  $P_U(X)$ 

where  $P_U(X)$  is the **upper powerlocale** of *X*.

#### Lemma

If X and Y are stably compact locales, then

$$X^{\mathsf{d}} \cong Y \iff$$
 models of  $P_{\mathrm{U}}(X) \cong$  models of  $\Sigma(Y)$ .

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#### Lemma

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#### Lemma

If X and Y are stably compact locales, then

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#### Theorem

Let  $(D, \prec)$  be a strong proximity lattice, and X and Y be stably compact locales presented by  $(D, \prec)$  and  $(D^d, \succ)$  respectively. Then

 $\mathbf{P}_{\mathbf{U}}(X) \cong \Sigma(Y).$ 

#### Theorem

Let *X* and *Y* be stably compact locales presented by strong proximity lattices  $(D, \prec)$  and  $(D^d, \succ)$  respectively. Then  $P_U(X) \cong \Sigma(Y)$ .

# Proof.

The upper powerlocale P<sub>U</sub>(X) is presented by an entailment relation on D generated by

$$\vdash 1 \qquad a, b \vdash a \land b \qquad a \vdash b \quad (a \le b)$$

with an idempotent relation  $\prec$ .

► The Scott topology ∑(X) is presented by an entailment relation on D generated by

$$\vdash 0$$
  $a, b \vdash a \lor b$   $a \vdash b$   $(a \ge b)$ 

with an idempotent relation  $\succ$ .

#### Theorem

Let *X* and *Y* be stably compact locales presented by strong proximity lattices  $(D, \prec)$  and  $(D^d, \succ)$  respectively. Then  $P_U(X) \cong \Sigma(Y)$ .

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► The Scott topology ∑(Y) is presented by an entailment relation on D generated by

$$\vdash \mathbf{1} \qquad a, b \vdash a \land b \qquad a \vdash b \quad (a \leq b)$$

with an idempotent relation  $\prec$ .

If X is stably compact then  $P_V(X)^d \cong P_V(X^d)$ .

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#### Proof.

Let X be a stably compact locale presented by a strong proximity lattice  $(D,\prec).$ 

The Vietoris powerlocale  $P_V(X)$  is presented by an entailment relation on  $\{ \diamondsuit a \mid a \in D \} \cup \{ \Box a \mid a \in D \}$  generated by

$$\begin{array}{lll} \diamond 0 \vdash & \diamond (a \lor b) \vdash \diamond a, \diamond b & \diamond a \vdash \diamond b & (a \le b) \\ \vdash \Box 1 & \Box a, \Box b \vdash \Box (a \land b) & \Box a \vdash \Box b & (a \le b) \\ & \Box a, \diamond b \vdash \diamond (a \land b) \\ & \Box (a \lor b) \vdash \Box a, \diamond b \end{array}$$

The idempotent relation associated with  $P_V(X)$  is

$$\Diamond a \prec \Diamond b \ \stackrel{\mathrm{def}}{\Longleftrightarrow} \ a \prec b, \qquad \Box a \prec \Box b \ \stackrel{\mathrm{def}}{\Longleftrightarrow} \ a \prec b.$$

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$$\begin{array}{ll} \vdash \diamond 0 & \diamond a, \diamond b \vdash \diamond (a \lor b) & \diamond b \vdash \diamond a & (a \le b) \\ \Box 1 \vdash & \Box (a \land b) \vdash \Box a, \Box b & \Box b \vdash \Box a & (a \le b) \\ & \diamond (a \land b) \vdash \Box a, \diamond b & \\ & \Box a, \diamond b \vdash \Box (a \lor b) \end{array}$$

The idempotent relation associated with  $P_V(X)^d$  is

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$$\begin{array}{cccc} \vdash \Box 0 & \Box a, \Box b \vdash \Box (a \lor b) & \Box b \vdash \Box a & (a \le b) \\ \Diamond 1 \vdash & \Diamond (a \land b) \vdash \Diamond a, \Diamond b & \Diamond b \vdash \Diamond a & (a \le b) \\ & \Box (a \land b) \vdash \Diamond a, \Box b \\ & \Diamond a, \Box b \vdash \Diamond (a \lor b) \end{array}$$

The idempotent relation associated with  $P_V(X^d)$  is

$$\Diamond a \prec \Diamond b \stackrel{\mathsf{def}}{\Longleftrightarrow} a \succ b, \qquad \Box a \prec \Box b \stackrel{\mathsf{def}}{\Longleftrightarrow} a \succ b.$$

#### Definition

A probabilistic valuation on a locale *X* is a Scott continuous map  $\mu \colon \Omega(X) \to [0,1]_{\mathcal{L}}$  to the lower reals  $[0,1]_{\mathcal{L}}$  satisfying  $\mu(0) = 0$ ,  $\mu(1) = 1$ , and the modular law:  $\mu(x) + \mu(y) = \mu(x \land y) + \mu(x \lor y)$ .

A covaluation on X is a Scott continuous map  $\nu \colon \Omega(X) \to [0,1]_{\mathcal{U}}$  to the upper reals  $[0,1]_{\mathcal{U}}$  satisfying  $\nu(1) = 0$ ,  $\nu(0) = 1$ , and the modular law.

- ► The space of valuations 𝔅(X) is a locale whose models are valuations on X.
- ► The space of covaluations C(X) is a locale whose models are covaluations on X.

#### The space of valuations

If *X* is a locale presented by a strong proximity lattice  $(D, \prec)$ , then the space of valuations  $\mathfrak{V}(X)$  is presented by an entailment relation on

$$S = \{ \langle p, a \rangle \mid p \in \mathbb{Q}, a \in D \}$$

generated by the axioms

$$\begin{split} \emptyset \vdash \langle p, 0 \rangle & (p < 0) & \langle p, 0 \rangle \vdash \emptyset & (0 < p) \\ \emptyset \vdash \langle p, 1 \rangle & (p < 1) & \langle p, 1 \rangle \vdash \emptyset & (1 < p) \\ \langle p, a \rangle & \vdash \langle q, b \rangle & (p \ge q \And a \le b) \\ \langle p, a \rangle, \langle q, b \rangle \dashv \vdash \langle r, a \land b \rangle, \langle s, a \lor b \rangle & (p + q = r + s) \\ \end{split}$$
 with an idempotent relation  $\langle p, a \rangle \prec_{\mathfrak{V}} \langle q, b \rangle \stackrel{\text{def}}{\Longleftrightarrow} p > q \And a \prec b. \end{split}$ 

Note. A model  $m \subseteq S$  of  $(S, \vdash, \prec_{\mathfrak{V}})$  corresponds to a valuation  $\mu$  on the ideals of  $(D, \prec)$  given by

$$\mu(I) = \sup_{a \in I} \sup_{\langle p, a \rangle \in m} p.$$

so that  $\langle p, a \rangle \in m \iff p < \mu(a).$ 

If *X* is a stably compact locale, then  $\mathfrak{V}(X)^{\mathsf{d}} \cong \mathfrak{C}(X^{\mathsf{d}})$ .

#### Proof.

Suppose *X* is presented by a strong proximity lattice  $(D, \prec)$ . Then  $\mathfrak{V}(X)$  is presented by an entailment relation on

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 $\text{ and an idempotent relation } \langle p,a\rangle \prec_{\mathfrak{V}} \langle q,b\rangle \stackrel{\text{def}}{\Longleftrightarrow} p > q \ \& \ a \prec b.$ 

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 $\text{ and an idempotent relation } \langle p,a\rangle \prec_{\mathfrak{V}}^{\mathsf{d}} \langle q,b\rangle \, \stackrel{\mathsf{def}}{\Longleftrightarrow} \, p < q \, \& \, a \succ b.$ 

By representing a stably compact locale by a continuous entailment relation, one may have some insight on what its de Groot dual is.

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A representation of stably compact spaces, and patch topology. *Theoret. Comput. Sci.*, 305(1-3):77–84, 2003.

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Presenting de Groot duality of stably compact spaces. arXiv:1812.06480 By representing a stably compact locale by a continuous entailment relation, one may have some insight on what its de Groot dual is.

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# Thank you!